MVC CAPITAL, INC.

Form 4

September 19, 2007

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

(Middle)

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**OMB APPROVAL** 

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Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction

1(b).

(Last)

(City)

(Print or Type Responses)

1. Name and Address of Reporting Person \* KNAPP ROBERT C

2. Issuer Name and Ticker or Trading

Symbol

MVC CAPITAL, INC. [MVC]

5. Relationship of Reporting Person(s) to Issuer

C/O MVC CAPITAL, INC., 287

3. Date of Earliest Transaction

(Month/Day/Year)

09/14/2007

(Check all applicable)

\_X\_\_ Director 10% Owner Officer (give title Other (specify below)

**BOWMAN AVENUE, 2ND FLOOR** 

(State)

(First)

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

PURCHASE, NY 10577

(City)	(State)	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) or		5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common			Code V	Amount	(D)	Price \$	(Instr. 3 and 4)		
Stock, \$.01 par value	09/14/2007		P	22,300	A	17.14 (1)	205,741	D	
Common Stock, \$.01 par value	09/17/2007		P	200	A	\$ 17	205,941	D	
Common Stock, \$.01 par value	09/17/2007		P	1,300	A	\$ 17.05	207,241	D	
Common Stock, \$.01	09/17/2007		P	100	A	\$ 17.04	207,341	D	

par value

Common

Stock, \$.01 09/17/2007 P 1,600 A \$ 208,941 D par value

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

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9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transact Code (Instr. 8)	5. ionNumber of Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	S	ate	7. Titl Amou Under Secur (Instr.	int of lying	8. Price of Derivative Security (Instr. 5)
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

KNAPP ROBERT C C/O MVC CAPITAL, INC. 287 BOWMAN AVENUE, 2ND FLOOR PURCHASE, NY 10577

#### **Signatures**

/s/ Robert C. 09/19/2007 Knapp

\*\*Signature of Date
Reporting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Reporting Owners 2

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(1) 22,300 shares of common stock, \$.01 par value ("Shares"), were purchased on September 14, 2007 at an average price of \$17.14 per Share. The prices at which Shares were purchased ranged from \$16.85 to \$17.20 per Share.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.