Edgar Filing: Invuity, Inc. - Form 4

Invuity, Inc. Form 4 June 21, 201	6									
FORM	14 UNITED ST	FATES SECUR				IGE (COMMISSION		2235-0287	
Check th if no long subject to Section 1 Form 4 o Form 5 obligatio may cont <i>See</i> Instru	6. Filed pursu strue. Filed pursu	ENT OF CHAN tant to Section 10 of the Public Ut	Washington, D.C. 20549 CHANGES IN BENEFICIAL OWN SECURITIES ction 16(a) of the Securities Exchange iblic Utility Holding Company Act of f the Investment Company Act of 194				e Act of 1934, f 1935 or Sectio	Number: 3235-028 Expires: January 31 Estimated average burden hours per response 0.3		
l(b). (Print or Type I	Responses)									
1. Name and Address of Reporting Person *2. IssueRoberts Eric WSymbol			er Name and Ticker or Trading y, Inc. [IVTY]				5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (Mi	•	3. Date of Earliest Transaction			(Chec	heck all applicable)			
(Month/E C/O INVUITY, INC., 444 DE HARO STREET			Day/Year) 2016				X_ Director 10% Owner Officer (give title Other (specify below) below)			
				ndment, Date Original hth/Day/Year)			6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
SAN FRAN	CISCO, CA 94107	7					Form filed by M Person			
(City)	(State) (Z	Table Table	e I - Non-Do	erivative S	ecurit	ies Acq	uired, Disposed of	f, or Beneficial	lly Owned	
1.Title of Security (Instr. 3)	•	2A. Deemed Execution Date, if any (Month/Day/Year)	Code	on(A) or Dis (D) (Instr. 3, 4	sposed	of	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Indirect Beneficial	
Common Stock	06/19/2016		М		A	<u>(1)</u>	76,945	D		
Common Stock							326,086	Ι	See footnote (2)	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Date (Month/Day/Year)		7. Title and Amo Underlying Secu (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	An or Nu of S
Restricted Stock Units	<u>(1)</u>	06/19/2016		М	12,800	06/19/2016 <u>(3)</u>	06/19/2016 <u>(3)</u>	Common Stock	12

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Roberts Eric W C/O INVUITY, INC. 444 DE HARO STREET SAN FRANCISCO, CA 94107	Х						
Signatures							
/s/ Nancy Hargreaves, by power attorney	r of	06/21/2016					
**Signature of Reporting Person		Ι	Date				

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit (RSU) represents a contingent right to receive one share of the Issuer's common stock.

The shares are held by Valence CDK SPV, L.P. ("Valence CDK"). Valence Life Sciences GP II, LLC ("Valence") is the General Partner
 (2) of Valence CDK. The Reporting Person is a Managing Member of Valence and disclaims beneficial ownership of the shares held by Valence CDK, except to the extent of his pecuniary interest therein.

(3) The Form 4 filed on May 23, 2016 lists June 18, 2016 as the vesting and expiration dates of the RSUs; the vesting and expiration dates are actually June 19, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.