

VENTAS INC
Form 4
August 18, 2016

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
RINEY T RICHARD

(Last) (First) (Middle)
10350 ORMSBY PARK
PLACE, SUITE 300

(Street)

LOUISVILLE,, KY 40223

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
VENTAS INC [VTR]

3. Date of Earliest Transaction
(Month/Day/Year)
08/16/2016

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
EVP, Chief Admin. Off., GC

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock	08/16/2016		M		37,631	A	\$ 46.88
Common Stock	08/16/2016		S		37,631 (1)	D	\$ 73.0278
Common Stock	08/16/2016		M		69,116	A	\$ 51.85
Common Stock	08/16/2016		S		69,116 (1)	D	\$ 73.0278
Common Stock	08/16/2016		S		9,000 (2)	D	\$ 73.0297

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Common Stock	08/17/2016	M	10,995	A	\$ 51.85	140,534	D	
Common Stock	08/17/2016	S	<u>10,995</u> (3)	D	\$ 72.8899	129,539	D	
Common Stock	08/17/2016	M	42,708	A	\$ 55.5	172,247	D	
Common Stock	08/17/2016	S	<u>42,708</u> (3)	D	\$ 72.8899	129,539	D	
Common Stock						70,000	I	By Spouse
Common Stock						1,300	I	By IRA

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Amount or Number of Shares	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 46.88	08/16/2016		M	37,631	01/18/2012 ⁽⁴⁾	01/18/2022	Common Stock	37,631
Stock Option (Right to Buy)	\$ 51.85	08/16/2016		M	69,116	01/29/2014 ⁽⁵⁾	01/29/2024	Common Stock	69,116
Stock Option (Right to Buy)	\$ 51.85	08/17/2016		M	10,995	01/29/2014 ⁽⁵⁾	01/29/2024	Common Stock	10,995
	\$ 55.5	08/17/2016		M	42,708	01/23/2013 ⁽⁶⁾	01/23/2023		42,708

Stock
Option
(Right to
Buy)

Common
Stock

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
RINEY T RICHARD 10350 ORMSBY PARK PLACE SUITE 300 LOUISVILLE,, KY 40223			EVP, Chief Admin. Off., GC	

Signatures

T. Richard
Riney

08/18/2016

**Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

On August 16, 2016, the Reporting Person transmitted to the Securities and Exchange Commission (the "SEC") a Form 144 covering the sale of the Issuer's common stock reported in Table I. The price reported in column 4 is a weighted average price. These shares were sold

(1) by the Reporting Person in multiple transactions at prices ranging from \$72.79 to \$73.66, inclusive. The Reporting Person undertakes to provide Issuer, any security holder of Issuer or the staff of the SEC, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote (1).

On August 16, 2016, the Reporting Person transmitted to the SEC a Form 144 covering the sale of the Issuer's common stock reported in Table I. The price reported in column 4 is a weighted average price. These shares were sold by the Reporting Person in multiple

(2) transactions at prices ranging from \$72.87 to \$73.56, inclusive. The Reporting Person undertakes to provide Issuer, any security holder of Issuer or the staff of the SEC, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote (2).

On August 16, 2016, the Reporting Person transmitted to the SEC a Form 144 covering the sale of the Issuer's common stock reported in Table I. The price reported in column 4 is a weighted average price. These shares were sold by the Reporting Person in multiple

(3) transactions at prices ranging from \$72.65 to \$73.21, inclusive. The Reporting Person undertakes to provide Issuer, any security holder of Issuer or the staff of the SEC, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote (3).

(4) These options were part of a previously reported grant by the Issuer to the Reporting Person which vested in three equal annual installments beginning on January 18, 2012.

(5) These options were part of a previously reported grant by the Issuer to the Reporting Person which vested in three equal annual installments beginning on January 29, 2014.

(6) These options were part of a previously reported grant by the Issuer to the Reporting Person which vested in three equal annual installments beginning on January 23, 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.