Edgar Filing: AQUINOX PHARMACEUTICALS, INC - Form 4

AQUINOX PHARMACEUTICALS, INC Form 4 December 10, 2014 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading **JOHNSON & JOHNSON** Issuer Symbol AQUINOX PHARMACEUTICALS, (Check all applicable) INC [AQXP] (Last) (First) (Middle) 3. Date of Earliest Transaction Director X 10% Owner Other (specify Officer (give title (Month/Day/Year) below) below) **ONE JOHNSON & JOHNSON** 12/08/2014 **PLAZA** (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) Form filed by One Reporting Person _X_ Form filed by More than One Reporting NEW BRUNSWICK, NJ 08933 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 6. 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of Securities Ownership Indirect (Instr. 3) any Code (D) Beneficially Form: Beneficial (Month/Day/Year) (Instr. 3, 4 and 5) Direct (D) Ownership (Instr. 8) Owned or Indirect Following (Instr. 4) Reported (I)(A) Transaction(s) (Instr. 4) or (Instr. 3 and 4) Price Code V Amount (D) Johnson & \$ Johnson Common 12/08/2014 S 2,000 D 7.69 1,361,494 I Development Stock (2) Corporation (1) Johnson & \$ Johnson Common 12/09/2014 S 5,000 D 7.73 1,356,494 Ι Development Stock (3)Corporation (1) 12/10/2014 S 1,300 D 1,355,194 Ι

Edgar Filing: AQUINOX PHARMACEUTICALS, INC - Form 4

		Edgar Filing:	AQUINOX PH	ARMACE	EUTICAL	.S, INC - F	orm 4				
Common Stock					\$ 7.7 (<u>4)</u>				Johnson & Johnson Development Corporation		
Reminder: F	Report on a sep	parate line for each cla	ass of securities benef	Person inform require	ns who re ation con ed to resp ys a curre	or indirectly. spond to the tained in thi ond unless ently valid O	is form are the form	not	SEC 14 (9-1	474 02)	
			ative Securities Acq puts, calls, warrants				Owned				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. ofNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	(Month/Day/Year) ve ss d		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owno Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		
Repo	rting O	wners									
	Repor	ting Owner Name / /	Address	D	irector 10	Relationship 0% Owner (her			
ONE JOH	N & JOHN INSON & J UNSWICK	OHNSON PLAZ	A			Х					
LOUDICO											

Х

JOHNSON & JOHNSON DEVELOPMENT CORP ET AL ONE JOHNSON & JOHNSON PLAZA NEW BRUNSWICK, NJ 08933

Signatures

Douglas Chia, Secretary of Johnson & Johnson	12/10/2014
**Signature of Reporting Person	Date
Steven M. Rosenberg, Secretary of Johnson & Johnson Development Corporation	12/10/2014
** Signature of Reporting Person	Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The securities reported as being indirectly beneficially owned by the designated Reporting Person are directly beneficially owned by Johnson & Johnson Development Corporation, a wholly-owned subsidiary of the designated Reporting Person.

(2) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$7.60 to \$7.75. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote.

The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$7.70 to \$7.80. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer or the staff of the Securities and

(3) Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote.

The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$7.70 to \$7.73. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer or the staff of the Securities and

(4) to \$7.75. The Reporting Person undertakes to provide to the issuer, any security holder of the issuer of the security nonder of the issuer of the security nonder of the security holder of the security nonder of the secure of the security nonder of the security nonder of the secure

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.