

Edgar Filing: TRI CONTINENTAL CORP - Form N-23C-1

TRI CONTINENTAL CORP  
Form N-23C-1  
October 10, 2001

1 PROCESS DATE 09/28/01 MONTHLY FORM 23C-1 REPORT PAGE NO. 1  
BMFR479-1-M-01

076-SELIGMAN GROUP OF FUNDS

		097-TRI-CONTINENTAL CORPORATION - COMMON		
		ORDER	COST	PR
		SHARES	PRICE	DOLL
0	REGISTRATION			ORD
0	LAMAR J JARRARD &	24.475	20.470	501
0	BERNARD CROOM	48.901	20.470	1,001
0	FRANCES W FOLEY	24.475	20.470	501
0	PETER STRAUS	48.901	20.470	1,001
0	PETER STRAUS	48.901	20.470	1,001
0	JONATHAN MARK STRAUS	36.688	20.470	751
0	JONATHAN MARK STRAUS	24.475	20.470	501
0	ROBERT C DOWNING	97.753	20.470	2,001
0	STATE STREET BANK AND TRUST CO CUST	405.891	20.470	8,308
0	KENNETH KANDEL	73.327	20.470	1,501
0	STATE STREET BANK AND TRUST CO CUST	37.909	20.470	776
0	H LEE MUNSON AND	1.195	20.470	24
0	STATE STREET BANK AND TRUST CO CUST	245.035	20.470	5,015
0	STATE STREET BANK AND TRUST CO CUST	10.227	20.470	209
0	RALEIGH A HUGHES JR &	24.475	20.470	501
0	JACK BRAIMAN TTEE	4.934	20.470	101
0	WILLIAM P RZEPECKI &	73.327	20.470	1,501
0	CAROL PARISI &	24.475	20.470	501
0	STATE STREET BANK AND TRUST CO CUST	58.671	20.470	1,201
0	STATE STREET BANK AND TRUST CO CUST	99.221	20.470	2,031
0	STATE STREET BANK AND TRUST CO CUST	110.350	20.470	2,258
0	DIANE THILMONT	14.705	20.470	301
0	STATE STREET BANK AND TRUST CO CUST	61.114	20.470	1,251
1	PROCESS DATE	09/28/01	MONTHLY FORM 23C-1 REPORT	

076-SELIGMAN GROUP OF FUNDS

		097-TRI-CONTINENTAL CORPORATION - COMMON		
		ORDER	COST	PR
		SHARES	PRICE	DOLL
0	REGISTRATION			ORD
0	RUTH J JACOBS &	19.590	20.470	401
0	EDWARD F MORRIS CUST	34.734	20.470	711
0	STATE STREET BANK AND TRUST CO CUST	9.819	20.470	201
0	JACK M CHERTOCK &	9.819	20.470	201
0	SCHUYLER HOLLINGSWORTH JR &	48.901	20.470	1,001
0	STATE STREET BANK AND TRUST CO CUST	97.753	20.470	2,001
0	LOUIS A WEHRLE &	58.671	20.470	1,201
0	LOUIS M TREFONAS &	195.457	20.470	4,001
0	STATE STREET BANK AND TRUST CO CUST	38.171	20.470	781
0	MARI REBANE MCGEE TTEE	17.147	20.470	351
0	STATE STREET BANK AND TRUST CO CUST	390.865	20.470	8,001
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	PRICE DATE TOTALS	2,520.352		51,591
1	PROCESS DATE	09/28/01	MONTHLY FORM 23C-1 REPORT	

076-SELIGMAN GROUP OF FUNDS

		097-TRI-CONTINENTAL CORPORATION - COMMON		
		ORDER	COST	PR
		SHARES	PRICE	DOLL
0	REGISTRATION			ORD
0	LOUIS H PACHTER TTEE	1,459.564	20.270	29,585
0	RICHARD F JOHNSON &	600.000	20.270	12,162
0	DONALD PEACEMAN	304.519	20.270	6,172

