Edgar Filing: STALL RICHARD A - Form 4

STALL RICHARD A Form 4 September 10, 2002

OMB APPROVAL
OMB Number 3235-0287
Expires: January 31, 2005
Estimated average burden
hours per response 0.5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940

[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

(Print or Type Responses)

______ 1. Name and Address of Reporting Person* Stall, Richard A. (Last) (First) (Middle) c/O EMCORE Corporation, 145 Belmont Drive ._____ (Street) Somerset, NJ 08873 ______ (City) (State) (Zip) ______ 2. Issuer Name and Ticker or Trading Symbol EMCORE Corporation (EMKR) ______ 3. IRS Identification Number of Reporting Person (if an entity) (voluntary) 4. Statement for Month/Year May 2002 ______ 5. If Amendment, Date of Original (Month/Year) ______ 6. Relationship of Reporting Person(s) to Issuer

Edgar Filing: STALL RICHARD A - Form 4

(Check all applicable) [] 10% Owner [X] Director [X] Officer (give title below) [] Other (specify below) Chief Technology Officer 7. Individual or Joint/Group Filing (Check applicable line) [X] Form filed by one Reporting Person [] Form filed by more than one Reporting Person * If the form is filed by more than one reporting person, see Instruction 4(b)(v). Table I -- Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 4. Securities 3. Disposed c Transaction (Instr. 3, 2. Code 1. Transaction (Instr. 8) Title of Security Date Amount (Instr. 3) (mm/dd/yy) Code V _____ 05/14/02 22,650 (1) Common Stock ______ 05/15/02 S 7,350 (2) Common Stock Table I (cont.) -- Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 6. Ownership
Form: 7.
Direct Nature of
(D) or Indirect
Indirect Beneficial 5. Amount of
Securities
Beneficially
Owned at End 1. of Month Title of Security (Instr. 3 (I) Ownership (Instr.4) (Instr. 4) (Instr. 3) and 4) _____ (1) Common Stock 110,397 (D) (D) (2) Common Stock 110,397 ______

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Edgar Filing: STALL RICHARD A - Form 4

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	Price of Derivative Security	3. Transaction Date (Month/ Day/Year)			5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	
			Code			(D)
(1)						
Owned (e.g.,	Derivative Securities puts, calls, warrants, 7. Title and Amour of Underlying Securities (Instr. 3 and 4	options, conv	vertible s	8. Pric Deri Secu	ities)	_
(1)	.======================================					
Explanation of Re	sponses:					

September 6, 2002

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

Criminal Violations.
See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

**Signature of Reporting Person

/s/ Richard A. Stall

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedures.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.