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INNODATA CORP
Form 3
November 08, 2001

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

FORM 3

Washington, D. C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES
Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, S
Public Utility Holding Company Act of 1935 or Section 30(f) of the Investme

| | | | | |
|--|---------|----------|------------------|---------------------------|
| 1 Name and Address of Reporting Person * | | | 2 Date of Event | 4 Issuer Name and Ticker/ |
| Mishra Ashok | | | Requiring | Innodata Corporation INOD |
| (Last) | (First) | (Middle) | Statement | |
| 3 University Plaza | | | (Month/Day/Year) | 5 Relationship of Reporti |
| (Street1) | | | October 30, 2001 | Person(s) to Issuer |
| (Street2) | | | | (Check all appli |
| Hackensack NJ 07601 | | | 3 IRS or Social | () Director (|
| (City) | (State) | (Zip) | Security Number | (X) Officer (|
| | | | of Reporting | (Give Title below) (|
| | | | Person | |
| | | | (Voluntary) | |

Table I - Non-Derivative Securities Beneficially Owned

| | | | |
|---------------------|------------------------|---------------|----------|
| 1 Title of Security | 2 Amount of Securities | 3 Ownership: | 4 Nature |
| (Instruction 4) | Beneficially Owned | Direct (D) or | |
| | (Instruction 4) | Indirect (I) | |
| | | (Instr 5) | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or
* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

FORM 3 (Continued)

Table II - Derivative Securities Beneficially Owned (Puts, Calls, Warrants, Options)

| | | | |
|--------------------------------|------------------|-----------------------|---------------|
| 1 Title of Derivative Security | 2 Date | 3 Title and Amount of | 4 Conver |
| (Instruction 4) | Exercisable and | Securities Underlying | sion on |
| | Expiration Date | Derivative Security | Exercis |
| | (Month/Day/Year) | (Instruction 4) | Price o |
| | Date | Title | Deriv- |
| | Exer- | Amount or | ative |
| | cisable | Number | Securit |
| | Date | of Shares | |
| | | | |
| Stock Options | (a) 9/28/04 | Common Stock | 10,000 \$2.00 |

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| | | | | | |
|---------------|-------|---------|--------------|--------|--------|
| ----- | ----- | ----- | ----- | ----- | ----- |
| Stock Options | (b) | 10/3/05 | Common Stock | 16,000 | \$2.50 |
| ----- | ----- | ----- | ----- | ----- | ----- |

Explanation of Responses:

- (a) Vested 9/30/01; linear thereafter over 24 months.
- (b) 25% vested on 7/4/01; linear thereafter over 36 months.

Ashok Mishra

** Signature of Report

** Intentional misstatement or omissions of facts constitute Federal Criminal Violations.
See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: Transmit one copy of this Form to EDGAR, using a typed signature.
If space is insufficient, see Instruction 6 for procedure.