

DUKES LAURA ALLISON
Form 4
February 11, 2019

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
DUKES LAURA ALLISON

(Last) (First) (Middle)
303 PEACHTREE STREET, NE

(Street)

ATLANTA, GA 30308

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
SUNTRUST BANKS INC [STI]

3. Date of Earliest Transaction
(Month/Day/Year)
02/08/2019

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

___ Director ___ 10% Owner
 Officer (give title below) ___ Other (specify below)
Chief Financial Officer

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock	02/08/2019		M		2,336.983	A	\$ 64.37
Common Stock	02/08/2019		F		767	D	\$ 64.37
							22,323.983
							21,556.983

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Edgar Filing: DUKES LAURA ALLISON - Form 4

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Underlying Instrument (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title
Phantom Stock Units ⁽¹⁾	⁽¹⁾	02/08/2019		M	2,336.983	02/09/2019 ⁽¹⁾	Common Stock
Options ⁽²⁾	\$ 27.41					02/26/2016 02/26/2023	Common Stock
Phantom Stock Units ⁽¹⁾	⁽¹⁾					02/14/2019 02/14/2019	Common Stock
Phantom Stock Units ⁽¹⁾	⁽¹⁾					02/14/2020 02/14/2020	Common Stock
Phantom Stock Units ⁽¹⁾	⁽¹⁾					02/13/2019 02/13/2019	Common Stock
Phantom Stock Units ⁽¹⁾	⁽¹⁾					02/13/2020 02/13/2020	Common Stock
Phantom Stock Units ⁽¹⁾	⁽¹⁾					02/13/2021 02/13/2021	Common Stock
Phantom Stock Units ⁽¹⁾	⁽¹⁾					08/09/2019 08/09/2019	Common Stock
Phantom Stock Units ⁽³⁾	⁽³⁾	02/08/2019		A	3,206.8902	02/08/2020 ⁽³⁾	Common Stock
Phantom Stock Units ⁽³⁾	⁽³⁾	02/08/2019		A	3,206.8902	02/08/2021 ⁽³⁾	Common Stock
Phantom Stock Units ⁽³⁾	⁽³⁾	02/08/2019		A	3,206.8902	02/08/2022 ⁽³⁾	Common Stock

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
DUKES LAURA ALLISON 303 PEACHTREE STREET, NE ATLANTA, GA 30308			Chief Financial Officer	

Signatures

Curt Phillips, Attorney-in-Fact for L. Allison Dukes	02/11/2019
<small>**Signature of Reporting Person</small>	<small>Date</small>

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
 - Represents time-vested restricted stock units granted pursuant to the SunTrust Banks, Inc. 2009 Stock Plan. The Plan is exempt under Rule 16b-3. Units will be settled in shares of common stock. The award agreements contain tax withholding features that allow us to withhold units to satisfy tax withholding obligations.
 - Granted pursuant to the SunTrust Banks, Inc. 2009 Stock Plan.
 - Represents time-vested restricted stock units granted pursuant to the SunTrust Banks, Inc. 2018 Omnibus Incentive Compensation Plan.
 - The Plan is exempt under Rule 16b-3. Units will be settled in shares of common stock. The award agreements contain tax withholding features that allow us to withhold units to satisfy tax withholding obligations.
- Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.