AMAZON COM INC Form 4 April 07, 2016

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

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**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction

(Zip)

1(b).

(Print or Type Responses)

P.O. BOX 81226

(City)

(Instr. 3)

1. Name and Address of Reporting Person \* Jassy Andrew R

2. Issuer Name and Ticker or Trading Symbol

AMAZON COM INC [AMZN]

5. Relationship of Reporting Person(s) to

Issuer

(Middle) (Last) (First)

3. Date of Earliest Transaction

(Check all applicable)

(Month/Day/Year)

Director 10% Owner X\_ Officer (give title Other (specify below)

6. Individual or Joint/Group Filing(Check

04/05/2016

CEO Amazon Web Services

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

SEATTLE, WA 98108-1226

1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year)

(State)

3. 4. Securities Execution Date, if TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Month/Day/Year) (Instr. 8)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 5. Amount of Securities Beneficially Owned (T)

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial Ownership (Instr. 4) (Instr. 4)

(A)

Following Reported Transaction(s)

(Instr. 3 and 4) Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Conversion (Month/Day/Year) Security or Exercise

3. Transaction Date 3A. Deemed Execution Date, if any

4. 5. Number of **Transaction**Derivative Code Securities

6. Date Exercisable and **Expiration Date** (Month/Day/Year)

7. Title and Amount Underlying Securiti (Instr. 3 and 4)

#### Edgar Filing: AMAZON COM INC - Form 4

| (Instr. 3)                        | Price of<br>Derivative<br>Security |            | (Month/Day/Year) | (Instr. 8 |   | Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |      |                       |                    |   |                              |
|-----------------------------------|------------------------------------|------------|------------------|-----------|---|--|------|-----------------------|--------------------|---|------------------------------|
|                                   |                                    |            |                  | Code '    | V | (A) (E   | D) I | Date Exercisable      | Expiration<br>Date | Title   | Amou<br>or<br>Numb<br>of Sha |
| Restricted<br>Stock Unit<br>Award | \$ 0 (1)                           | 04/05/2016 |                  | A         |   | 30,118   |      | 05/15/2017 <u>(2)</u> | 02/15/2022         | Common<br>Stock, par<br>value<br>\$.01 per<br>share | 30,1                         |
| Restricted<br>Stock Unit<br>Award | \$ 0 (1)                           | 04/05/2016 |                  | A         |   | 30,000   |      | 05/15/2019 <u>(3)</u> | 02/15/2023         | Common<br>Stock, par<br>value<br>\$.01 per<br>share | 30,0                         |

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Jassy Andrew R

P.O. BOX 81226 CEO Amazon Web Services

SEATTLE, WA 98108-1226

## **Signatures**

/s/ Andrew R. Jassy, CEO Amazon Web

Services 04/07/2016

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Converts into Common Stock on a one-for-one basis.

This award vests based upon the following vesting schedule and the satisfaction of certain business criteria intended to qualify the award as tax-deductible compensation under Section 162(m) of the Internal Revenue Code: 494 shares on each of May 15, 2017, August 15, 2017, and November 15, 2017; 495 shares on February 15, 2018; 952 shares on each of May 15, 2018, August 15, 2018, November 15,

- (2) 2017, and November 15, 2017, 495 shares on Pediatry 15, 2018, 932 shares on each of August 15, 2018, August 15, 2019, 1,138 shares on May 15, 2019; 1,139 shares on each of August 15, 2019, November 15, 2019, and February 15, 2020; 2,791 shares on each of May 15, 2020, August 15, 2020, and November 15, 2020; 2,792 shares on February 15, 2021; 2,153 shares on each of May 15, 2021, August 15, 2021, and November 15, 2021; and 2,154 shares on February 15, 2022.
- This award vests based upon the following vesting schedule and the satisfaction of certain business criteria intended to qualify the award as tax-deductible compensation under Section 162(m) of the Internal Revenue Code: 4,500 shares on each of May 15, 2019, August 15, 2019, November 15, 2019, and February 15, 2020; and 3,000 shares on each of May 15, 2022, August 15, 2022, November 15, 2022, and February 15, 2023.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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