

Kruchten Brad
Form 4
September 06, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Kruchten Brad

(Last) (First) (Middle)

EASTMAN KODAK
COMPANY, 343 STATE STREET

(Street)

ROCHESTER, NY 14650

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
EASTMAN KODAK CO [KODK]

3. Date of Earliest Transaction
(Month/Day/Year)
09/03/2017

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

Senior Vice President

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock, par value \$.01	09/03/2017		M		5,888	A	\$ 0 (1)
Common Stock, par value \$.01	09/03/2017		F		2,177 (2)	D	\$ 7.8
Common Stock, par value \$.01	09/03/2017		M		10,174	A	\$ 0 (3)
Common Stock, par	09/03/2017		F		3,762 (2)	D	\$ 7.8

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value \$.01

Common Stock, par value \$.01	09/03/2017	M	8,985	A	<u>\$ 0</u> (4)	29,303	D
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Common Stock, par value \$.01	09/03/2017	F	<u>3,322</u> (2)	D	\$ 7.8	25,981	D
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Common Stock, par value \$.01						1,628	I	By Spouse
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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units	\$ 0 <u>(1)</u>	09/03/2017		M	5,888	<u>(1)</u>	09/03/2017	Common Stock, par value \$.01	5,888
Restricted Stock Units	\$ 0 <u>(3)</u>	09/03/2017		M	10,174	<u>(3)</u>	09/03/2018	Common Stock, par value \$.01	10,174
Restricted Stock Units	\$ 0 <u>(4)</u>	09/03/2017		M	8,985	<u>(4)</u>	09/03/2019	Common Stock, par value \$.01	8,985
Restricted Stock Units	\$ 0 <u>(5)</u>					<u>(5)</u>	09/03/2020	Common Stock, par value \$.01	27,632

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Restricted Stock Units	\$ 0 ⁽⁶⁾	⁽⁶⁾	08/24/2018	Common Stock, par value \$0.01	2,437	
Stock Option (Right to Buy)	\$ 23.78	⁽⁷⁾	09/02/2021	Common Stock, par value \$0.01	53,232	
Stock Option (Right to Buy)	\$ 13.76	⁽⁸⁾	09/02/2022	Common Stock, par value \$0.01	73,044	
Stock Option (Right to Buy)	\$ 15.58	⁽⁹⁾	09/02/2023	Common Stock, par value \$0.01	71,308	
Stock Option (Right to Buy)	\$ 15.2	⁽¹⁰⁾	11/14/2023	Common Stock, par value \$0.01	65,935	
125% Warrants to purchase Common Stock, par value \$0.01	\$ 14.93		09/03/2013	09/03/2018	Common Stock, par value \$0.01	943 ⁽¹¹⁾
135% Warrants to purchase Common Stock, par value \$0.01	\$ 16.12		09/03/2013	09/03/2018	Common Stock, par value \$0.01	943 ⁽¹¹⁾
125% Warrants to purchase Common Stock, par value \$0.01	\$ 14.93		09/03/2013	09/03/2018	Common Stock, par value \$0.01	31 ⁽¹¹⁾
135% Warrants to purchase Common Stock, par	\$ 16.12		09/03/2013	09/03/2018	Common Stock, par value \$0.01	31 ⁽¹¹⁾

