

HOME BANCSHARES INC  
Form 5  
February 08, 2016

# FORM 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).  
Form 3 Holdings Reported Form 4 Transactions Reported

## ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person \*  
Birch Robert F Jr

2. Issuer Name and Ticker or Trading Symbol  
HOME BANCSHARES INC  
[HOMB]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)  
12/31/2015

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
Centennial Bank Regional Pres.

P.O. BOX 966

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Reporting

(check applicable line)

CONWAY, AR 72033

Form Filed by One Reporting Person  
 Form Filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3)  | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | (A) or (D) | Price | 5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|----------------------------------|--------------------------------------|--|--------------------------------|---|------------|-------|--|--|---|
| Common Stock                     | ^                                    | ^  | ^                              | ^   | ^          | ^     | 16,563.4303<br>(1)   | D  | ^   |
| Common Stock - Restricted        | ^                                    | ^  | ^                              | ^   | ^          | ^     | 5,000 (2)  | D  | ^   |
| Common Stock - Performance Based | ^                                    | ^  | ^                              | ^   | ^          | ^     | 9,000 (3) (4)  | D  | ^   |

|              |   |   |   |   |   |   |                    |   |                        |
|--------------|---|---|---|---|---|---|--------------------|---|------------------------|
| Common Stock | ^ | ^ | ^ | ^ | ^ | ^ | 128,539.075<br>(5) | I | By the Bob Birch Trust |
| Common Stock | ^ | ^ | ^ | ^ | ^ | ^ | 30,604.748<br>(6)  | I | By 401(k)              |
| Common Stock | ^ | ^ | ^ | ^ | ^ | ^ | 1,407 (7)          | I | By wife's IRA          |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|----------------------------|
| Performance Stock Option                   | \$ 5.54  | ^                                    | ^  | ^                              | ^ (A) ^ (D)   | 01/01/2010 03/13/2016                                    | Common Stock  | 25,65                      |
| Performance Stock Option                   | \$ 36.91   | ^                                    | ^  | ^                              | ^ (A) ^ (D) ^ (8)   | 08/23/2025   | Common Stock  | 20,00                      |

## Reporting Owners

| Reporting Owner Name / Address                        | Relationships |           |         |                                  |
|---|---------------|-----------|---------|----------------------------------|
|   | Director      | 10% Owner | Officer | Other                            |
| Birch Robert F Jr<br>P.O. BOX 966<br>CONWAY, AR 72033 | ^             | ^         | ^       | Centennial Bank Regional Pres. ^ |

## Signatures

/s/Robert F. Birch Jr. by LaMonica Johnston 02/08/2016

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Includes 102.9912 shares acquired by the Home BancShares, Inc. Dividend Reinvestment Plan since the last filing.
- (2) Restricted Stock granted on August 24, 2015 will vest in 33 1/3% installments over five years beginning on the third anniversary of the award date.

(3) The Performance Stock awarded on August 24, 2015 will vest in 33 1/3% installments over five years beginning on the third annual anniversary of the date that the performance goal is met. The performance goal will be met as of the end of the calendar quarter when the Company has averaged \$0.625 diluted earnings per share for four consecutive quarters or \$2.50 total diluted earnings per share over a period of four consecutive quarters.

(4) The Performance Stock awarded on August 2, 2012 will "cliff" vest on the third annual anniversary of the date that the performance goal is met. The performance goal was met on September 30, 2013 and will therefore "cliff" vest 100% on September 30, 2016.

(5) Includes 431.918 shares acquired by the Home BancShares, Inc. Dividend Reinvestment Plan since the last filing.

(6) Includes 107.588 shares acquired by the Home BancShares, Inc. 401(k) Plan since the last filing.

(7) Includes 4.25 shares acquired by the Home BancShares, Inc. Dividend Reinvestment Plan since the last filing.

(8) Once the performance goal has been met, the Performance Stock Option awarded on August 24, 2015 will become exercisable in seven equal annual installments beginning on the first annual anniversary of the award date. The performance goal will be met as of the end of the calendar quarter when the Company has averaged \$0.625 diluted earnings per share for four consecutive quarters or \$2.50 total diluted earnings per share over a period of four consecutive quarters.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.