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0	ROB COLBY PIERCE CUST	2,466.700	20.270	50,000
0	DEBRA PEAKE HUMPHREY	250.000	20.270	5,067
0	DAVID S STERNBERG	100.000	20.270	2,027
0	GWENDOLYN E MANSFIELD CUST	39.467	20.270	800
0	JANET K BERNDT CUST	204.736	20.270	4,150
0	JOHN THOMAS ALLEN III	138.582	20.270	2,809
0	RAYMOND F CUMMINGS CUST	165.269	20.270	3,350
0	STATE STREET BANK AND TRUST CO CUST	197.336	20.270	4,000
0	BRIAN A WALLACE	125.000	20.270	2,533
0	MEYER S KUKLE &	400.000	20.270	8,108
0	STATE STREET BANK AND TRUST CO CUST	200.000	20.270	4,054
0	MARGARET BARNICLE	60.000	20.270	1,216
0	DOUGLAS WOLK	172.669	20.270	3,500
0	MARYELLEN H MCDONNOLD GARNER CUST	52.499	20.270	1,064
0	INVESTORS FIDUCIARY TRUST CO TTEE	52.218	20.270	1,058
	THE J & W SELIGMAN & CO., INC			
0	TOBY JOE GUERRA	49.334	20.270	1,000
0	MARYA C MCCARROLL	98.668	20.270	2,000
0	HARRIET B DE MAAR &	364.104	20.270	7,380
0	JOHN LYNCH	3,398.429	20.270	68,886
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		097-TRI-CONTINENTAL CORPORATION - COMMON		
		ORDER	COST	PR
		SHARES	PRICE	DOLL
0	REGISTRATION			
0	SUSAN S WADLEY	150.000	20.270	3,040
0	DONNA FAITH PARTEE	428.406	20.270	8,683
0	RICHARD E HALL TR	4,000.000	20.270	81,080
0	KATIE WILSON	25.149	20.270	509
0	ALMA JANE MCPHEETERS	603.730	20.270	12,237
0	CARL E KAISER JR &	370.005	20.270	7,500
0	SUSAN L TESAR	133.202	20.270	2,700
0	CASEY CREAMER	49.334	20.270	1,000
0	STATE STREET BANK AND TRUST CO CUST	50.000	20.270	1,013
0	CARMEN ASTURIAS SAN JUAN	444.006	20.270	9,000
0	MICHAEL SIMON	246.670	20.270	5,000
0	BENTON DONALD SCHLATER	1,726.690	20.270	35,000
0	ANDREA D LEWIS	74.001	20.270	1,500
0	LAB & CO	986.680	20.270	20,000
0	MARTHA THOMAS &	9.381	20.270	190
0	JOHN ARTHUR NIX ADMIN	4,447.426	20.270	90,149
0	ROBERT C WILLIAMS &	64.296	20.270	1,303
0	SHARON W SELKE PER REP	400.000	20.270	8,108
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	PRICE DATE TOTALS	25,108.070		508,940
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076-SELIGMAN GROUP OF FUNDS

		097-TRI-CONTINENTAL CORPORATION - COMMON		
		ORDER	COST	PR
		SHARES	PRICE	DOLL
0	REGISTRATION			
0	STATE STREET BANK AND TRUST CO CUST	32.531	19.450	632
0	STATE STREET BANK AND TRUST CO CUST	7.690	19.450	149
0	STATE STREET BANK AND TRUST CO CUST	179.949	19.450	3,500
0	STATE STREET BANK AND TRUST CO CUST	10.334	19.450	201
0	STATE STREET BANK AND TRUST CO CUST	47.416	19.450	922
0	JOEL J RE	7.763	19.450	151
0	HAROLD G WRIGHT &	257.120	19.450	5,001
0	BRADLEY ORMES TR U/A 11/15/89	77.172	19.450	1,501
0	MARIE H BLISS TR UA 11/4/88	51.465	19.450	1,001
0	MARGUERITE HOBBIE &	102.879	19.450	2,001

