Martin Douglas L Form 3 July 17, 2018

# FORM 3

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005

Estimated average burden hours per

response...

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

Martin Douglas L

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

07/13/2018

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Spectrum Brands Holdings, Inc. [SPB]

> 4. Relationship of Reporting Person(s) to Issuer

> > (Check all applicable)

(give title below) (specify below)

EVP and CFO

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O SPECTRUM BRANDS HOLDINGS, INC., Â 3001 **DEMING WAY** 

(Street)

Director \_X\_\_ Officer

10% Owner \_Other

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person

Form filed by More than One

Reporting Person

### MIDDLETON, WIÂ 53562

(State)

1. Title of Security

(City)

(Instr. 4)

(Zip)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership

Table I - Non-Derivative Securities Beneficially Owned

4. Nature of Indirect Beneficial Ownership

(Instr. 5)

Form: Direct (D) or Indirect (I)

(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying **Derivative Security** 

5. 4 Conversion Ownership or Exercise Form of

6. Nature of Indirect Beneficial Ownership (Instr. 5)

(Instr. 4)

Date **Expiration Title** Exercisable Date

Amount or Number of

Derivative Price of Derivative Security: Security Direct (D)

1

### Edgar Filing: Martin Douglas L - Form 3

Shares

or Indirect (I)

(Instr. 5)

## **Reporting Owners**

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer

Other

Martin Douglas L

C/O SPECTRUM BRANDS HOLDINGS, INC.

Â

Â

EVP and CFO Â

3001 DEMING WAY MIDDLETON, WIÂ 53562

# **Signatures**

/s/ Nathan E. Fagre, Attorney-in-Fact

07/17/2018

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

### No securities are beneficially owned

\* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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#### **Remarks:**

Exhibit 24.1: Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2