## Edgar Filing: Louis Berkman Investment CO - Form 4

Louis Berkma Form 4	an Investment CO									
April 20, 2018 <b>FORM 4</b> UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940							OMB APPROVAL OMB 3235-028 Number: January 31 Expires: January 31 2009 Estimated average burden hours per response 0.3			
(Print or Type Ro	esponses)									
1. Name and Ac Louis Berkm	Symbol	2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Issuer 4. Statement of the second sec					Reporting Pers	on(s) to		
(Last)	(First) (Mi		3. Date of Earliest Transaction (Check					all applicable)		
600 GRANT		(Month/Day/Year) 04/19/2018				Director Officer (give t elow)	title Other (specify below)			
PITTSBURG	(Street) 5H, PA 15219-270	Filed(Mont	dment, Dat h/Day/Year)	e Original		Aj _2	Individual or Joi pplicable Line) {_ Form filed by Oi _ Form filed by Mo	ne Reporting Per	son	
(City)		(in)	I - Non-De	erivative S	ecuri		erson ed, Disposed of,	or Beneficiall	v Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		3. Transactio Code (Instr. 8)	3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or		cquired d of (D) 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. 7. Nature of Ownership Indirect Form: Beneficial Direct (D) Ownership or Indirect (Instr. 4) (I) (Instr. 4)		
COMMON STOCK	04/19/2018	04/19/2018	Code V S $(1)$	Amount 1,800	(D) D	Price \$ 11.3	1,293,555	D		
COMMON STOCK	04/19/2018	04/19/2018	S <u>(1)</u>	100	D	\$ 11.275	1,293,455	D		
COMMON STOCK	04/19/2018	04/19/2018	S <u>(1)</u>	2,850	D	\$ 11.25	1,290,605	D		
COMMON STOCK	04/19/2018	04/19/2018	S <u>(1)</u>	1,553	D	\$ 11.2	1,289,052	D		
COMMON STOCK	04/19/2018	04/19/2018	S <u>(1)</u>	200	D	\$ 11.175	1,288,852	D		
	04/19/2018	04/19/2018	<b>S</b> (1)	600	D	\$ 11.15	1,288,252	D		

## COMMON STOCK

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transact Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Title a Amount o Underlyi Securitie (Instr. 3 a	of I ng S	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	or Title Nu of	umber		

## **Reporting Owners**

<b>Reporting Owner Name / Address</b>	Relationships						
	Director	10% Owner	Officer	Other			
Louis Berkman Investment CO 600 GRANT STREET, SUITE 3230 PITTSBURGH, PA 15219-2704		Х					
Signatures							
/s/Jennifer L. Gloff,							

04/20/2018

Date

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The transactions reported in this Form 4 were effected pursuant to a Rule 10B5-1 trading plan adopted by the reporting person on

(1) December 27, 2017 (the "Plan"). The Plan was adopted to allow members of the Berkman family to satisfy liquidity and diversification objectives.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Secretary

\*\*Signature of Reporting Person