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0	STATE STREET BANK AND TRUST CO CUST	57.360	19.450	1,115
0	STATE STREET BANK AND TRUST CO CUST	8.522	19.450	165
0	ROSELLE G FRIEDMAN	308.534	19.450	6,001
0	STATE STREET BANK AND TRUST CO CUST	102.879	19.450	2,001
0	STATE STREET BANK AND TRUST CO CUST	51.465	19.450	1,001
0	NORMA C ATKINSON	25.758	19.450	501
0	STATE STREET BANK AND TRUST CO CUST	55.304	19.450	1,075
0	H GORDON CHRISTIAN & JEAN	12.904	19.450	251
0	JULIA G HASSON	41.182	19.450	801
0	RAYMOND L HAWTHORNE	51.465	19.450	1,001
0	THOMAS HOCK	66.889	19.450	1,301
0	DOUGLAS IRWIN & CAROL	7.763	19.450	151
0	THELMA J PROUDFIT	231.413	19.450	4,501
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		097-TRI-CONTINENTAL CORPORATION - COMMON		
		ORDER	COST	PR
		SHARES	PRICE	DOLL
0	REGISTRATION			
0	WILLIAM L PROUDFIT	231.413	19.450	4,501
0	WILLIAM M SCHLEIER	51.465	19.450	1,001
0	STATE STREET BANK AND TRUST CO CUST	84.884	19.450	1,651
0	DANIEL M WILSON &	154.293	19.450	3,001
0	KENNETH G BUERGER &	10.334	19.450	201
0	JOEL P CHERRY	7.763	19.450	151
0	STATE STREET BANK AND TRUST CO CUST	5.192	19.450	101
0	STATE STREET BANK AND TRUST CO CUST	771.259	19.450	15,001
0	JEAN J CHATLAIN TR UA 6/24/70	36.041	19.450	701
0	STATE STREET BANK AND TRUST CO CUST	183.474	19.450	3,568
0	GAIL R INGRAM	20.617	19.450	401
0	STATE STREET BANK AND TRUST CO CUST	920.415	19.450	17,902
0	STATE STREET BANK AND TRUST CO CUST	108.969	19.450	2,119
0	STATE STREET BANK AND TRUST CO CUST	1,468.155	19.450	28,555
0	KITTY FLETCHER	51.465	19.450	1,001
0	STATE STREET BANK AND TRUST CO CUST	7.763	19.450	151
0	STATE STREET BANK AND TRUST CO CUST	66.808	19.450	1,299
0	STATE STREET BANK AND TRUST CO CUST	17.974	19.450	349
0	STATE STREET BANK AND TRUST CO CUST	128.925	19.450	2,507
0	STATE STREET BANK AND TRUST CO CUST	279.238	19.450	5,431
0	STATE STREET BANK AND TRUST CO CUST	579.705	19.450	11,275
0	STATE STREET BANK AND TRUST CO CUST	412.981	19.450	8,032
0	STATE STREET BANK AND TRUST CO CUST	77.172	19.450	1,501
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		097-TRI-CONTINENTAL CORPORATION - COMMON		
		ORDER	COST	PR
		SHARES	PRICE	DOLL
0	REGISTRATION			
0	STATE STREET BANK AND TRUST CO CUST	50.402	19.450	980
0	STATE STREET BANK AND TRUST CO CUST	128.586	19.450	2,501
0	STATE STREET BANK AND TRUST CO CUST	36.041	19.450	701
0	STATE STREET BANK AND TRUST CO CUST	30.899	19.450	601
0	STATE STREET BANK AND TRUST CO CUST	61.748	19.450	1,201
0	STATE STREET BANK AND TRUST CO CUST	36.220	19.450	704
0	STATE STREET BANK AND TRUST CO CUST	53.931	19.450	1,048
0	STATE STREET BANK AND TRUST CO CUST	41.182	19.450	801
0	STATE STREET BANK AND TRUST CO CUST	20.617	19.450	401
0	STATE STREET BANK AND TRUST CO CUST	32.014	19.450	622
0	RUTH ANNE HERTERICH	3.856	19.450	75
0	STANLEY E GWYNN &	154.293	19.450	3,001
0	MARY V KEE	25.758	19.450	501
0	CHARLES L SARDINIA	64.318	19.450	1,251

