

HOLOGIC INC  
Form 4  
August 07, 2015

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

**OMB APPROVAL**

OMB  
Number: 3235-0287  
Expires: January 31,  
2005  
Estimated average  
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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
LAVANCE DAVID R JR

(Last) (First) (Middle)

35 CROSBY DRIVE

(Street)

BEDFORD, MA 01730

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol

HOLOGIC INC [HOLX]

3. Date of Earliest Transaction  
(Month/Day/Year)

08/05/2015

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner  
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check  
Applicable Line)

☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price		
Common Stock	08/05/2015		M		13,257	A	\$ 17.51	18,412	D
Common Stock	08/05/2015		M		15,822	A	\$ 20.01	34,234	D
Common Stock	08/05/2015		M		14,331	A	\$ 22.35	48,565	D
Common Stock	08/05/2015		M		4,000	A	\$ 23.64	52,565	D
Common Stock	08/05/2015		M		30,000	A	\$ 32.815	82,565	D
	08/05/2015		M		20,000	A	\$ 32.815	102,565	D

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Common  
Stock

Common Stock	08/05/2015	S	97,410	D	\$ 41.9894	5,155	D
					<u>(1)</u>		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Security (Instr. 3 and 4)	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Non-qualified Stock Option (Right to Buy)	\$ 17.51	08/05/2015		M	13,257	<u>(2)</u>	01/01/2019	Common Stock	13,257
Non-qualified Stock Option (Right to Buy)	\$ 20.01	08/05/2015		M	15,822	01/01/2014	01/01/2020	Common Stock	15,822
Non-qualified Stock Option (Right to Buy)	\$ 22.35	08/05/2015		M	14,331	01/01/2015	01/01/2021	Common Stock	14,331
Non-qualified Stock Option (Right to Buy)	\$ 23.64	08/05/2015		M	4,000	07/01/2007	01/01/2017	Common Stock	4,000
Non-qualified Stock Option (Right to Buy)	\$ 32.815	08/05/2015		M	30,000	01/01/2008	10/22/2017	Common Stock	30,000
Non-qualified Stock Option (Right to Buy)	\$ 32.815	08/05/2015		M	20,000	01/01/2008	10/22/2017	Common Stock	20,000

## Reporting Owners

Reporting Owner Name / Address	Relationships
	Director   10% Owner   Officer   Other
LAVANCE DAVID R JR 35 CROSBY DRIVE BEDFORD, MA 01730	X

## Signatures

/s/ Anne M. Liddy, Attorney-In-Fact for David R.  
LaVance Jr.

08/07/2015

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The price reported is the weighted average price per share. Shares were sold in multiple transactions at prices ranging from \$41.8100 to

(1) \$42.2200 per share. The Reporting Person will provide, upon request by the Commission staff, the Issuer, or a securityholder of the Issuer, full information regarding the number of shares sold at each price.

(2) The option becomes fully vested on January 1, 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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