

BERNICK CAROL L

Form 4

January 18, 2005

**FORM 4**
**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
*See Instruction*  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
BERNICK CAROL L

(Last) (First) (Middle)

C/O ALBERTO-CULVER  
COMPANY, 2525 ARMITAGE  
AVENUE

(Street)

MELROSE PARK, IL 60160

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol

ALBERTO CULVER CO [ACV]

3. Date of Earliest Transaction  
(Month/Day/Year)

01/17/2005

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner  
☒ Officer (give title below) Other (specify below)

Chairman

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☐ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) |         |            |             | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-------------------------------------------------------------------|---------|------------|-------------|-----------------------------------------------------------------------------------------------|----------------------------------------------------------|-------------------------------------------------------|
|                                 |                                      |                                                    | Code                           | V                                                                 | Amount  | (A) or (D) | Price       |                                                                                               |                                                          |                                                       |
| Common Stock                    |                                      |                                                    |                                |                                                                   |         |            |             | 723,843                                                                                       | I                                                        | FN7 <sup>(7)</sup>                                    |
| Common Stock                    |                                      |                                                    |                                |                                                                   |         |            |             | 224,808                                                                                       | I                                                        | FN2 <sup>(2)</sup>                                    |
| Common Stock                    | 01/07/2005                           |                                                    | J                              | V                                                                 | 206,565 | D          | <u>(16)</u> | 288,435                                                                                       | I                                                        | FN16 <sup>(16)</sup>                                  |
| Common Stock                    | 01/10/2005                           |                                                    | J                              | V                                                                 | 208,730 | D          | <u>(16)</u> | 79,705                                                                                        | I                                                        | FN16 <sup>(16)</sup>                                  |
| Common Stock                    |                                      |                                                    |                                |                                                                   |         |            |             | 12,817                                                                                        | I                                                        | FN8 <sup>(8)</sup>                                    |

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|              |            |   |   |           |   |                 |           |                    |                      |
|--------------|------------|---|---|-----------|---|-----------------|-----------|--------------------|----------------------|
| Common Stock |            |   |   |           |   | 17,734          | I         | FN9 <sup>(9)</sup> |                      |
| Common Stock | 01/17/2005 | J |   | 1,376,530 | A | <sup>(21)</sup> | 5,955,857 | I                  | FN4 <sup>(4)</sup>   |
| Common Stock | 01/07/2005 | J | V | 206,565   | D | <sup>(17)</sup> | 288,435   | I                  | FN17 <sup>(17)</sup> |
| Common Stock | 01/10/2005 | J | V | 208,730   | D | <sup>(17)</sup> | 79,705    | I                  | FN17 <sup>(17)</sup> |
| Common Stock |            |   |   |           |   |                 | 1,357,331 | I                  | FN3 <sup>(3)</sup>   |
| Common Stock | 01/17/2005 | J |   | 688,265   | D | <sup>(19)</sup> | 1,159,361 | I                  | FN12 <sup>(12)</sup> |
| Common Stock | 01/17/2005 | J |   | 688,265   | D | <sup>(20)</sup> | 840,639   | I                  | FN11 <sup>(11)</sup> |
| Common Stock |            |   |   |           |   |                 | 609,859   | I                  | FN14 <sup>(14)</sup> |
| Common Stock |            |   |   |           |   |                 | 150,300   | I                  | FN6 <sup>(6)</sup>   |
| Common Stock |            |   |   |           |   |                 | 27,750    | I                  | FN10 <sup>(10)</sup> |
| Common Stock |            |   |   |           |   |                 | 52        | I                  | FN15 <sup>(15)</sup> |
| Common Stock |            |   |   |           |   |                 | 80,010    | I                  | FN1 <sup>(1)</sup>   |
| Common Stock |            |   |   |           |   |                 | 80,010    | I                  | FN13 <sup>(13)</sup> |
| Common Stock |            |   |   |           |   |                 | 80,010    | I                  | FN18 <sup>(18)</sup> |
| Common Stock |            |   |   |           |   |                 | 892,180   | I                  | FN5 <sup>(5)</sup>   |
| Common Stock | 01/10/2005 | J | V | 415,295   | A | <sup>(22)</sup> | 415,295   | I                  | FN22 <sup>(22)</sup> |
| Common Stock | 01/10/2005 | J | V | 415,295   | A | <sup>(23)</sup> | 415,295   | I                  | FN23 <sup>(23)</sup> |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2. Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. Transaction<br>Code<br>(Instr. 8) | 5. Number<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) | 7. Title and<br>Amount of<br>Underlying<br>Securities<br>(Instr. 3 and 4) | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secur<br>Bene<br>Own<br>Follo<br>Repor<br>Trans<br>(Instr |
|-----------------------------------------------------|--------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|---------------------------------------------------------------------------|-----------------------------------------------------|-----------------------------------------------------------------------------|
|                                                     |                                                                    |                                         |                                                             | Code                                 | V (A) (D)                                                                                                          | Date<br>Exercisable                                            | Expiration<br>Date                                                        | Title                                               | Amount<br>or<br>Number<br>of<br>Shares                                      |

