#### Edgar Filing: CASEYS GENERAL STORES INC - Form 3

CASEYS GENERAL STORES INC

Form 3 June 09, 2016

### FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

January 31, 2005

0.5

Estimated average burden hours per

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, response...

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement CASEYS GENERAL STORES INC [CASY] À Johnson Brian Joseph (Month/Day/Year) 06/03/2016 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) CASEY'S GENERAL STORES, (Check all applicable) INC.. ONE CONVENIENCE BLVD. 10% Owner Director (Street) \_X\_\_ Officer Other 6. Individual or Joint/Group (give title below) (specify below) Filing(Check Applicable Line) SVP, Store Development \_X\_ Form filed by One Reporting Person ANKENY. IAÂ 50021 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security 2. Amount of Securities 3. 4. Nature of Indirect Beneficial Beneficially Owned Ownership (Instr. 4) Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â Common Stock 8,058 D Voting and tender rights under 1,851 (1) Common Stock Ι 401k plan Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

#### Edgar Filing: CASEYS GENERAL STORES INC - Form 3

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Option - right to buy (2)	06/23/2012	06/23/2019	Common Stock	2,500	\$ 25.26	D	Â
Option - right to buy (2)	06/23/2014	06/23/2021	Common Stock	15,000	\$ 44.39	D	Â
Restricted stock units (3)	(3)	(3)	Common Stock	2,500	\$ <u>(4)</u>	D	Â
Restricted stock units (5)	(5)	(5)	Common Stock	2,500	\$ <u>(4)</u>	D	Â
Restricted stock units (6)	(6)	(6)	Common Stock	2,500	\$ <u>(4)</u>	D	Â
Restricted stock units (7)	(7)	(7)	Common Stock	2,650	\$ (4)	D	Â

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
. 0	Director	10% Owner	Officer	Other		
Johnson Brian Joseph CASEY'S GENERAL STORES, INC. ONE CONVENIENCE BLVD. ANKENY, IA 50021	Â	Â	SVP, Store Development	Â		

### **Signatures**

William J. Noth, under POA dated June 6, 2016 06/09/2016

\*\*Signature of Reporting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Allocated to Mr. Johnson's 401k plan account as of April 30, 2016. Does not include any shares allocated by the plan trustee after that date.

Date

- (2) Pursuant to terms and conditions of 2009 Stock Incentive Plan.
- (3) Pursuant to terms and conditions of 2009 Stock Incentive Plan. This award will vest in full on June 7, 2016.
- (4) Each restricted stock unit represents the right to receive, following vesting, one share of Common Stock.
- (5) Pursuant to terms and conditions of 2009 Stock Incentive Plan. This award will vest in full on June 6, 2017.

Reporting Owners 2

#### Edgar Filing: CASEYS GENERAL STORES INC - Form 3

- (6) Pursuant to terms and conditions of 2009 Stock Incentive Plan. This award will vest in full on June 5, 2018.
- (7) Pursuant to terms and conditions of 2009 Stock Incentive Plan. This award will vest in full on June 2, 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.