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NEUBERGER BERMAN INC
Form 8-K
June 06, 2001

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 8-K

CURRENT REPORT
Pursuant to Section 13 or 15 (d) of the
Securities Exchange Act of 1934

DATE OF REPORT (Date of earliest event reported): June 6, 2001

NEUBERGER BERMAN INC.
(Exact name of registrant as specified in its charter)

COMMISSION FILE NUMBER 001-15361

| | |
|---|---|
| DELAWARE | 06-1523639 |
| (State or other jurisdiction of incorporation or organization) | (I.R.S. Employer Identification No.) |

605 Third Avenue
New York, NY 10158
(Address of principal executive offices)

(212) 476-9000
(Registrant's telephone number, including area code)

ITEM 7. FINANCIAL STATEMENTS AND EXHIBITS

Exhibits

| Exhibit No. | Document |
|-------------|---|
| (99) | Additional Exhibits |
| 99.1 | Press release issued by Neuberger Berman Inc. on June 6, 2001, reporting assets under management for the two months ended May 31, 2001 |

ITEM 9. REGULATION FD DISCLOSURE

Neuberger Berman Inc. (the "Corporation") is furnishing under Item 9 of this Current Report on Form 8-K the information included as Exhibit 99.1 to this Report. Exhibit 99.1 is the Corporation's press release, dated June 6, 2001, reporting that the Corporation's assets under management for the two-month period ending May 31, 2001 rose to \$59.2 billion from \$54.8 billion at March 31, 2001.

The information in this Current Report on Form 8-K, including the

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exhibits, is furnished pursuant to Item 9 and shall not be deemed to be "filed" for purposes of Section 18 of the Securities Exchange Act of 1934 or otherwise subject to the liabilities of that Section.

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned thereunto duly authorized.

Neuberger Berman Inc.
(Registrant)

Date: June 6, 2001

By: /s/ Matthew S. Stadler

Matthew S. Stadler
Chief Financial Officer

EXHIBIT INDEX

- 99.1 Press release issued by Neuberger Berman Inc. on June 6, 2001, reporting that its assets under management for the two-month period ending May 31, 2001 rose to \$59.2 billion from \$54.8 billion at March 31, 2002.