Edgar Filing: Gruenhagen Greg - Form 4

| Form 4 | C | | | | | | | | | | |
|----------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|--------------------------------------------------|------------|-----------------------------------------|---------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------|------------------------------------------------------------------------------------------------|--|
| January 08, 2 | | | | | | | | | OMB AF | PROVAL | |
| FORM | S SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 | | | | | | OMB Number: | 3235-0287 | | | |
| Check thi if no long subject to Section 1 Form 4 o Form 5 obligation may cont <i>See</i> Instru 1(b). | 6. Filed pu Section 17 | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 20(b) of the Investment Company Act of 1940 | | | | | | | | January 3 ⁻ Expires: 200 Estimated average burden hours per response 0. | |
| (Print or Type F | Responses) | | | | | | | | | | |
| 1. Name and Address of Reporting Person <u>*</u> Gruenhagen Greg | | | 2. Issuer Name and Ticker or Trading Symbol INTRICON CORP [IIN] | | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | |
| (Last) (First) (Middle) C/O INTRICON CORPORATION, 1260 RED FOX ROAD | | | 3. Date of Earliest Transaction(Month/Day/Year)01/05/2019 | | | | | Director 10% Owner X Officer (give title Other (specify below) below) VP, Corp. Qlty. & Reg. Affairs | | | |
| | (Street) | | Filed(Month/Day/Year) Applic _X_Fo | | | Applicable Line) _X_ Form filed by C | Joint/Group Filing(Check y One Reporting Person y More than One Reporting | | | | |
| ARDEN HI | LLS, MN 55112 | | | | | | | Person | | | |
| (City) | (State) | (Zip) | Tabl | e I - Non-D | erivative | Secur | ities Acq | uired, Disposed of | , or Beneficial | ly Owned | |
| 1.Title of Security (Instr. 3) | 2. Transaction Dat (Month/Day/Year) | Execution any | n Date, if | 3. Transactic Code (Instr. 8) Code V | (Instr. 3, | spose | d of (D) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | Indirect Beneficial | |
| Common Stock | 01/05/2019 | | | М | 2,500 | А | <u>(2)</u> | 3,135 <u>(1)</u> | D | | |
| Common Stock | 01/05/2019 | | | F | 898 | D | \$ 26.61 | 2,237 | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | a 3A. Deemed Execution Date, if any (Month/Day/Year) | Code | 5. Number onof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | Underlying Securities (Instr. 3 and 4) | | 8. Pr Deriv Secu (Inst |
|-----------------------------------------------------|-----------------------------------------------------------------------|-----------------------------------------|---------------------------------------------------------------|--------|-------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|--------------------|----------------------------------------|----------------------------------------|---------------------------------|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Restricted Stock Units | <u>(2)</u> | 01/05/2019 | | М | 2,500 | (3) | (3) | Common Stock | 2,500 | \$ |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|-------------------------------------------------------------------------------------------|---------------|-----------|--------------------------------|-------|--|--|--|
| | Director | 10% Owner | Officer | Other | | | |
| Gruenhagen Greg C/O INTRICON CORPORATION 1260 RED FOX ROAD ARDEN HILLS, MN 55112 | | | VP, Corp. Qlty. & Reg. Affairs | | | | |
| Signatures | | | | | | | |
| /s/ Scott Longval, attorney-in-fact | 01/08/ | /2019 | | | | | |

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Represents the number of shares currently beneficially owned by the Reporting Person after the vesting of Restricted Stock Units reported in this Form 4 Report.
- (2) Each Restricted Stock Unit converts into one share of common stock of the Company.

On January 5, 2018, the Reporting Person was granted 7,500 Restricted Stock Units, vesting in three equal annual installments beginning

(3) one year from the date of grant or earlier upon the death, disability or retirement of the recipient or a change in control of the Company (as provided in the 2015 Equity Incentive Plan). Unvested Restricted Stock Units generally will terminate upon any other termination of employment.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.