

CHUNG DONG CHUNE CHRISTOPHER  
 Form 4  
 May 09, 2018

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549

OMB APPROVAL  
 OMB Number: 3235-0287  
 Expires: January 31, 2005  
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
 CHUNG DONG CHUNE  
 CHRISTOPHER

(Last) (First) (Middle)

C/O NATUS MEDICAL  
 INCORPORATED, 6701 KOLL  
 CENTER PARKWAY, SUITE 120

(Street)

PLEASANTON, CA 94566

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
 NATUS MEDICAL INC [BABY]

3. Date of Earliest Transaction  
 (Month/Day/Year)  
 05/07/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_ Director \_\_\_ 10% Owner  
 Officer (give title below) \_\_\_ Other (specify below)  
 Vice President Medical Affairs

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 \_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Ownership (Instr. 4)
				(A) or (D)	Price		
Common Stock, \$0.001 par value per share	05/07/2018	05/07/2018	M	15,358	A	\$ 10.69	151,731 D
Common Stock, \$0.001 par value per share	05/07/2018	05/07/2018	S	15,358	D	\$ 33.32	136,373 D

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)	Amount or Number of Shares
Nonqualified Stock Option	\$ 10.69	05/07/2018	05/07/2018	M	15,358	07/07/2012 06/07/2018	Common Stock	15,358

## Reporting Owners

**Reporting Owner Name / Address**

**Relationships**

Director    10% Owner    Officer    Other

CHUNG DONG CHUNE CHRISTOPHER  
C/O NATUS MEDICAL INCORPORATED  
6701 KOLL CENTER PARKWAY, SUITE 120  
PLEASANTON, CA 94566

Vice President Medical Affairs

## Signatures

/s/ JONATHAN A. KENNEDY, by POWER OF ATTORNEY

05/09/2018

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

This transaction was executed in multiple trades at prices ranging from \$33.30 to \$33.40. The price reported above reflects the weighted (1) average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer, or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. Registered hereby in the future will be, all part of a single series as described in the documents referenced above.

Item 2. Exhibits.

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1. Amended and Restated Indenture, dated as of August 13, 2010, among The Royal Bank of Scotland plc, as issuer, The Royal Bank of Scotland Group plc, as guarantor, and The Bank of New York Mellon, acting through its London branch, as trustee, incorporated by reference to Exhibit 4.1 to the registrants' Report of Foreign Private Issuer filed on Form 6-K (file Nos. 333-162219 and 333-162219-01) on August 13, 2010.
  2. First Supplemental Indenture, dated as of August 25, 2010, among The Royal Bank of Scotland plc, as issuer, The Royal Bank of Scotland Group plc, as guarantor, Citibank, N.A., as securities administrator, The Bank of New York Mellon, acting through its London branch, as original trustee, and Wilmington Trust Company, as trustee, incorporated by reference to Exhibit 4.1 to the registrants' Report of Foreign Private Issuer filed on Form 6-K (file Nos. 333-162219 and 333-162219-01) on August 25, 2010.
  3. Form of note representing the ETNs.
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SIGNATURE

Pursuant to the requirements of Section 12 of the Securities Exchange Act of 1934, the registrants have duly caused this registration statement to be signed on their behalf by the undersigned, thereto duly authorized.

THE ROYAL BANK OF SCOTLAND GROUP  
PLC

Date: 13 September 2011

By: /s/ Robert Hammer  
Name: Robert Hammer  
Title: Attorney-in-Fact

THE ROYAL BANK OF SCOTLAND PLC

Date: 13 September 2011

By: /s/ Robert Hammer  
Name: Robert Hammer  
Title: Attorney-in-Fact

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