FreightCar America, Inc. Form SC 13G December 11, 2015

SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

# SCHEDULE 13G

Under the Securities Exchange Act of 1934 (Amendment No.\_\_\_)\*

FreightCar America, Inc. (Name of Issuer)

Common Stock, par value \$0.01 per share (Title of Class of Securities)

357023100 (CUSIP Number)

December 2, 2015 (Date of Event Which Requires Filing of This Statement)

Check the appropriate box to designate the rule pursuant to which this Schedule is



" Rule 13d-1(b)

ý Rule 13d-1(c)

" Rule 13d-1(d)

(Page 1 of 8 Pages)

\_\_\_\_\_

The information required in the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

<sup>\*</sup>The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.

# **CUSIP No. 357023100 13G Page 2 of 8 Pages**

```
NAME OF
             REPORTING
             PERSON
1
             Numeric Investors
             LLC
             CHECK
             THE
             APPROPRIATE
2
             BOX IF A
             MEMBER (b) "
             OF A
             GROUP
             SEC USE ONLY
3
             CITIZENSHIP OR
             PLACE OF
4
             ORGANIZATION
             State of Delaware
                  SOLE
                  VOTING
             5
                  POWER
                  0
                  SHARED
                  VOTING
                  POWER
             6
NUMBER OF
                  685,103 shares
SHARES
                  of Common
BENEFICIALLY
                  Stock
OWNED BY
                  SOLE
EACH
                  DISPOSITIVE
REPORTING
                  POWER
PERSON WITH:
                  0
                  SHARED
                  DISPOSITIVE
                  POWER
             8
                  685,103 shares
                  of Common
                  Stock
9
             AGGREGATE
             AMOUNT
             BENEFICIALLY
             OWNED BY EACH
```

REPORTING PERSON

685,103 shares of Common Stock CHECK BOX IF THE

AGGREGATE

AMOUNT IN .. ROW (9)

EXCLUDES CERTAIN SHARES PERCENT OF

**CLASS** 

REPRESENTED BY AMOUNT IN ROW

(9)

5.56% TYPE OF REPORTING

12 PERSON

11

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# **CUSIP No. 357023100 13G Page 3 of 8 Pages**

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	ANIZATION
United	l Kingdom SOLE VOTING POWER
6 7	0 SHARED VOTING POWER 685,103 shares of Common Stock SOLE DISPOSITIVE POWER
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	REPO PERSO Man C CHECTHE APPR BOX I MEMI OF A GROUSEC UCITIZE PLACTORGA United  7  8  AGGRANO BENE

# **PERSON**

685,103 shares of Common Stock CHECK BOX IF THE

AGGREGATE AMOUNT IN ..

ROW (9)
EXCLUDES
CERTAIN
SHARES
PERCENT OF

10

11

**12** 

**CLASS** 

REPRESENTED BY AMOUNT IN ROW

(9)

5.56% TYPE OF REPORTING PERSON

OO, HC

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#### Item 1(a). NAME OF ISSUER:

The name of the issuer is FreightCar America, Inc., a Delaware corporation (the "Company").

# Item 1(b). ADDRESS OF ISSUER'S PRINCIPAL EXECUTIVE OFFICES:

The Company's principal executive offices are located at Two North Riverside Plaza, Suite 1300, Chicago, Illinois 60606.

# Item 2(a). NAME OF PERSON FILING:

This statement is filed by the entities and persons listed below, who are collectively referred to herein as the "Reporting Persons" with respect to the Common Stock (as defined in Item 2(d) below):

### **Investment Manager:**

Numeric Investors LLC (the "<u>Investment Manager</u>"), with respect to the Common Stock held by certain (i) funds and/or managed accounts to which the Investment Manager serves as investment manager (collectively, the "<u>Numeric Funds</u>").

### Parent Company:

(ii) Man Group plc (the "Parent Company"), which indirectly, through various intermediate entities, controls the Investment Manager, with respect to the Common Stock held by each of the Numeric Funds.

# ADDRESS OF PRINCIPAL Item 2(b). BUSINESS OFFICE OR, IF NONE, RESIDENCE:

#### Item 2(c). CITIZENSHIP:

This statement is filed by:

Numeric Investors LLC

470 Atlantic Avenue,6th Floor

(i) Boston, MA 02210

Citizenship: State of Delaware

(ii) Man Group Plc

Riverbank House

2 Swan Lane

London EC4R 3AD

United Kingdom

Citizenship: United Kingdom

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#### Item 2(d). TITLE OF CLASS OF SECURITIES:

Common Stock, par value \$0.01 per share (the "Common Stock").

