LANDS' END, INC. Form 3

# March 07, 2017 **FORM 3**

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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response...

OMB Number: 3235-0104

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**OMB APPROVAL** 

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement LANDS' END, INC. [LE] A Griffith Jerome (Month/Day/Year) 03/06/2017 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 1 LANDS' END LANE (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Director 10% Owner \_X\_ Form filed by One Reporting \_X\_ Officer Other Person DODGEVILLE, Â WIÂ 53595 (give title below) (specify below) Form filed by More than One President and CEO Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) D Â Common Stock 19,785 Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

required to respond unless the form displays a

currently valid OMB control number.

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of	Derivative Security	Security: Direct (D) or Indirect	

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				Shares		(I) (Instr. 5)	
Restricted Stock Units	(1)	(1)	Common Stock	117,647	\$ 0	D	Â
Employee Stock Option (right to buy)	(2)	03/06/2027	Common Stock	294,118	\$ 18.1	D	Â

#### **Reporting Owners**

Reporting Owner Name / Address	Relationships					
noporous o whor remain a remainder	Director	10% Owner	Officer	Other		
Griffith Jerome 1 LANDS' END LANE DODGEVILLE, WI 53595	ÂX	Â	President and CEO	Â		

### **Signatures**

/s/ Jerome
Griffith

\*\*Signature of Reporting Person

O3/07/2017

Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- These are time-based restricted stock units (RSUs) that will vest in four equal installments beginning on March 6, 2018, subject to certain vesting conditions and acceleration events. Each RSU represents a contingent right to receive one share of common stock upon satisfaction of the vesting considerations.
- (2) The option vests in four equal annual installments beginning on March 6, 2018, subject to vesting conditions and acceleration events.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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