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0	MARGARET DALE SEABROOK	231.413	19.450	4,501
0	WILLIAM J SCHLACTER	10.334	19.450	201
0	STATE STREET BANK AND TRUST CO CUST	257.120	19.450	5,001
0	ALBERT HANEN &	102.879	19.450	2,001
0	STATE STREET BANK AND TRUST CO CUST	51.465	19.450	1,001
0	STATE STREET BANK AND TRUST CO CUST	6.735	19.450	131
0	STATE STREET BANK AND TRUST CO CUST	89.629	19.450	1,743
0	STATE STREET BANK AND TRUST CO CUST	328.250	19.450	6,384
0	STATE STREET BANK AND TRUST CO CUST	19.331	19.450	376
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		097-TRI-CONTINENTAL CORPORATION - COMMON		PR
0	REGISTRATION	ORDER	COST	ORD
		SHARES	PRICE	DOLL
0	STATE STREET BANK AND TRUST CO CUST	128.586	19.450	2,501
0	ELISABETH H BROOKS	51.465	19.450	1,001
0	MARINETTE D PUTNAM	51.465	19.450	1,001
0	PHYLLIS TROY	18.046	19.450	351
0	PEGGY LANGE	38.611	19.450	751
0	STATE STREET BANK AND TRUST CO CUST	71.285	19.450	1,386
0	L BENTON AUSTIN &	10.334	19.450	201
0	STATE STREET BANK AND TRUST CO CUST	1.777	19.450	34
0	STATE STREET BANK AND TRUST CO CUST	80.343	19.450	1,562
0	STATE STREET BANK AND TRUST CO CUST	51.465	19.450	1,001
0	STATE STREET BANK AND TRUST CO CUST	26.935	19.450	523
0	STATE STREET BANK AND TRUST CO CUST	13.325	19.450	259
0	STATE STREET BANK AND TRUST CO CUST	51.465	19.450	1,001
0	STATE STREET BANK AND TRUST CO CUST	10.334	19.450	201
0	STATE STREET BANK AND TRUST CO CUST	169.717	19.450	3,301
0	STATE STREET BANK AND TRUST CO CUST	18.107	19.450	352
0	STATE STREET BANK AND TRUST CO CUST	2.108	19.450	41
0	STATE STREET BANK AND TRUST CO CUST	28.329	19.450	551
0	ROBERT W LESSEUER	10.334	19.450	201
0	STATE STREET BANK AND TRUST CO CUST	47.570	19.450	925
0	STATE STREET BANK AND TRUST CO CUST	23.586	19.450	458
0	LILLIE MAE SMITH	36.041	19.450	701
0	THEODORE I BALLARD	4.164	19.450	81
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		097-TRI-CONTINENTAL CORPORATION - COMMON		PR
0	REGISTRATION	ORDER	COST	ORD
		SHARES	PRICE	DOLL
0	STATE STREET BANK AND TRUST CO CUST	84.359	19.450	1,640
0	STATE STREET BANK AND TRUST CO CUST	34.506	19.450	671
0	STATE STREET BANK AND TRUST CO CUST	36.510	19.450	710
0	STATE STREET BANK AND TRUST CO CUST	5.467	19.450	106
0	STATE STREET BANK AND TRUST CO CUST	27.741	19.450	539
0	STATE STREET BANK AND TRUST CO CUST	25.758	19.450	501
0	STATE STREET BANK AND TRUST CO CUST	58.034	19.450	1,128
0	STATE STREET BANK AND TRUST CO CUST	4.844	19.450	94
0	CAROL KAHN	10.334	19.450	201
0	STATE STREET BANK AND TRUST CO CUST	21.085	19.450	410
0	STATE STREET BANK AND TRUST CO CUST	34.588	19.450	672
0	STATE STREET BANK AND TRUST CO CUST	10.848	19.450	211
0	STATE STREET BANK AND TRUST CO CUST	982.673	19.450	19,112
0	STATE STREET BANK AND TRUST CO CUST	7.763	19.450	151
0	STATE STREET BANK AND TRUST CO CUST	51.414	19.450	1,000
0	STATE STREET BANK AND TRUST CO CUST	6.709	19.450	130
0	STATE STREET BANK AND TRUST CO CUST	20.566	19.450	400
0	STATE STREET BANK AND TRUST CO CUST	15.424	19.450	300

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0	STATE STREET BANK AND TRUST CO CUST	77.172	19.450	1,501
0	BERTRAND J FAY JR	20.617	19.450	401
0	PEGGY HANCOCK	7.763	19.450	151
0	STATE STREET BANK AND TRUST CO CUST	25.758	19.450	501
0	STATE STREET BANK AND TRUST CO CUST	25.758	19.450	501
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076-SELIGMAN GROUP OF FUNDS

		097-TRI-CONTINENTAL CORPORATION - COMMON		
0	REGISTRATION	ORDER SHARES	COST PRICE	ORD DOLL
0	STATE STREET BANK AND TRUST CO CUST	15.419	19.450	299
0	STATE STREET BANK AND TRUST CO CUST	6.326	19.450	123
0	STATE STREET BANK AND TRUST CO CUST	2.622	19.450	51
0	STATE STREET BANK AND TRUST CO CUST	29.316	19.450	570
0	MIN NATHAN	10.334	19.450	201
0	STATE STREET BANK AND TRUST CO CUST	79.195	19.450	1,540
0	STATE STREET BANK AND TRUST CO CUST	51.465	19.450	1,001
0	STATE STREET BANK AND TRUST CO CUST	12.904	19.450	251
0	STATE STREET BANK AND TRUST CO CUST	64.217	19.450	1,249
0	STATE STREET BANK AND TRUST CO CUST	25.758	19.450	501
0	STATE STREET BANK AND TRUST CO CUST	15.475	19.450	301
0	STATE STREET BANK AND TRUST CO CUST	39.609	19.450	770
0	STATE STREET BANK AND TRUST CO CUST	32.083	19.450	624
0	STATE STREET BANK AND TRUST CO CUST	42.673	19.450	830
0	STATE STREET BANK AND TRUST CO CUST	10.619	19.450	206
0	STATE STREET BANK AND TRUST CO CUST	231.413	19.450	4,501
0	STATE STREET BANK AND TRUST CO CUST	26.817	19.450	521
0	STATE STREET BANK AND TRUST CO CUST	41.182	19.450	801
0	STATE STREET BANK AND TRUST CO CUST	30.899	19.450	601
0	STATE STREET BANK AND TRUST CO CUST	30.728	19.450	597
0	STATE STREET BANK AND TRUST CO CUST	38.611	19.450	751
0	STATE STREET BANK AND TRUST CO CUST	49.675	19.450	966
0	STATE STREET BANK AND TRUST CO CUST	10.334	19.450	201
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076-SELIGMAN GROUP OF FUNDS

