LAM RESEARCH CORP

Form 4 March 20, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

OMB APPROVAL

Expires: STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Estimated average **SECURITIES**

January 31, 2005

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if no longer subject to Section 16. Form 4 or Form 5 obligations may continue.

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

Common

Common

Stock

Stock

03/16/2017

03/16/2017

(Print or Type Responses)

See Instruction

			2. Issuer Name and Ticker or Trading Symbol LAM RESEARCH CORP [LRCX]					5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (Middle)	3. Date of Earliest Transaction					(Check all applicable)			
				(Month/Day/Year) 03/16/2017				DirectorX Officer (give below) Execut			
	(Street)		4. If Ame	endment, D	ate Origina	l		6. Individual or Joint/Group Filing(Check			
FREMONT, CA 94538			Filed(Month/Day/Year)					Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	(State)	(Zip)	Tab	le I - Non-l	Derivative :	Secur	ities Acai	uired, Disposed o	f. or Beneficial	lv Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Execution any	ned	3. Transacti Code (Instr. 8)	4. Securit or(A) or Di (Instr. 3,	sposed 4 and :	equired d of (D) 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect	
Common Stock	03/16/2017			Code V S	Amount 20,000 (1)	(D)	Price \$ 124.5	72,641 (2)	D		
Common Stock	03/16/2017			S	26,262 (1)	D	\$ 124.5	46,379 (2)	D		
Common Stock	03/16/2017			M	7,242 (3)	A	\$ 51.76	53,621 (2)	D		

7,242

4,829

(1)

D

46,379 (2)

51,208 (2)

D

D

S

M

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Common Stock	03/16/2017	S	4,829 (1)	D	\$ 124.5	46,379 (2)	D
Common Stock	03/16/2017	M	4,829 (3)	A	\$ 51.76	51,208 (2)	D
Common Stock	03/16/2017	S	4,829 (1)	D	\$ 124.5	46,379 (2)	D
Common Stock	03/16/2017	M	3,722 (3)	A	\$ 80.6	50,101 (2)	D
Common Stock	03/16/2017	S	3,722 (1)	D	\$ 124.5	46,379 (2)	D
Common Stock	03/16/2017	M	3,722 (3)	A	\$ 80.6	50,101 (2)	D
Common Stock	03/16/2017	S	3,722 (1)	D	\$ 124.5	46,379 (2)	D
Common Stock	03/16/2017	M	9,403 (3)	A	\$ 75.57	55,782 (2)	D
Common Stock	03/16/2017	S	9,403 (1)	D	\$ 124.5	46,379 (2)	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 51.76	03/16/2017		M	7,242 (<u>3)</u>	<u>(4)</u>	02/18/2021	Common Stock	7,242

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Employee Stock Option (Right to Buy)	\$ 51.76	03/16/2017	M	4,829 (3)	<u>(5)</u>	02/18/2021	Common Stock	4,829
Employee Stock Option (Right to Buy)	\$ 51.76	03/16/2017	M	4,829 (3)	(5)	02/18/2021	Common Stock	4,829
Employee Stock Option (Right to Buy)	\$ 80.6	03/16/2017	M	3,722 (3)	<u>(6)</u>	02/11/2022	Common Stock	3,722
Employee Stock Option (Right to Buy)	\$ 80.6	03/16/2017	M	3,722 (3)	<u>(6)</u>	02/11/2022	Common Stock	3,722
Employee Stock Option (Right to Buy)	\$ 75.57	03/16/2017	M	9,403 (<u>3)</u>	<u>(7)</u>	03/01/2023	Common Stock	9,403

Reporting Owners

Reporting Owner Name / Address		Relationships		
1 8	Director	10% Owner	Officer	Other
Gottscho Richard A 4650 CUSHING PARKWAY FREMONT, CA 94538			Executive Vice President	

Signatures

Leign Cramer by Power of Attorney 03/20/2017

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares sold pursuant to 10b5-1 Trading Plan.
- (2) Amount reported includes shares subject to unvested Restricted Stock Units.
- (3) Shares exercised pursuant to 10b5-1 Trading Plan

Reporting Owners 3

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- (4) 100% of the stock options will vest on 2/18/16.
- (5) 33.3% of the stock options will vest on 2/18/15, 2/18/16 and 2/18/17.
- (6) 33.3% of the stock options will vest on 2/11/16, 2/11/17, and 2/11/18.
- (7) 33.3% of the stock options will vest on 3/1/17, 3/1/18, and 3/1/19.

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