Edgar Filing: Sherman Patrick A - Form 4

| Sherman Patrick Form 4 | A | | | | | | | | | | |
|---|------------------------------------|---|----------------|--|--|---------|---|--|--|---|--|
| November 05, 20 |)18 | | | | | | | | | | |
| FORM 4 UNITED STATES SECURITIES AND EXCHA | | | | | | | NGE CO | OMMISSION | OMB AF OMB Number: | PROVAL 3235-0287 | |
| Check this boy if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. <i>See</i> Instruction 1(b). | STATEM Filed pur Section 17(| STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 | | | | | | | Expires: Estimated a burden hour response | • | |
| (Print or Type Respo | onses) | | | | | | | | | | |
| Sherman Patrick A Symbol | | | MERCHANTS CORP | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | |
| (Last) 200 E JACKSO | . , . | Middle) | | f Earliest Ti Day/Year) 018 | ransaction | | - - 1 | _X_ Director Officer (give ti pelow) | | Owner r (specify | |
| | | | | Aonth/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person | | | |
| MUNCIE, IN 47 | 7305 | | | | | | Ī | Form filed by Mo Person | ore than One Rep | porting | |
| (City) | (State) | (Zip) | Tab | le I - Non-I | Derivative | Secur | ities Acqu | ired, Disposed of, | or Beneficiall | y Owned | |
| | ransaction Date nth/Day/Year) | | Date, if | 3. Transactio Code (Instr. 8) Code V | 4. Securiti nor Disposo (Instr. 3, 4 Amount | ed of (| D) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| Common 11/0 Stock | 01/2018 | | | P | 11.946 | . , | \$ 41.856 | 36,460.028 (1) | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

Reporting Owners

Edgar Filing: Sherman Patrick A - Form 4

| 1. Title of | 2. | 3. Transaction Date | 3A. Deemed | 4. | 5. | 6. Date Exerc | cisable and | 7. Titl | e and | 8. Price of | 9. Nu |
|-------------|-------------|---------------------|--------------------|------------|------------|---------------|-------------|---------|----------|-------------|--------|
| Derivative | Conversion | (Month/Day/Year) | Execution Date, if | Transactio | onNumber | Expiration D | ate | Amou | nt of | Derivative | Deriv |
| Security | or Exercise | | any | Code | of | (Month/Day/ | Year) | Under | lying | Security | Secu |
| (Instr. 3) | Price of | | (Month/Day/Year) | (Instr. 8) | Derivative | e | | Securi | ties | (Instr. 5) | Bene |
| | Derivative | | | | Securities | | | (Instr. | 3 and 4) | | Owne |
| | Security | | | | Acquired | | | · | | | Follo |
| | 2 | | | | (A) or | | | | | | Repo |
| | | | | | Disposed | | | | | | Trans |
| | | | | | of (D) | | | | | | (Instr |
| | | | | | (Instr. 3, | | | | | | (|
| | | | | | 4, and 5) | | | | | | |
| | | | | | i, una 5) | | | | | | |
| | | | | | | | | | Amount | | |
| | | | | | | Date | Expiration | | or | | |
| | | | | | | | • | Title | Number | | |
| | | | | | | Exercisable | Date | | of | | |
| | | | | Code V | (A) (D) | | | | Shares | | |
| | | | | | | | | | | | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|---|---------------|-----------|----------|-------|--|--|--|
| I B | Director | 10% Owner | Officer | Other | | | |
| Sherman Patrick A 200 E JACKSON STREET MUNCIE, IN 47305 | Х | | | | | | |
| Signatures | | | | | | | |
| Addison Nicoll (Confirming St on File) | atement | | 11/05/20 | 018 | | | |
| <u>**</u> Signature of Reporting Perso | on | | Date | | | | |

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes Restricted Stock Awards totaling 4,628 shares

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.