		097-TRI-CONTINENTAL CORPORATION - COMMON		
0	REGISTRATION	ORDER SHARES	COST PRICE	ORD DOLL
0	STATE STREET BANK AND TRUST CO CUST	30.899	19.450	601
0	EVELYN G CRAIG &	25.758	19.450	501
0	STATE STREET BANK AND TRUST CO CUST	15.863	19.450	308
0	JOHN M THRESS &	25.758	19.450	501
0	STATE STREET BANK AND TRUST CO CUST	2.107	19.450	40
0	STATE STREET BANK AND TRUST CO CUST	23.946	19.450	465
0	ANN V ELMQUIST &	25.758	19.450	501
0	STATE STREET BANK AND TRUST CO CUST	25.758	19.450	501
0	JULIUS MAYER &	10.334	19.450	201
0	RALPH K B CLAY	113.162	19.450	2,201
0	STATE STREET BANK AND TRUST CO CUST	15.475	19.450	301
0	ROBERT M SUGERMAN	51.465	19.450	1,001
0	STANLEY A MACBETH	61.748	19.450	1,201
0	STATE STREET BANK AND TRUST CO CUST	77.409	19.450	1,505
0	KATHRYN LEE SYMINGTON	25.758	19.450	501
0	STATE STREET BANK AND TRUST CO CUST	20.686	19.450	402
0	STATE STREET BANK AND TRUST CO CUST	85.324	19.450	1,659
0	STATE STREET BANK AND TRUST CO CUST	102.828	19.450	2,000
0	LORRAINE J BARBER	37.480	19.450	729
0	STATE STREET BANK AND TRUST CO CUST	21.917	19.450	426
0	J T MCNAMARA &	205.707	19.450	4,001
0	STATE STREET BANK AND TRUST CO CUST	74.424	19.450	1,447

