Edgar Filing: GROTTING JOHN B. - Form 4

| GROTTING | JOHN B. | | | | | | | | | | |
|--|--|---------------------|--------------|-------------------------------------|------------|-----------|------------------|---|----------------------------------|---|-------|
| Form 4 | | | | | | | | | | | |
| April 04, 20 | | | | | | | | | 0145 | | |
| FORM | 14_{UNITED} | STATES | SECUE | PITIFS A | ND FX | сна | NGE | COMMISSIO | NT | APPROVA | ۹Ľ |
| Was | | | | shington, | | | N OMB Number: | | | | |
| Check this box if no longer | | | | | | | Expires: | Januar | ry 31, 2005 | | |
| subject to STATEMENT OF CHAN | | | | GES IN SECUR | | ICIA | LOV | VNERSHIP OI | Estimate | d average | 2000 |
| Section 1 Form 4 o | | | | SECUN | IIIE5 | | | | burden h | • | 0.5 |
| Form 5 | Filed pu | rsuant to | Section 1 | 6(a) of th | e Securi | ies E | xchar | ige Act of 1934. | response | ···· | 0.5 |
| obligatio may cont <i>See</i> Instru | tinue. Section 17 | | | tility Hold vestment | • | · · | · | of 1935 or Sect 940 | ion | | |
| 1(b). | | | | | | | | | | | |
| (Print or Type I | Responses) | | | | | | | | | | |
| 1. Name and A GROTTINC | Address of Reporting | Person [*] | | r Name and Ticker or Trading | | | | 5. Relationship of Reporting Person(s) to Issuer | | | |
| UKUTIIN | J JOHN D. | | Symbol VOCEE | RA COMI | MUNIC | | NS | 100401 | | | |
| | | | INC. [V | | viunic/ | 4110 | ns, | (Check all applicable) | | | |
| (Last) | (First) (| Middle) | 3. Date of | f Earliest Tr | ansaction | | | _X_ Director | | 0% Owner | |
| | | | (Month/E | - | | | | Officer (give title Other (specify below) | | | |
| C/O VOCE | KA ICATIONS, INC | 525 | 02/09/2 | 012 | | | | | | | |
| RACE STR | | ., 525 | | | | | | | | | |
| | (Street) | | 4. If Ame | ndment, Da | te Origina | 1 | | 6. Individual or | Joint/Group F | iling(Check | |
| | | | Filed(Mor | nth/Day/Year | .) | | | Applicable Line) | | | |
| SAN JOSE, | CA 95126 | | | | | | | | y One Reporting More than One | | |
| (City) | (State) | (Zip) | Tabl | a I. Mara D | | C | | Person | of on Donoffo | : | J |
| | | - | | | | | Tues A | cquired, Disposed | · | • | |
| 1.Title of Security | 2. Transaction Dat (Month/Dav/Year) | | | | | | | 5. Amount of Securities | 6. Ownership | 7. Nature c Indirect | of |
| (Instr. 3) any | | | | Code | Disposed | l of (D |) | Beneficially | Form: Direct | Beneficial | |
| | | (Month/l | Day/Year) | (Instr. 8) (Instr. 3, 4 and 5) | | | 5) | Owned Following | (D) or Indirect (I) | Ownership (Instr. 4) |) |
| | | | | | | (A) | | Reported | (Instr. 4) | (Instr. 1) | |
| | | | | | | (A) or | | Transaction(s) | | | |
| ~ | | | | Code V | Amount | (D) | Price | (Instr. 3 and 4) | | | |
| Common Stock | 02/09/2012 | | | А | 6,038 | А | \$0 | 6,038 <u>(1)</u> | D | | |
| Common Stock | 04/02/2012 | | | Р | 6,250 | А | \$ 16 | 12,288 <u>(1)</u> | D | | |
| Common Stock | | | | | | | | 33,333 <u>(2)</u> | I | By the Grotting Family T DTD 02/04/20 | Trust |

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2. | 3. Transaction Date | 3A. Deemed | 4. | 5. | 6. Date Exerci | sable and | 7. Title | e and | 8. Price of | 9. Nu |
|-------------|-------------|---------------------|--------------------|------------|-----------------|----------------|------------|----------|--------------|-------------|--------|
| Derivative | Conversion | (Month/Day/Year) | Execution Date, if | Transactio | onNumber | Expiration Da | te | Amour | nt of | Derivative | Deriv |
| Security | or Exercise | | any | Code | of | (Month/Day/Y | (ear) | Underl | ying | Security | Secu |
| (Instr. 3) | Price of | | (Month/Day/Year) | (Instr. 8) | Derivative | e | | Securit | ties | (Instr. 5) | Bene |
| | Derivative | | | | Securities | | | (Instr. | 3 and 4) | | Owne |
| | Security | | | | Acquired | | | | | | Follo |
| | | | | | (A) or | | | | | | Repo |
| | | | | | Disposed | | | | | | Trans |
| | | | | | of (D) | | | | | | (Instr |
| | | | | | (Instr. 3, | | | | | | |
| | | | | | 4, and 5) | | | | | | |
| | | | | | | | | | Amount | | |
| | | | | | | | | | | | |
| | | | | | | Date | Expiration | | or Number | | |
| | | | | | | Exercisable 1 | Date | of | | | |
| | | | | Code V | (Λ) (D) | | | | | | |
| | | | | Code V | (A) (D) | | | | Shares | | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | |
|--|---------------|-----------|---------|-------|--|--|
| | Director | 10% Owner | Officer | Other | | |
| GROTTING JOHN B. C/O VOCERA COMMUNICATIONS, INC. 525 RACE STREET SAN JOSE, CA 95126 | Х | | | | | |
| Signatures | | | | | | |
| /s/ John B. Grotting by Jay Spitzen, Attorney-in-Fact | | 04/04/20 | 012 | | | |

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 6,038 shares are unvested and subject to a right of repurchase held by the Issuer, which right of repurchase lapses as to 3,019 shares annually commencing on February 9, 2013.
- (2) 10,186 shares are unvested and subject to a right of repurchase held by the Issuer, which right of repurchase lapses as to 925 shares per month through February 26, 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.