

Carlyle GMS Finance, Inc.
Form SC 13G
August 30, 2013

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, DC 20549

SCHEDULE 13G
Under the Securities Exchange Act of 1934*

Carlyle GMS Finance, Inc.
(Name of Issuer)

(Title of Class of Securities)

Common Stock, \$0.01 par value per share

N/A
(CUSIP Number)

May 8, 2013
(Date of Event Which Requires Filing of this Statement)

Check the appropriate box to designate the rule pursuant to which this Schedule is filed:

- Rule 13d-1(b)
- Rule 13d-1(c)
- Rule 13d-1(d)

*The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.

The information required in the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

1 NAMES OF REPORTING PERSONS
 Stifel Financial Corp.

2 CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP (a) []
 (b) [X]

3 SEC USE ONLY:
 4 CITIZENSHIP OR PLACE OF ORGANIZATION

Delaware		
NUMBER OF	5	SOLE VOTING POWER
SHARES		333,119*
BENEFICIALLY	6	SHARED VOTING POWER
OWNED BY EACH		0
REPORTING	7	SOLE DISPOSITIVE POWER
PERSON WITH	8	333,119*
		SHARED DISPOSITIVE POWER
		0
AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON		
9		333,119*
10		CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES Not
		Applicable
11		PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)
		11.99%*

12 TYPE OF REPORTING PERSON
 CO

* The denominator for this calculation is based on 2,778,306 shares of Company common stock outstanding, which is based on information included in the third notice of capital call, dated July 17, 2013, received by the Reporting Person from the Company. On May 8, 2013, the Reporting Person received the first notice of capital call from the Company for an aggregate of 116,469 shares of Company common stock. Following the first capital call, the Reporting Person owned an aggregate of 116,469 shares of Company common stock, or 19.41% of the Company's outstanding shares of common stock. On June 11, 2013, the Reporting Person received a second notice of capital call from the Company for an aggregate of 138,160 shares of Company common stock. Following the second capital call, the Reporting Person owned an aggregate of 254,629 shares of Company common stock, or 12.56% of the Company's outstanding shares of common stock. On July 17, 2013, the Reporting Person received the third notice of capital call from the Company for an aggregate of 78,490 of Company common stock. As stated, following the third capital call, the Reporting Person now owns an aggregate of 333,119 shares of Company common stock, or 11.99% of the Company's outstanding shares of common stock.

Item 1.

- (a). Name of Issuer
Carlyle GMS Finance, Inc.
- (b). Address of Issuer's Principal Executive Offices
520 Madison Avenue, 38th Floor, New York, NY 10022

Item 2.

- (a). Name of Person Filing
Stifel Financial Corp.
- (b). Address of Principal Business Office
501 N. Broadway, St. Louis, Missouri 63102
- (c). Citizenship
Delaware
- (d). Title of Class of Securities
Common Stock, par value \$0.01 per share
- (e). CUSIP Number
N/A

Item 3. N/A

Item 4. Ownership:

- (a) Amount beneficially owned: 311,119*
- (b) Percent of class: 11.99%*

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- (c) Number of shares to which such Reporting Persons have:
- (i) Sole power to vote or to direct the vote: 333,119*
 - (ii) Shared power to vote or to direct the vote: 0
 - (iii) Sole power to dispose or to direct the disposition of: 333,119*
 - (iv) Shared power to dispose or to direct the disposition of: 0

Item 5. Ownership of Five Percent or Less of a Class.

Not Applicable.

Item 6. Ownership of More than Five Percent on Behalf of Another Person.

Not Applicable.

Item 7. Identification and Classification of the Subsidiary Which Acquired the Security Being Reported on By the Parent Holding Company.

Not Applicable.

Item 8. Identification and Classification of Members of the Group.

Not Applicable.

Item 9. Notice of Dissolution of Group.

Not Applicable.

Item 10. Certification.

(c)

By signing below I certify that, to the best of my knowledge and belief, the securities referred to above were not acquired and are not held for the purpose of or with the effect of changing or influencing the control of the issuer of the securities and were not acquired and are not held in connection with or as a participant in any transaction having that purpose or effect.

SIGNATURES

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Dated: August 30, 2013

Stifel Financial Corp.

/s/ James M. Zemlyak

Name: James M. Zemlyak

Title: Senior Vice President and Chief Financial Officer