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0 FREDERICK F FORDON TR U/A 2/4/92 68.431 19.450 1,331  
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097-TRI-CONTINENTAL CORPORATION - COMMON PR  
 0 ORDER COST ORD  
 REGISTRATION SHARES PRICE DOLL  
 0 MONTCALM LACOMBE & 25.758 19.450 501  
 0 STATE STREET BANK AND TRUST CO CUST 128.586 19.450 2,501  
 0 STATE STREET BANK AND TRUST CO CUST 129.272 19.450 2,514  
 0 STATE STREET BANK AND TRUST CO CUST 62.673 19.450 1,219  
 0 STATE STREET BANK AND TRUST CO CUST 13.788 19.450 268  
 0 ROBERT T WRAY & 41.182 19.450 801  
 0 STATE STREET BANK AND TRUST CO CUST 154.293 19.450 3,001  
 0 WILLARD P FULLER JR TR UA 4/30/92 20.617 19.450 401  
 0 STATE STREET BANK AND TRUST CO CUST 20.929 19.450 407  
 0 YVONNE D HARVEY & 20.617 19.450 401  
 0 SOPHIE CUTLER & 25.758 19.450 501  
 0 LOUIS CUTLER & 25.758 19.450 501  
 0 HORTENSE BERZOWSKI & 72.030 19.450 1,401  
 0 STATE STREET BANK AND TRUST CO CUST 30.899 19.450 601  
 0 LEONARD F LA BELLE & 46.323 19.450 901  
 0 STATE STREET BANK AND TRUST CO CUST 236.592 19.450 4,601  
 0 STATE STREET BANK AND TRUST CO CUST 61.748 19.450 1,201  
 0 STATE STREET BANK AND TRUST CO CUST 25.758 19.450 501  
 0 DOUGLAS C VEZINA TR UA 12/30/91 10.334 19.450 201  
 0 STATE STREET BANK AND TRUST CO CUST 10.334 19.450 201  
 0 STATE STREET BANK AND TRUST CO CUST 13.934 19.450 271  
 0 FLORENCE K JONES 3.907 19.450 76  
 0 WILLIAM C MOYER & VIOLA J MCCREARY 102.879 19.450 2,001  
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097-TRI-CONTINENTAL CORPORATION - COMMON PR  
 0 ORDER COST ORD  
 REGISTRATION SHARES PRICE DOLL  
 0 STATE STREET BANK AND TRUST CO CUST 102.828 19.450 2,000  
 0 STATE STREET BANK AND TRUST CO CUST 38.611 19.450 751  
 0 MARIE THERESE BERGMAN & 7.763 19.450 151  
 0 ALAN K L SCROGGIE & 15.475 19.450 301  
 0 FREDIA K SHERIDAN 257.120 19.450 5,001  
 0 HELEN T PAGE TR UA 06/18/96 9.048 19.450 176  
 0 RIDLER W PAGE TR UA 06/18/96 16.761 19.450 326  
 0 STATE STREET BANK AND TRUST CO CUST 72.030 19.450 1,401  
 0 STATE STREET BANK AND TRUST CO CUST 59.177 19.450 1,151  
 0 STATE STREET BANK AND TRUST CO CUST 257.120 19.450 5,001  
 0 BONNIE J JONES 180.000 19.450 3,501  
 0 STATE STREET BANK AND TRUST CO CUST 553.170 19.450 10,759  
 0 STATE STREET BANK AND TRUST CO CUST 13.527 19.450 263  
 0 STATE STREET BANK AND TRUST CO CUST 36.041 19.450 701  
 0 STATE STREET BANK AND TRUST CO CUST 77.172 19.450 1,501  
 0 ELAINE C LOWEKE TR UA 7-01-91 77.172 19.450 1,501  
 0 ELSIE JO TRAWICK 128.586 19.450 2,501  
 0 DONALD E LAYTON 10.334 19.450 201  
 0 STATE STREET BANK AND TRUST CO CUST 10.334 19.450 201  
 0 STATE STREET BANK AND TRUST CO CUST 31.211 19.450 607  
 0 RAYMOND M PLEHN & 10.334 19.450 201  
 0 FW GOOCH TR UA 01/12/96 51.465 19.450 1,001  
 0 LEONARD F LA BELLE & 36.041 19.450 701  
 1 PROCESS DATE 09/28/01 MONTHLY FORM 23C-1 REPORT

076-SELIGMAN GROUP OF FUNDS

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		097-TRI-CONTINENTAL CORPORATION - COMMON		PR
0		ORDER	COST	ORD
	REGISTRATION	SHARES	PRICE	DOLL
0	ROBERT H PENNOCK TR	2.622	19.450	51
0	ROBERT B KIMSEY &	38.611	19.450	751
0	STATE STREET BANK AND TRUST CO CUST	41.182	19.450	801
0	JOHN R STERLING TOD ELIZABETH	7.763	19.450	151
0	STATE STREET BANK AND TRUST CO CUST	128.586	19.450	2,501
0	CHARLES M MARSHALL	12.904	19.450	251
0	MARY E HOBACK	20.617	19.450	401
0	STATE STREET BANK AND TRUST CO CUST	46.323	19.450	901
0	ARTHUR H DELANEY &	25.758	19.450	501
0	STATE STREET BANK AND TRUST CO CUST	25.758	19.450	501
0	FRANCIS T ROSENDALE TOD	77.172	19.450	1,501
0	GEORGE ADELEWITZ &	7.763	19.450	151
0	STATE STREET BANK AND TRUST CO CUST	51.465	19.450	1,001
0	JOE STEPHEN BEEBE	6.478	19.450	126
0	RONALD B EDGERTON TTEE	77.172	19.450	1,501
0	DOROTHY M STEINKAMP TOD	25.758	19.450	501
0	LEVERT J ABLE	102.879	19.450	2,001
0	PETER J MIHALAK TTEE	77.275	19.450	1,503
0	ANN HARLESS TTEE	41.182	19.450	801
0	EDWARD D SCHULTZ TTEE	10.334	19.450	201
0	NELL N RING &	18.097	19.450	352
0	STATE STREET BANK & TRUST CO CUST	2.096	19.450	40
0	SUSAN PINCHOT	5.192	19.450	101
1	PROCESS DATE	09/28/01	MONTHLY FORM 23C-1 REPORT	

