HRG GROUP, INC. Form 4

July 06, 2015

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average

OMB APPROVAL

burden hours per response...

if no longer subject to Section 16. Form 4 or Form 5

obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * HARBINGER CAPITAL PARTNERS MASTER FUND I, LTD.

2. Issuer Name and Ticker or Trading Symbol

HRG GROUP, INC. [HRG]

5. Relationship of Reporting Person(s) to

Issuer

below)

(Check all applicable)

(Last)

(First) (Middle) 3. Date of Earliest Transaction

Director Officer (give title

_X__ 10% Owner __X__ Other (specify

below)

*See Remarks

C/O INTERNATIONAL FUND SVS 07/02/2015

(IRELAND) LT, 78 SIR JOHN

(Street)

ROGERSON'S QUAY

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Applicable Line)

Filed(Month/Day/Year)

(Month/Day/Year)

Form filed by One Reporting Person X Form filed by More than One Reporting

Person

DUBLIN 2, L2 00000

(City)	(State)	(Zip) Tab	le I - Non-l	Derivative S	Securit	ies Acqui	red, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securities oner Dispose (Instr. 3, 4	d of (D))	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock (par value \$0.01 per share)	07/02/2015		S	358,632	D	\$ 12.97 (1) (2)	23,989,589	D (3) (4) (5)	
Common Stock (par value \$0.01 per share)	07/06/2015		S	69,058	D	\$ 13.06 (2) (6)	23,920,531	D (3) (4) (5)	

Edgar Filing: HRG GROUP, INC. - Form 4

Common Stock (par value \$0.01 per share)	07/02/2015	S	168,409	D	\$ 12.97 (2) (7)	473,708	D (8) (9)
Common Stock (par value \$0.01 per share)	07/06/2015	S	32,429	D	\$ 13.06 (2) (11)	441,279	D (8) (9)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exer	cisable and	7. Title and	8. Price of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transac	tionNumber	Expiration D	ate	Amount of	Derivative
Security	or Exercise		any	Code	of	(Month/Day	Year)	Underlying	Security
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8) Derivativ	e		Securities	(Instr. 5)
	Derivative				Securities	S		(Instr. 3 and 4)	
	Security				Acquired				
					(A) or				
					Disposed				
					of (D)				
					(Instr. 3,				
					4, and 5)				
					.,				
								Amount	
						Date	Expiration	or	
						Exercisable	Date	Title Number	
						Exercisable		of	
				Code	V (A) (D)			Shares	

Reporting Owners

Reporting Owner Name / Address	Relationships				
		10% Owner	Officer	Other	
HARBINGER CAPITAL PARTNERS MASTER FUND I, LTD. C/O INTERNATIONAL FUND SVS (IRELAND) LT 78 SIR JOHN ROGERSON'S QUAY DUBLIN 2, L2 00000		X		*See Remarks	
HARBINGER CAPITAL PARTNERS LLC 450 PARK AVENUE, 30TH FLOOR NEW YORK, NY 10022		X		*See Remarks	
		X			

Reporting Owners 2

HARBINGER CAPITAL PARTNERS SPECIAL SITUAT FUND, L.P. 450 PARK AVENUE, 30TH FLOOR NEW YORK, NY 10022	IONS	*See Remarks
HARBINGER CAPITAL PARTNERS SPECIAL SITUAT LLC 450 PARK AVENUE, 30TH FLOOR NEW YORK, NY 10022	IONS GP,	*See Remarks
HARBINGER HOLDINGS, LLC 450 PARK AVENUE, 30TH FLOOR NEW YORK, NY 10022	X	*See Remarks
FALCONE PHILIP 450 PARK AVENUE, 30TH FLOOR NEW YORK, NY 10022	X	*See Remarks
Signatures		
Harbinger Capital Partners Master Fund I, Ltd. By: Harbinger Holdings, LLC, Manager By: /s/ Philip Falcone	ger Capital Partners LLC, By:	07/06/2015
**Signature of Reporting Person		Date
Harbinger Capital Partners LLC By: Harbinger Holdings, I Falcone	LLC, Manager By: /s/ Philip	07/06/2015
**Signature of Reporting Person		Date
Harbinger Capital Partners Special Situations Fund, L.P. B Special Situations GP, LLC By: Harbinger Holdings, LLC, Falcone		07/06/2015
**Signature of Reporting Person		Date
Harbinger Capital Partners Special Situations GP, LLC By Managing Member By: /s/ Philip Falcone	: Harbinger Holdings, LLC,	07/06/2015
**Signature of Reporting Person		Date
Harbinger Holdings, LLC By: /s/ Philip Falcone	07/06/2015	
**Signature of Reporting Person		Date
/s/ Philip Falcone		07/06/2015
**Signature of Reporting Person		Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The sale price represents the weighted average sale price for multiple transactions reporting on this line. The prices of the transactions ranged from \$12.97 to \$13.10, inclusive.
- The Reporting Persons undertake to provide upon request by the staff of the Securities and Exchange Commission, HRG Group, Inc.

 ("HRG") or a security holder of HRG full information regarding the number of Shares sold at each separate price within the range set forth on this line.

(3)

Signatures 3

Edgar Filing: HRG GROUP, INC. - Form 4

These Shares are owned directly by Harbinger Capital Partners Master Fund I, Ltd. (the "Master Fund"), which is a Reporting Person.

- (4) These Shares may be deemed to be indirectly beneficially owned by the following, each of whom is a Reporting Person: Harbinger Capital Partners LLC ("Harbinger LLC"), the investment manager of the Master Fund; Harbinger Holdings, LLC ("Harbinger Holdings"), the manager of Harbinger LLC; and Philip Falcone, the managing member of Harbinger Holdings and the portfolio manager of the Master Fund.
- Each person listed in Footnote 4 disclaims beneficial ownership of the Shares except to the extent of his or its pecuniary interest therein, and this report shall not be deemed an admission that such person is the beneficial owner of the Shares for purposes of Section 16 of the Securities Exchange Act of 1934, as amended, or for any other purpose.
- The sale price represents the weighted average sale price for multiple transactions reporting on this line. The prices of the transactions ranged from \$13.02 to \$13.13, inclusive.
- The sale price represents the weighted average sale price for multiple transactions reporting on this line. The prices of the transactions ranged from \$12.97 to \$13.10, inclusive.
- (8) These Shares are owned directly by Harbinger Capital Partners Special Situations Fund, L.P. (the "Special Situations Fund"), which is a Reporting Person.
- These Shares may be deemed to be indirectly beneficially owned by the following, each of whom is a Reporting Person: Harbinger Capital Partners Special Situations GP, LLC ("HCPSS"), the general partner of the Special Situations Fund; Harbinger Holdings, the managing member of HCPSS; and Philip Falcone, the managing member of Harbinger Holdings and the portfolio manager of the Special Situations Fund.
- Each Reporting Person listed in Footnote 9 disclaims beneficial ownership of the Shares except to the extent of his or its pecuniary interest therein, and this report shall not be deemed an admission that such Reporting Person is the beneficial owner of the Shares for purposes of Section 16 of the Securities Exchange Act of 1934, as amended, or for any other purpose.
- (11) The sale price represents the weighted average sale price for multiple transactions reporting on this line. The prices of the transactions ranged from \$13.02 to \$13.13, inclusive.

Remarks:

(+) The persons listed herein may be deemed to be members of a "group" for purposes of the Securities Exchange Act of 1934 Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.