

BOSTON PRIVATE FINANCIAL HOLDINGS INC

Form 3

May 03, 2016

FORM 3UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB
Number: 3235-0104Expires: January 31,
2005Estimated average
burden hours per
response... 0.5**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Â Schwartz George G

(Last) (First) (Middle)

BOSTON PRIVATE BANK &
TRUST COMPANY,Â TEN
POST OFFICE SQUARE

(Street)

BOSTON,Â MAÂ 02109

(City) (State) (Zip)

2. Date of Event Requiring
Statement(Month/Day/Year)
04/20/20163. Issuer Name **and** Ticker or Trading SymbolBOSTON PRIVATE FINANCIAL HOLDINGS INC
[BPFH]4. Relationship of Reporting
Person(s) to Issuer5. If Amendment, Date Original
Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other
(give title below) (specify below)

President-Boston Private Bank

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting
Person☐ Form filed by More than One
Reporting Person**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security
(Instr. 4)2. Amount of Securities
Beneficially Owned
(Instr. 4)3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

88,447

D Â

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and
Expiration Date
(Month/Day/Year)3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)4. Conversion
or Exercise
Price of5. Ownership
Form of
Derivative6. Nature of Indirect
Beneficial
Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Employee Stock Option (Right to Buy)	08/16/2010	08/15/2018	Common Stock	7,500	\$ 9.03	D	Â
Employee Stock Option (Right to Buy)	02/04/2008	02/15/2017	Common Stock	5,500	\$ 29.84	D	Â
Performance Shares	05/15/2014	05/15/2017	Common Stock	10,030 ⁽¹⁾	\$ ⁽²⁾	D	Â
Performance Shares	05/15/2015	05/15/2018	Common Stock	11,436 ⁽³⁾	\$ ⁽²⁾	D	Â
Employee Stock Option (Right to Buy)	06/15/2009	06/15/2019	Common Stock	13,870	\$ 4.92	D	Â
Employee Stock Option (Right to Buy)	05/14/2010	05/14/2020	Common Stock	15,505	\$ 7.94	D	Â
Employee Stock Option (Right to Buy)	05/13/2011	05/13/2021	Common Stock	15,935	\$ 6.42	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Schwartz George G BOSTON PRIVATE BANK & TRUST COMPANY TEN POST OFFICE SQUARE BOSTON, MA 02109	Â	Â	Â President-Boston Private Bank	Â

Signatures

/s/ Margaret W. Chambers, attorney-in-fact for Mr.
Schwartz.

05/03/2016

Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Performance shares vest based on the Company's performance for the period January 1, 2014 through December 31, 2016.

(2) Value based on number of shares earned times the closing prices on date of vesting.

(3) Performance shares vest based on the Company's performance for the period January 1, 2015 through December 31, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.