

USTIAN DANIEL C
Form 4
April 14, 2010

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
USTIAN DANIEL C

2. Issuer Name and Ticker or Trading Symbol
NAVISTAR INTERNATIONAL CORP [NAV]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)
04/12/2010

Director 10% Owner
 Officer (give title below) Other (specify below)
Chairman, President and CEO

NAVISTAR INTERNATIONAL CORPORATION, 4201 WINFIELD ROAD

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

WARRENVILLE, IL 60555

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
| | | | | (A) or (D) | Price | | |
| Common Stock | 04/12/2010 | | M | 4,713 | A \$ 21.22 | 118,828 | D |
| Common Stock | 04/12/2010 | | F | 3,076 | D \$ 49.815 | 115,752 | D |
| Common Stock | 04/12/2010 | | M | 4,333 | A \$ 21.22 | 120,085 | D |
| Common Stock | 04/12/2010 | | F | 2,828 | D \$ 49.815 | 117,257 | D |
| | 04/12/2010 | | M | 28,620 | A \$ 21.22 | 145,877 | D |

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| | | | | | | | | | |
|---------------------|------------|--|---|--------|---|-----------|--------------------------|---|---------------------------------|
| Common Stock | | | | | | | | | |
| Common Stock | 04/12/2010 | | F | 18,674 | D | \$ 49.815 | 127,203 | D | |
| Common Stock | | | | | | | 3,909.6597 <u>(1)</u> | I | By Navistar 401(k) Savings Plan |
| Premium Share Units | | | | | | | 11,910 | D | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Security (Instr. 3 and 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|
| | | | | Code V | (A) (D) | Date Exercisable Expiration Date | Title Amount or Number of Shares |
| Employee Stock Option (right to buy) | \$ 21.22 | 04/12/2010 | | M | 4,713 | <u>(2)</u> 12/12/2010 | Common Stock 4 |
| Employee Stock Option (right to buy) | \$ 21.22 | 04/12/2010 | | M | 4,333 | <u>(3)</u> 12/13/2010 | Common Stock 4 |
| Employee Stock Option (right to buy) | \$ 21.22 | 04/12/2010 | | M | 28,620 | <u>(4)</u> 12/13/2010 | Common Stock 28 |
| | \$ 49.815 | 04/12/2010 | | A | 3,076 | 10/12/2010 12/12/2010 | 3 |

Employee
Stock
Option
(right to
buy)

Common
Stock

Employee
Stock
Option
(right to
buy)

\$ 49.815 04/12/2010 A 2,828 10/12/2010 12/13/2010

Common
Stock 2

Employee
Stock
Option
(right to
buy)

\$ 49.815 04/12/2010 A 18,674 10/12/2010 12/13/2010

Common
Stock 18

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

USTIAN DANIEL C
NAVISTAR INTERNATIONAL CORPORATION
4201 WINFIELD ROAD
WARRENVILLE, IL 60555

X

Chairman, President and CEO

Signatures

Curt A. Kramer, Attorney
in fact

04/14/2010

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) The reporting person acquired 0.2725 shares on February 4, 2010, as part of an allocation of proceeds received from settlement of a lawsuit. The allocation of proceeds was made to all Navistar 401(k) plan participants.
- (2) The Option became exercisable as to 1 share on 12/12/2002 and as to 4,712 shares on 12/12/2003.
- (3) The Option became exercisable as to 2,166 shares on 12/12/2002 and as to 2,167 shares on 12/12/2003.
- (4) The Option became exercisable as to 16,665 shares on 12/12/2002 and as to 11,955 shares on 12/12/2003.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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