

HOLOGIC INC

Form 3

September 30, 2013

FORM 3**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB
Number: 3235-0104Expires: January 31,
2005Estimated average
burden hours per
response... 0.5**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Mills Roger D.

(Last)

(First)

(Middle)

2. Date of Event Requiring
Statement

(Month/Day/Year)

09/20/2013

3. Issuer Name **and** Ticker or Trading Symbol
HOLOGIC INC [HOLX]4. Relationship of Reporting
Person(s) to Issuer5. If Amendment, Date Original
Filed(Month/Day/Year)

35 CROSBY DRIVE

(Street)

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other

(give title below) (specify below)

SVP & GM, Service & Operations

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting
Person☐ Form filed by More than One
Reporting Person

BEDFORD, MA 01730

(City)

(State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned1. Title of Security
(Instr. 4)2. Amount of Securities
Beneficially Owned
(Instr. 4)3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

37,403

D

A

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and
Expiration Date
(Month/Day/Year)Date
ExercisableExpiration
Date3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)

Title

Amount or
Number of4. Conversion
or Exercise
Price of
Derivative
Security5. Ownership
Form of
Derivative
Security:
Direct (D)
or Indirect6. Nature of Indirect
Beneficial
Ownership
(Instr. 5)

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				Shares		(I) (Instr. 5)	
Non-qualified Stock Option (Right to Buy)	Â (1)	01/16/2015	Common Stock	7,253	\$ 14.87	D	Â
Non-qualified Stock Option (Right to Buy)	Â (1)	01/16/2015	Common Stock	7,253	\$ 14.87	D	Â
Non-qualified Stock Option (Right to Buy)	Â (2)	11/13/2015	Common Stock	40,000	\$ 14.5	D	Â
Non-qualified Stock Option (Right to Buy)	Â (3)	11/11/2016	Common Stock	44,385	\$ 15.75	D	Â
Non-qualified Stock Option (Right to Buy)	Â (4)	11/10/2017	Common Stock	45,275	\$ 16.82	D	Â
Non-qualified Stock Option (Right to Buy)	Â (5)	11/09/2018	Common Stock	43,541	\$ 17.09	D	Â
Non-qualified Stock Option (Right to Buy)	Â (6)	11/14/2019	Common Stock	32,258	\$ 19.86	D	Â
Restricted Stock Unit Award (right To Receive)	Â (7)	11/11/2013	Common Stock	1,389	\$ 0	D	Â
Restricted Stock Unit Award (right To Receive)	Â (7)	11/10/2014	Common Stock	2,750	\$ 0	D	Â
Restricted Stock Unit Award (right To Receive)	Â (7)	11/09/2015	Common Stock	4,169	\$ 0	D	Â
Restricted Stock Unit Award (right To Receive)	Â (7)	11/14/2016	Common Stock	11,581	\$ 0	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Mills Roger D. 35 CROSBY DRIVE BEDFORD, MA 01730	Â	Â	Â SVP & GM, Service & Operations	Â

Signatures

/s/ Mark J. Casey, Attorney-In-Fact for Roger D. Mills 09/30/2013

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) This option becomes exercisable in five equal installments beginning April 5, 2010.

(2) This option becomes exercisable in five equal installments beginning November 13, 2009.

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- (3) This option becomes exercisable in five equal installments beginning November 11, 2010.
- (4) This option becomes exercisable in five equal installments beginning November 10, 2011.
- (5) This option becomes exercisable in five equal installments beginning November 9, 2012.
- (6) This option becomes exercisable in five equal installments beginning November 14, 2013.
- (7) These shares represent restricted stock units (RSUs) awarded to the Reporting Person pursuant to the 2008 Equity Incentive Plan and are subject to vesting as provided in the agreement evidencing the award.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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