076-SELIGMAN GROUP OF FUNDS

		097-TRI-CONTINENTAL CORPORATION - COMMON		PR
0		ORDER	COST	ORD
	REGISTRATION	SHARES	PRICE	DOLL
0	TINA GIANAKIS	77.172	19.450	1,501
0	STATE STREET BANK AND TRUST CO CUST	38.611	19.450	751
0	H WINFIELD RUSSELL TTEE	15.475	19.450	301
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	PRICE DATE TOTALS	18,215.369		354,290
1	PROCESS DATE	09/28/01	MONTHLY FORM 23C-1 REPORT	

076-SELIGMAN GROUP OF FUNDS

		097-TRI-CONTINENTAL CORPORATION - COMMON		PR
0		ORDER	COST	ORD
	REGISTRATION	SHARES	PRICE	DOLL
0	DOUGLAS M PRICE &	19.000	19.450	369
0	TODD R SQUIER CUST	90.000	19.450	1,750
0	AUDREY J BUTTERFIELD	239.000	19.450	4,648
0	STATE STREET BANK AND TRUST CO CUST	71.979	19.450	1,400
0	STATE STREET BANK AND TRUST CO CUST	77.121	19.450	1,500
0	DONALD M ROWLAND TR UA 12-27-77	1,246.175	19.450	24,238
0	STATE STREET BANK AND TRUST CO CUST	616.967	19.450	12,000
0	BARBARA L RENNER CUST	100.000	19.450	1,945
0	JAY W ISADOR	157.172	19.450	3,057
0	CYNTHIA G JOHNSON CUST	128.535	19.450	2,500
0	CAROL E SAMUEL	200.000	19.450	3,890
0	WILLIAM A OPDYKE CUST	95.000	19.450	1,847
0	STATE STREET BANK AND TRUST CO CUST	154.242	19.450	3,000
0	CAROL PARISI &	205.656	19.450	4,000
0	JAMES MICHAEL MANLEY CUST	192.677	19.450	3,747
0	STATE STREET BANK AND TRUST CO CUST	118.306	19.450	2,301
0	RUTH I HATOW	100.000	19.450	1,945
0	RANDALL FULLER	75.000	19.450	1,458
0	ELMER V ANDERSON TR UA 6/1/92	257.069	19.450	5,000

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0	CLIFFORD A BACHRACH TR	1,000.000	19.450	19,450
0	GERTRUDE E BACH	257.069	19.450	5,000
0	JOSEPH FULGENZI JR &	405.799	19.450	7,892
0	ARTHUR NACHLAS CUST	83.081	19.450	1,615
1	PROCESS DATE	09/28/01	MONTHLY FORM 23C-1 REPORT	

076-SELIGMAN GROUP OF FUNDS

		097-TRI-CONTINENTAL CORPORATION - COMMON		PR
0		ORDER	COST	ORD
	REGISTRATION	SHARES	PRICE	DOLL
0	ARTHUR NACHLAS CUST	83.081	19.450	1,615
0	TOM STANTON &	20.694	19.450	402
0	JAMES R LINGER	16.692	19.450	324
0	CATHERINE S SANTOMARTINO EXEC	210.341	19.450	4,091
0	WILSON MACK ERWIN III EXEC	257.069	19.450	5,000
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	PRICE DATE TOTALS	6,477.725		125,991
1	PROCESS DATE	09/28/01	MONTHLY FORM 23C-1 REPORT	

076-SELIGMAN GROUP OF FUNDS

		097-TRI-CONTINENTAL CORPORATION - COMMON		PR
0		ORDER	COST	ORD
	REGISTRATION	SHARES	PRICE	DOLL
0	MARTIN MAXWELL SNAPP	82.645	18.150	1,500
0	MICHAEL BEEBE CUST ALISON COLES	6.983	18.150	126
0	STATE STREET BANK AND TRUST CO CUST	165.289	18.150	3,000
0	MARGARET J SMITH	358.127	18.150	6,500
0	MISS VIRGINIA TABB	500.000	18.150	9,075
0	GEOFFREY L SORENSEN	374.829	18.150	6,803
0	STATE STREET BANK AND TRUST CO CUST	40.227	18.150	730
0	SALLY M R LEONARD CUST	50.000	18.150	907
0	BRUCE SILVEY SCHAFFER CUST	300.000	18.150	5,445
0	STATE STREET BANK AND TRUST CO CUST	110.193	18.150	2,000
0	JERRY T HILL CUST	49.587	18.150	900
0	ERIC L LEWIS	55.096	18.150	1,000
0	SARA A WOOLSTON CUST	2,093.664	18.150	38,000
0	KATHLEEN BILOWOS	100.000	18.150	1,815
0	STATE STREET BANK AND TRUST CO CUST	936.639	18.150	17,000
0	DAVID G BLAIR	27.548	18.150	500
0	DAVID P JUNG	55.096	18.150	1,000
0	BEVERLY M COHEN	6.662	18.150	120
0	STATE STREET BANK AND TRUST CO CUST	413.223	18.150	7,500
0	JOANNA BRAGDON CUST	220.386	18.150	4,000
0	LYNNETTE FAYE EDWARDS	55.096	18.150	1,000
0	FIRSTBLUE & CO	1,108.213	18.150	20,114
0	RUTH M FLIGHT &	782.941	18.150	14,210
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076-SELIGMAN GROUP OF FUNDS

