3M CO Form 4 October 28, 2015

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

3. Date of Earliest Transaction

(Month/Day/Year)

Filed(Month/Day/Year)

10/27/2015

(Print or Type Responses)

1. Name and Address of Reporting Person \* THULIN INGE G

(Last) (First) (Middle)

3M CENTER

(City)

(Street) 4. If Amendment, Date Original

(Zip)

ST. PAUL, MN 55144-1000

(State)

2. Issuer Name **and** Ticker or Trading
Symbol

5. Relationship of Reporting Person(s) to Issuer

3M CO [MMM] (Check all applicable)

\_X\_ Director \_\_\_\_\_ 10% Owner \_X\_ Officer (give title \_\_\_\_\_ Other (specify below) Chairman, President & CEO

**OMB APPROVAL** 

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January 31,

2005

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6. Individual or Joint/Group Filing(Check Applicable Line)

X\_ Form filed by One Reporting Person
Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

	Table 1 - Non-Derivative Securities Acquired, Disposed of, or Deficically Owned							any Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) our Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)	(Instr. 4)	
Common Stock	10/27/2015		M	52,000	A	\$ 87.35	152,905	D	
Common Stock	10/27/2015		S	200	D	\$ 155.74	152,705	D	
Common Stock	10/27/2015		S	100	D	\$ 155.75	152,605	D	
Common Stock	10/27/2015		S	400	D	\$ 155.795	152,205	D	
Common Stock	10/27/2015		S	200	D	\$ 155.82	152,005	D	

Common Stock	10/27/2015	S	100	D	\$ 155.83	151,905	D	
Common Stock	10/27/2015	S	100	D	\$ 155.84	151,805	D	
Common Stock	10/27/2015	S	49,600	D	\$ 155.85	102,205	D	
Common Stock	10/27/2015	S	200	D	\$ 155.86	102,005	D	
Common Stock	10/27/2015	S	300	D	\$ 155.87	101,705	D	
Common Stock	10/27/2015	S	100	D	\$ 155.93	101,605	D	
Common Stock	10/27/2015	S	400	D	\$ 155.95	101,205	D	
Common Stock	10/27/2015	S	300	D	\$ 155.99	100,905 <u>(1)</u> <u>(2)</u>	D	
Common Stock						1,495 (3)	I	By 401k/paesop Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Right to Buy)

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amou Underlying Securi (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amo or Nun of S
Non-qualified Stock Option	\$ 87.35	10/27/2015		M	52,000	05/09/2007	05/09/2016	Common Stock	52,

(9-02)

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## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

THULIN INGE G

3M CENTER X Chairman, President & CEO

ST. PAUL, MN 55144-1000

## **Signatures**

/s/ Sheila B. Claugherty, attorney-in-fact for Inge G.
Thulin 10/28/2015

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes shares acquired under 3M's General Employee Stock Purchase Plan.
- (2) Includes dividend share equivalents, accrued quarterly, pursuant to 3M's Deferred Compensation Plan.
- (3) Includes shares acquired pursuant to the 3M Voluntary Investment Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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