

PIPER JAFFRAY COMPANIES  
Form 8-K  
May 07, 2009

**SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**  
**FORM 8-K**  
**CURRENT REPORT**  
**PURSUANT TO SECTION 13 OR 15(d) OF THE**  
**SECURITIES EXCHANGE ACT OF 1934**  
**May 6, 2009**

**Date of report (Date of earliest event reported)**  
**PIPER JAFFRAY COMPANIES**

(Exact Name of Registrant as Specified in its Charter)

|                          |                             |   |
|--------------------------|-----------------------------|---|
| <b>Delaware</b>          | <b>1-31720</b>              | <b>30-0168701</b>                       |
| (State of Incorporation) | (Commission File<br>Number) | (I.R.S. Employer<br>Identification No.) |

|  |              |
|--|--------------|
| <b>800 Nicollet Mall, Suite 800</b><br><b>Minneapolis, Minnesota</b> | <b>55402</b> |
| (Address of Principal Executive Offices)                             | (Zip Code)   |
| <b>(612) 303-6000</b>  |              |

(Registrant's Telephone Number, Including Area Code)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2):

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
  - Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
  - Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
  - Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))
-

**Item 8.01. Other Events.**

In early 2009, the Company's Audit Committee decided to review the appointment of the Company's independent auditor, Ernst & Young LLP, to ensure that the Company is receiving appropriate service and value with respect to this engagement. As part of this process, the Audit Committee submitted a request for proposal to several prominent independent registered public accounting firms (including Ernst & Young LLP) to serve as the Company's independent auditor. After receiving and reviewing these proposals, on May 6, 2009 the Audit Committee determined to reappoint Ernst & Young LLP as the Company's independent auditor for the remainder of the year ending December 31, 2009.

---

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

PIPER JAFFRAY COMPANIES

Date: May 7, 2009

/s/ Debra L. Schoneman  
Debra L. Schoneman  
Chief Financial Officer