Louis Berkman Investment CO Form 4

March 14, 2018

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Expires: January 31, 2005
Estimated average

**OMB APPROVAL** 

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

burden hours per response... 0.5

See Instruction

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

| 1. Name and Address of Reporting Person * Louis Berkman Investment CO |          |          | 2. Issuer Name <b>and</b> Ticker or Trading Symbol | 5. Relationship of Reporting Person(s) to Issuer   |  |  |  |
|---|----------|----------|--|--|--|--|--|
|   |          |          | AMPCO PITTSBURGH CORP [AP]                         | (Check all applicable)   |  |  |  |
| (Last)  | (First)  | (Middle) | 3. Date of Earliest Transaction                    |  |  |  |  |
|   |          |          | (Month/Day/Year)                                   | DirectorX 10% Owner  |  |  |  |
| 600 GRANT STREET, SUITE 3230  |          |          | 03/13/2018   | Delow Officer (give title below) Other (specify below)   |  |  |  |
|   | (Street) |          | 4. If Amendment, Date Original                     | 6. Individual or Joint/Group Filing(Check  |  |  |  |
|   |          |          | Filed(Month/Day/Year)                              | Applicable Line) _X_ Form filed by One Reporting Person _Form filed by More than One Reporting |  |  |  |
| PITTSBURGH, PA 15219-2704   |          |          |  | Person   |  |  |  |
| (City)  | (State)  | (Zin)    |  |  |  |  |  |

| (City)                               | (State) (Zi                          | Table 1   | I - Non-De                             | rivative S                     | ecurit | ies Acqui   | red, Disposed of,  | or Beneficial  | y Owned   |
|--------------------------------------|--------------------------------------|---|--|--------------------------------|--------|-------------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transactic<br>Code<br>(Instr. 8) | 4. Securion(A) or D (Instr. 3, | ispose | d of (D)    | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| COMMON<br>STOCK                      | 03/13/2018                           | 03/13/2018  | S(1)                                   | 200                            | D      | \$<br>11.05 | 1,324,406  | D  |   |
| COMMON<br>STOCK                      | 03/13/2018                           | 03/13/2018  | S(1)                                   | 600                            | D      | \$<br>11.15 | 1,323,806  | D  |   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: Louis Berkman Investment CO - Form 4

| 1. Title of | 2.          | 3. Transaction Date |                    | 4.         | 5.         | 6. Date Exerc |            | 7. Titl |          | 8. Price of | 9. Nu    |
|-------------|-------------|---------------------|--------------------|------------|------------|---------------|------------|---------|----------|-------------|----------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transacti  | orNumber   | Expiration D  | ate        | Amou    | ınt of   | Derivative  | Deriv    |
| Security    | or Exercise |                     | any                | Code       | of         | (Month/Day/   | Year)      | Under   | lying    | Security    | Secui    |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) | Derivative | e             |            | Securi  | ities    | (Instr. 5)  | Bene     |
|             | Derivative  |                     | •                  |            | Securities | 3             |            | (Instr. | 3 and 4) |             | Owne     |
|             | Security    |                     |                    |            | Acquired   |               |            |         |          |             | Follo    |
|             |             |                     |                    |            | (A) or     |               |            |         |          |             | Repo     |
|             |             |                     |                    |            | Disposed   |               |            |         |          |             | Trans    |
|             |             |                     |                    |            | of (D)     |               |            |         |          |             | (Instr   |
|             |             |                     |                    |            | (Instr. 3, |               |            |         |          |             | (2.11541 |
|             |             |                     |                    |            | 4, and 5)  |               |            |         |          |             |          |
|             |             |                     |                    |            | i, and 3)  |               |            |         |          |             |          |
|             |             |                     |                    |            |            |               |            |         | Amount   |             |          |
|             |             |                     |                    |            |            | Data          | Evaluation |         | or       |             |          |
|             |             |                     |                    |            |            | Date          | Expiration | Title   | Number   |             |          |
|             |             |                     |                    |            |            | Exercisable   | Date       |         | of       |             |          |
|             |             |                     |                    | Code V     | (A) (D)    |               |            |         | Shares   |             |          |

# **Reporting Owners**

| Reporting Owner Name / Address                              | Relationships |           |         |       |  |  |  |
|---|---------------|-----------|---------|-------|--|--|--|
|   | Director      | 10% Owner | Officer | Other |  |  |  |
| Louis Berkman Investment CO<br>600 GRANT STREET, SUITE 3230 |               | X         |         |       |  |  |  |
| PITTSBURGH, PA 15219-2704                                   |               |           |         |       |  |  |  |

# **Signatures**

/s/Jennifer L. Gloff,

Secretary 03/14/2018

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The transactions reported in this Form 4 were effected pursuant to a Rule 10B5-1 trading plan adopted by the reporting person on (1) December 27, 2017 (the "Plan"). The Plan was adopted to allow members of the Berkman family to satisfy liquidity and diversification objectives.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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