

JENNINGS KEVIN  
 Form 3  
 May 25, 2018

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0104  
 Expires: January 31, 2005  
 Estimated average burden hours per response... 0.5

**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
 Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person * Â JENNINGS KEVIN (Last) (First) (Middle)  C/O LAM RESEARCH CORPORATION, Â 4560 CUSHING PARKWAY (Street)  FREMONT, Â CA Â 94538 (City) (State) (Zip)	2. Date of Event Requiring Statement (Month/Day/Year) 05/16/2018	3. Issuer Name and Ticker or Trading Symbol LAM RESEARCH CORP [LRCX]	4. Relationship of Reporting Person(s) to Issuer  (Check all applicable)  <input type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other (give title below) (specify below) Senior Vice President	5. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line) <input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person
---	--	---	---	--	---

**Table I - Non-Derivative Securities Beneficially Owned**

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	9,026 <sup>(1)</sup>	D	Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative	5. Ownership Form of Derivative Security:	6. Nature of Indirect Beneficial Ownership (Instr. 5)
---	---	--	---	---	--

Edgar Filing: JENNINGS KEVIN - Form 3

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Market-based Performance Restricted Stock Unit	Â (2)	Â (2)	Common Stock	7,233	\$ 0	D	Â
Market-based Performance Restricted Stock Unit	Â (3)	Â (3)	Common Stock	5,828	\$ 0	D	Â
Market-based Performance Restricted Stock Unit	Â (4)	Â (4)	Common Stock	4,211	\$ 0	D	Â
Employee Stock Option (Right to Buy)	Â (5)	03/01/2024	Common Stock	4,660	\$ 119.67	D	Â
Employee Stock Option (Right to Buy)	Â (6)	03/01/2025	Common Stock	3,368	\$ 190.07	D	Â

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
JENNINGS KEVIN C/O LAM RESEARCH CORPORATION 4560 CUSHING PARKWAY FREMONT, CA 94538	Â	Â	Â Senior Vice President	Â

## Signatures

Leign Cramer by Power of Attorney 05/25/2018

\_\_Signature of Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Amount reported includes shares subject to unvested Restricted Stock Units.

These Market-based Performance Restricted Stock Units vest between 0% and 150% on 3/1/19. The performance of this award is based on the Lam Research Total Shareholder Return performance relative to the Philadelphia Semiconductor Sector Index Total Shareholder Return during the performance period of 2/1/16 through 1/31/19.

(3) These Market-based Performance Restricted Stock Units vest between 0% and 150% on 3/1/20. The performance of this award is based on the Lam Research Total Shareholder Return performance relative to the Philadelphia Semiconductor Sector Index Total Shareholder Return during the performance period of 2/1/17 through 1/31/20.

(4) These Market-based Performance Restricted Stock Units vest between 0% and 150% on 3/1/21. The performance of this award is based on the Lam Research Total Shareholder Return performance relative to the Philadelphia Semiconductor Sector Index Total Shareholder Return during the performance period of 2/1/18 through 1/31/21.

(5) These stock options become exercisable in three equal installments on 3/1/18, 3/1/19, and 3/1/20.

## Edgar Filing: JENNINGS KEVIN - Form 3

(6) These stock options become exercisable in three equal installments on 3/1/19, 3/1/20, and 3/1/21.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.