Edgar Filing: Lego Catherine P - Form 4

| Lego Catherine P | | | | |
|--|---|--|--|--|
| Form 4 | | | | |
| November 13, 2018 | | | | |
| FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMM | OMB APPROVAL | | | |
| Washington, D.C. 20549 | Number: 3235-0287 | | | |
| Check this box | January 31 | | | |
| if no longer subject to STATEMENT OF CHANGES IN BENEFICIAL OWNERS | Expires: | | | |
| subject to Section 16. SECURITIES | Estimated average | | | |
| Form 4 or | burden hours per response 0.5 | | | |
| Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of | • | | | |
| obligations may continue. Section 17(a) of the Public Utility Holding Company Act of 1935 | or Section | | | |
| <i>See</i> Instruction 30(h) of the Investment Company Act of 1940 | | | | |
| 1(b). | | | | |
| (Drint or Tupo Docponces) | | | | |
| (Print or Type Responses) | | | | |
| 1. Name and Address of Reporting Person [*] _ 2. Issuer Name and Ticker or Trading 5. Rela | ationship of Reporting Person(s) to | | | |
| Lego Catherine P Symbol Issuer | | | | |
| LAM RESEARCH CORP [LRCX] | | | | |
| (Last) (First) (Middle) 3. Date of Earliest Transaction | (Check all applicable) | | | |
| | Director 10% Owner | | | |
| C/O LAM RESEARCH 11/09/2018 | Officer (give title Other (specify | | | |
| CORPORATION, 4650 CUSHING below) | below) | | | |
| PARKWAY | | | | |
| (Street) 4. If Amendment, Date Original 6. Indi- | vidual or Joint/Group Filing(Check | | | |
| | able Line) | | | |
| F | rm filed by One Reporting Person rm filed by More than One Reporting | | | |
| FREMONT, CA 94538 | | | | |
| (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, I | Disposed of, or Beneficially Owned | | | |
| 1. Title of 2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amo | | | | |
| Security (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Securiti | • | | | |
| (Instr. 3) any Code Disposed of (D) Benefic | • | | | |
| (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned Followi | ng (Instr. 4) (Instr. 4) | | | |
| Reporte | | | | |
| (A) Transac or (A) | | | | |
| (Instr 3 | | | | |
| Code V Amount (D) Price (mour) | and 4) | | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. 6. Date Exercition Date for the formula of the f | | ate | 7. Title Amoun Underl Securi (Instr. | nt of lying | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secu Bene Own Follo Repo Trans (Instr |
|---|---|---|---|--|--|---------------------|--------------------|--|--|---|---|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Reporting Owners

| Reporting Owner Name / Address | | Relationships | | | | | |
|---|------------|---------------|---------|-------|--|--|--|
| r of the second s | Director | 10% Owner | Officer | Other | | | |
| Lego Catherine P C/O LAM RESEARCH CORPORATION 4650 CUSHING PARKWAY FREMONT, CA 94538 | X | | | | | | |
| Signatures | | | | | | | |
| Leign Cramer by Power of Attorney | 11/13/2018 | 3 | | | | | |
| **Signature of Reporting Person | Date | | | | | | |

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Amount reported includes shares subject to unvested Restricted Stock Units.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.