Edgar Filing: NHANCEMENT TECHNOLOGIES INC - Form 3

NHANCEMENT TECHNOLOGIES INC

Form 3 January 05, 2001

U.S. SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

FORM 3

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940

1. Name and Address of Repo	rting Person*							
BALDWIN III,	L.	THOMAS						
(Last)	(First)	(Middle)						
141 WEST JACKSON BOULEVARD, #								
(Street)								
CHICAGO	IL	60601						
(City) 08/18/2000	(State)	(Zip)						
2. Date of Event Requiring	Statement (Month/Day/)	/ear)						
3. IRS Identification Number NHANCEMENT TECHNOLOGIES, INC.		if an Entity (Voluntary)						
4. Issuer Name and Ticker o	r Trading Symbol							
5. Relationship of Reportin (Check all applicable)	g Person to Issuer							
<pre>[_] Director [_] Officer (give title</pre>		10% Owner Other (specify below)						
6. If Amendment, Date of Original (Month/Day/Year)								
7. Individual or Joint/Grou [X] Form Filed by One R		cable line)						
[_] Form Filed by More	than One Reporting Per	rson						

Table I Non-Derivative Securities Beneficially Owned				
1. Title of Security (Instr. 4)	(Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)		
COMMON STOCK, \$.01 par value				
COMMON STOCK, \$.01 par value	171,837	I	By Rose (i/n/o	
COMMON STOCK, \$.01 par value		I	By Rose (i/n/o	
COMMON STOCK, \$.01 par value		I	By Rose	
* If the Form is filed by mor 5 (b) (v) .	re than one Reporting Person,	see Instruction		
Reminder: Report on a separate l owned directly or indi		es beneficially		
(Form 3-07/99)		(Over)		
FORM 3 (continued)				
Table II Deriva	ative Securities Beneficially C	wned		

Table II -- Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

2. Date Exercisable
 and Expiration Date
 (Month/Day/Year)

3. Title and Amount of Securities
Underlying Derivative Security
(Instr. 4)

Amount
or

4. Conve sion Exerc

Edgar Filing: NHANCEMENT TECHNOLOGIES INC - Form 3

1. Title of Derivative Security (Instr. 4)		Expira- tion Date	Title	Number of Shares	Price Deriv Secur
 N/A					
Explanation of Response	·S:				
/s/ L. Thomas Baldwin I	II			08/28/00	
**Signature of Re	porting Per	rson		Date	
** Intentional missta Violations.	tements or	omissions of	facts constitute	Federal Criminal	
See 18 U.S.C. 1001	and 15 U.S	S.C. 78ff(a).			
Note: File three copie If space provide			which must be manu Instruction 6 for		

Page 2