AMAZON COM INC

Form 4 May 17, 2016

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB 3235-0287

**OMB APPROVAL** 

Number:

Expires:

January 31, 2005

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subject to Section 16. Form 4 or Form 5 obligations may continue.

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction

1(b).

(Print or Type Responses)

1. Name and Ad Zapolsky Dav	•	orting Person *	2. Issuer Name <b>and</b> Ticker or Trading Symbol AMAZON COM INC [AMZN]	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)		
(Last) (First) (Middle)		(Middle)	3. Date of Earliest Transaction	(Check all applicable)		
			(Month/Day/Year)	Director 10% Owner		
PO BOX 81226			05/15/2016	X Officer (give title Other (specify below)		
				Senior Vice President		
(Street)			4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Ched		
SEATTLE, WA 98108-1226			Filed(Month/Day/Year)	Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting		
				Person		

(City) (State)		(Zip) Tab	le I - Non-	Derivative	Secu	rities Acquire	ed, Disposed of, o	or Beneficially	Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)		sed of		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock, par value \$.01 per share	05/15/2016		M	451	A	\$ 0	2,826	D	
Common Stock, par value \$.01 per share	05/15/2016		M	334	A	\$ 0	3,160	D	
Common Stock, par value \$.01 per share	05/15/2016		M	524	A	\$ 0	3,684	D	

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Common Stock, par value \$.01 per share	05/15/2016	M	710	A	\$ 0	4,394	D
Common Stock, par value \$.01 per share	05/16/2016	S <u>(1)</u>	400	D	\$ 701.3275	3,994	D
Common Stock, par value \$.01 per share	05/16/2016	S(1)	600	D	\$ 702.3917	3,394	D
Common Stock, par value \$.01 per share	05/16/2016	S <u>(1)</u>	507	D	\$ 704.4881 (4)	2,887	D
Common Stock, par value \$.01 per share	05/16/2016	S <u>(1)</u>	212	D	\$ 705.4915 (5)	2,675	D
Common Stock, par value \$.01 per share	05/16/2016	S <u>(1)</u>	100	D	\$ 706.77	2,575	D
Common Stock, par value \$.01 per share	05/16/2016	S <u>(1)</u>	100	D	\$ 707.92	2,475	D
Common Stock, par value \$.01 per share	05/16/2016	S <u>(1)</u>	100	D	\$ 710.05	2,375	D
Common Stock, par value \$.01 per share	05/16/2016	G(6) V	357	D	\$ 0	2,018	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

(9-02)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	orof Derivative		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Unit Award	\$ 0 <u>(7)</u>	05/15/2016		M		451	05/15/2013(8)	02/15/2018	Common Stock, par value \$.01 per share	451
Restricted Stock Unit Award	\$ 0 <u>(7)</u>	05/15/2016		M		334	05/15/2014 <u>(9)</u>	02/15/2017	Common Stock, par value \$.01 per share	334
Restricted Stock Unit Award	\$ 0 <u>(7)</u>	05/15/2016		M		524	05/15/2014(10)	02/15/2017	Common Stock, par value \$.01 per share	524
Restricted Stock Unit Award	\$ 0 <u>(7)</u>	05/15/2016		M		710	05/15/2015(11)	02/15/2020	Common Stock, par value \$.01 per share	710

# **Reporting Owners**

Reporting Owner Name / Address	Relationships							
Transfer de la constant de la consta	Director	10% Owner	Officer	Other				
Zapolsky David								
PO BOX 81226			Senior Vice President					
SEATTLE, WA 98108-1226								
Signatures								

/s/ David Zapolsky, Senior Vice President, General Counsel &

Secretary 05/16/2016

\*\*Signature of Reporting Person Date

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## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This transaction was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person.
- (2) Represents the weighted average sale price. The highest price at which shares were sold was \$701.77 and the lowest price at which shares were sold was \$700.91.
- (3) Represents the weighted average sale price. The highest price at which shares were sold was \$703.01 and the lowest price at which shares were sold was \$702.05.
- (4) Represents the weighted average sale price. The highest price at which shares were sold was \$704.78 and the lowest price at which shares were sold was \$703.98.
- (5) Represents the weighted average sale price. The highest price at which shares were sold was \$705.93 and the lowest price at which shares were sold was \$705.04.
- **(6)** Contribution to non-profit organization.
- (7) Converts into Common Stock on a one-for-one basis.
  - This award vests upon the following vesting schedule and the satisfaction of certain business criteria intended to qualify the award as tax-deductible compensation under Section 162(m) of the Internal Revenue Code: 604 shares on each of May 15, 2013, August 15,
- (8) 2013, and November 15, 2013; 603 shares on February 15, 2014; 866 shares on each of May 15, 2014, August 15, 2014, November 15, 2014, and February 15, 2015; 573 shares on each of May 15, 2015, August 15, 2015, November 15, 2015, and February 15, 2016; 451 shares on each of May 15, 2016, August 15, 2016, and November 15, 2016; 450 shares on February 15, 2017; 1,002 shares on each of May 15, 2017, August 15, 2017, and November 15, 2017; and 1,001 shares on February 15, 2018.
- This award vests at the rate of: 305 shares on each of May 15, 2014, August 15, 2014, and November 15, 2014; 303 shares on (9) February 15, 2015; 363 shares on each of May 15, 2015, August 15, 2015, and November 15, 2015; 361 shares on February 15, 2016; 334 shares on each of May 15, 2016, August 15, 2016, and November 15, 2016; and 333 shares on February 15, 2017.
- This award vests at the rate of: 17 shares on May 15, 2014; 16 shares on each of August 15, 2014, November 15, 2014, and February 15, 2015; 27 shares on each of May 15, 2015, August 15, 2015, and November 15, 2015; 26 shares on February 15, 2016; and 524 shares on each of May 15, 2016, August 15, 2016, November 15, 2016, and February 15, 2017.
  - This award vests upon the following vesting schedule and the satisfaction of certain business criteria intended to qualify the award as tax-deductible compensation under Section 162(m) of the Internal Revenue Code: 637 shares on each of May 15, 2015, August 15, 2015, November 15, 2015, and February 15, 2016; 710 shares on each of May 15, 2016 and August 15, 2016; 711 shares on each of
- (11) November 15, 2016 and February 15, 2017; 753 shares on May 15, 2017; 754 shares on each of August 15, 2017, November 15, 2017, and February 15, 2018; 1,367 shares on each of May 15, 2018 and August 15, 2018; 1,368 shares on each of November 15, 2018 and February 15, 2019; and 1,051 shares on each of May 15, 2019, August 15, 2019, November 15, 2019, and February 15, 2020

#### **Remarks:**

The reporting person undertakes to provide, upon request by the staff of the SEC, the issuer, or a security holder of the issuer, Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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