		097-TRI-CONTINENTAL CORPORATION - COMMON		PR
0		ORDER	COST	ORD
	REGISTRATION	SHARES	PRICE	DOLL
0	PAMELA ANN SUGAMELI	50.000	18.150	907
0	STATE STREET BANK AND TRUST CO CUST	1,239.669	18.150	22,500
0	MARY E SKINNER &	110.193	18.150	2,000
0	LINDA DOEBEL &	3,340.020	18.150	60,621
0	DAVID C VAUGHN CUST	176.074	18.150	3,195
0	ANNE HUNTER WHEELOCK	82.645	18.150	1,500
0	STATE STREET BANK & TRUST CO CUST	826.446	18.150	15,000
0	JOHN T PRATHER PER REP	546.543	18.150	9,919
0	EARL F MITCHELL	1,748.000	18.150	31,726
0	EARL F MITCHELL EXEC	2,352.513	18.150	42,698



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0	Laurie Waters Echman &	372.651	18.150	6,763
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	PRICE DATE TOTALS	18,737.198		340,080
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076-SELIGMAN GROUP OF FUNDS

		097-TRI-CONTINENTAL CORPORATION - COMMON		
0	REGISTRATION	ORDER SHARES	COST PRICE	PR ORDER DOLL
0	H GLEN ARNOLD TR UA 7/3/89	4,961.018	17.650	87,561
0	MYRON E GOLDBLATT JR	1,000.000	17.650	17,650
0	MARIE H BLISS TR UA 11/4/88	250.000	17.650	4,412
0	ANDREW GEORGE	80.726	17.650	1,424
0	STATE STREET BANK AND TRUST CO CUST	63.735	17.650	1,124
0	ILSE B FRANK	1,128.725	17.650	19,922
0	GEORGE THOMAS STADLER &	500.000	17.650	8,825
0	MAX FRANK	258.000	17.650	4,553
0	STATE STREET BANK AND TRUST CO CUST	1,607.881	17.650	28,379
0	BRETT ROBERT PEARSONS	300.000	17.650	5,295
0	BEATRICE SARKIN &	508.644	17.650	8,977
0	THOMAS O MANSFIELD TR UA 12-8-92	566.572	17.650	10,000
0	INVESTORS FIDUCIARY TRUST CO TTEE	1,312.815	17.650	23,171
	THE J & W SELIGMAN & CO., INC			
0	STEPHEN R STONICH TTEE	796.004	17.650	14,049
0	THOMAS J MCCORMACK &	12.468	17.650	220
0	SUNBURST YOUTH HOMES FOUNDATION	71.439	17.650	1,260
0	SUSAN SECUNDA	31.954	17.650	563
0	THOMAS J MCCORMACK &	99.752	17.650	1,760
0	MARGUERITE GERSHON &	56.657	17.650	1,000
0	STATE STREET BANK AND TRUST CO CUST	113.314	17.650	2,000
0	JAMES A FREDERICK	500.000	17.650	8,825
0	LEONARD E CHESTER TTEE	300.000	17.650	5,295
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076-SELIGMAN GROUP OF FUNDS

		097-TRI-CONTINENTAL CORPORATION - COMMON		
0	REGISTRATION	ORDER SHARES	COST PRICE	PR ORDER DOLL
0	STATE STREET BANK AND TRUST CO CUST	56.657	17.650	1,000
0	LINDA S LUNDEEN CUST	366.507	17.650	6,468
0	FRED M CLAMONS &	500.000	17.650	8,825
0	PATRICIA PERRY STEPHAN	56.657	17.650	1,000
0	MICHAEL O WOODS CUST	283.286	17.650	5,000
0	JAY IRA KLAUSNER &	849.858	17.650	15,000
0	CHARLOTTE MARIE ROBINSON EXEC	100.000	17.650	1,765
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	PRICE DATE TOTALS	16,732.669		295,331
0		-----		-----
0	MONTH-END TOTALS	87,791.383		1,676,226
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