Longval Scott Form 4 January 08, 2019

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Longval Scott

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

**OMB APPROVAL** 

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response...

(Last)

(City)

(Middle)

(Zip)

INTRICON CORP [IIN]

(Check all applicable)

C/O INTRICON

(First)

CORPORATION, 1260 RED FOX **ROAD** 

3. Date of Earliest Transaction

(Month/Day/Year) 01/05/2019

Director 10% Owner X\_ Officer (give title Other (specify below)

Chief Financial Officer

(Street)

(State)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

#### ARDEN HILLS, MN 55112

		1 401	Table 1 - Non-Derivative Securities Acquired, Disposed of, of Deficienciany Owned							
1.Title of	2. Transaction Date	3.	4. Securities Acquired		5. Amount of	6. Ownership				
Security	(Month/Day/Year)	Execution Date, if	Transaction(A) or Disposed of (D)			ed of (D)	Securities	Form: Direct	Indirect	
(Instr. 3)		any	Code	(Instr. 3, 4 and 5)			Beneficially	(D) or	Beneficial	
		(Month/Day/Year)	(Instr. 8)				Owned	Indirect (I)	Ownership	
							Following	(Instr. 4)	(Instr. 4)	
							Reported	,		
					(A)		Transaction(s)			
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)			
Common			Code v	7 mount	(D)	11100				
Common	01/05/2019		M	2,500	Α	(2)	35,815 (1) (4)	D		
Stock	V -1 V - 1 - 2 - 2			_,		_				
C						Ф				
Common	01/05/2019		F	898	D	\$	34,917	D		
Stock	01/03/2017		1	070	ט	\$ 26.61	5-1,717	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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#### Edgar Filing: Longval Scott - Form 4

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number proof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		Underlying Securities		8. Pr Deriv Secu (Inst
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	(2)	01/05/2019		M	2,500	(3)	(3)	Common Stock	2,500	\$

### **Reporting Owners**

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

Longval Scott C/O INTRICON CORPORATION 1260 RED FOX ROAD ARDEN HILLS, MN 55112

Chief Financial Officer

### **Signatures**

/s/ Scott Longval 01/08/2019 \*\*Signature of

Reporting Person

Date

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents the number of shares currently beneficially owned by the Reporting Person after the vesting of Restricted Stock Units reported **(1)** in this Form 4 Report.
- (2) Each Restricted Stock Unit converts into one share of common stock of the Company.
- On January 5, 2018, the Reporting Person was granted 7,500 Restricted Stock Units, vesting in three equal annual installments beginning one year from the date of grant or earlier upon the death, disability or retirement of the recipient or a change in control of the Company (as provided in the 2015 Equity Incentive Plan). Unvested Restricted Stock Units generally will terminate upon any other termination of employment.
- (4) Includes a total of 116 shares acquired under the IntriCon Employee Stock Purchase Plan ("ESPP") and not previously disclosed.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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