#### Item 2(e). CUSIP NUMBER:

357023100

# Item IF THIS STATEMENT IS FILED PURSUANT TO RULES 13d-1(b) OR 13d-2(b) OR (c), CHECK 3. WHETHER THE PERSON FILING IS A:

- (a) "Broker or dealer registered under Section 15 of the Act,
- (b) "Bank as defined in Section 3(a)(6) of the Act,
- (c) "Insurance Company as defined in Section 3(a)(19) of the Act,
- (d)"Investment Company registered under Section 8 of the Investment Company Act of 1940,
- (e) "An investment adviser in accordance with Rule 13d-1(b)(1)(ii)(E);
- (f) "Employee Benefit Plan or Endowment Fund in accordance with Rule 13d-1(b)(1)(ii)(F),
- (g) Parent Holding Company or control person in accordance with Rule 13d-1(b)(1)(ii)(G),
- (h) "Savings Association as defined in Section 3(b) of the Federal Deposit Insurance Act,
- (i) "A church plan that is excluded from the definition of an investment company under Section 3(c)(14) of the Investment Company Act;
- (j) "A non-U.S. institution in accordance with Rule 13d-1(b)(1)(ii)(J);
- (k) "Group, in accordance with Rule 13d-1(b)(1)(ii)(K).

If filing as a non-U.S. institution in accordance with Rule 13d-1(b)(1)(ii)(J), please specify the type of institution:

# Item OWNERSHIP

The information required by Items 4(a) - (c) is set forth in Rows (5) - (11) of the cover page for each Reporting Person hereto and is incorporated herein by reference for each such Reporting Person. The percentage set forth in Row (11) of the cover page for each Reporting Person is based on the 12,328,014 shares of Common Stock outstanding as of November 3, 2015, as reported in the Company's Quarterly Report on Form 10-Q for the quarterly period ended September 30, 2015 filed with the Securities and Exchange Commission on November 3, 2015.

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The Investment Manager, which serves as the investment manager to each of the Numeric Funds, may be deemed to be the beneficial owner of all of the Common Stock owned by the Numeric Funds. The Parent Company, which indirectly, through various intermediate entities, controls the Investment Manager, may be deemed to be the beneficial owner of all the Common Stock owned by the Numeric Funds. The Parent Company hereby disclaims any beneficial ownership of any such Common Stock.

#### Item 5. OWNERSHIP OF FIVE PERCENT OR LESS OF A CLASS.

Not applicable.

#### Item 6. OWNERSHIP OF MORE THAN FIVE PERCENT ON BEHALF OF ANOTHER PERSON.

Not applicable.

# Item IDENTIFICATION AND CLASSIFICATION OF THE SUBSIDIARY WHICH ACQUIRED THE SECURITY BEING REPORTED ON BY THE PARENT HOLDING COMPANY.

Not applicable.

#### Item 8. IDENTIFICATION AND CLASSIFICATION OF MEMBERS OF THE GROUP.

Not applicable.

#### Item 9. NOTICE OF DISSOLUTION OF GROUP.

Not applicable.

# Item 10. CERTIFICATION.

Each of the Reporting Persons hereby makes the following certification:

By signing below each Reporting Person certifies that, to the best of its knowledge and belief, the securities referred to above were not acquired and are not held for the purpose of or with the effect of changing or influencing the control of the issuer of the securities and were not acquired and are not held in connection with or as a participant in any transaction having that purpose or effect.

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#### **SIGNATURES**

After reasonable inquiry and to the best of its knowledge and belief, each of the undersigned certifies that the information set forth in this statement is true, complete and correct.

DATE: December 11, 2015

## NUMERIC INVESTORS LLC

By: /s/ Dunyelle Rosen Name: Dunyelle Rosen

Title: Chief Compliance Officer

## MAN GROUP PLC

By: /s/ Michelle Robyn Grew Name: Michelle Robyn Grew

Title: Global Head of Legal and Compliance

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#### **EXHIBIT I**

#### JOINT FILING AGREEMENT

The undersigned acknowledge and agree that the foregoing statement on Schedule 13G is filed on behalf of each of the undersigned and that all subsequent amendments to this statement on Schedule 13G shall be filed on behalf of each of the undersigned without the necessity of filing additional joint filing agreements. The undersigned acknowledge that each shall be responsible for the timely filing of such amendments, and for the completeness and accuracy of the information concerning him or it contained herein and therein, but shall not be responsible for the completeness and accuracy of the information concerning the others, except to the extent that he or it knows or has reason to believe that such information is inaccurate.

DATED as of December 11, 2015

#### NUMERIC INVESTORS LLC

By: /s/ Dunyelle Rosen Name: Dunyelle Rosen

Title: Chief Compliance Officer

#### MAN GROUP PLC

By: /s/ Michelle Robyn Grew Name: Michelle Robyn Grew

Title: Global Head of Legal and Compliance