## Reporting Owners

| Reporting Owner Name / Address                                                                  | Relationships |           |          |       |
|-------------------------------------------------------------------------------------------------|---------------|-----------|----------|-------|
|                                                                                                 | Director      | 10% Owner | Officer  | Other |
| BERNICK CAROL L<br>C/O ALBERTO-CULVER COMPANY<br>2525 ARMITAGE AVENUE<br>MELROSE PARK, IL 60160 | X             | X         | Chairman |       |

## Signatures

/Carol L.  
Bernick/ 01/18/2005

\*\*Signature of  
Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Held by the undersigned as co-trustee of a trust dated 11/14/89 for the benefit of a relative.\*\*\*
  - (2) Held by the undersigned as trustee of a trust dated 4/23/93 for her benefit.
  - (3) Held by the undersigned as trustee of a trust dated 10/31/98 for the benefit of her sister.\*\*\*
  - (4) Held by the undersigned as trustee of a trust dated 4/17/02 for her benefit.
  - (5) Held directly by the undersigned's spouse.\*\*\*
  - (6) Held by the undersigned as co-trustee of a trust dated 10/20/72 for her benefit.
  - (7) Held by the undersigned as trustee of a trust dated 9/15/93 for her benefit.
  - (8) Held by the undersigned as a participant in the Alberto-Culver Company Employees' Profit Sharing Plan.
  - (9) Held by the undersigned's spouse as a participant in the Alberto-Culver Company Employees' Profit Sharing Plan.\*\* \*
  - (10) Held by the undersigned's spouse as one of three co-trustees of a trust dated 7/7/97 for the benefit of their children.\*\*\*
  - (11) Held by the undersigned as co-trustee of a trust dated 12/18/87 for the benefit of her father.\*\*\*
  - (12) Held by the undersigned as co-trustee of a trust dated 12/18/87 for the benefit of her mother.\*\*\*

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- (13) Held by the undersigned as co-trustee of a trust dated 11/14/89 for the benefit of a relative.\*\*\*
- (14) Held by the undersigned as co-trustee of a trust dated 9/18/01 for her benefit.
- (15) Held by the undersigned's spouse as trustee of a trust dated 4/23/93 for his benefit.\*\*\*
- (16) Exempt from reporting under Rule 16a-13 as these transactions effect only a change in form of beneficial ownership without changing the undersigned's pecuniary interest. Held by the undersigned as trustee of a trust dated January 9, 2004 for her benefit, which trust is a Grantor Annuity Trust.
- (17) Exempt from reporting under Rule 16a-13 as these transactions effect only a change in form of beneficial ownership without changing the undersigned's pecuniary interest. Held by the undersigned as trustee of a trust dated January 9, 2004 for her benefit, which trust is a Grantor Annuity Trust.
- (18) Held by the undersigned as co-trustee of a trust dated 11/14/89 for the benefit of a relative.\*\*\*
- (19) Transferred by the undersigned as co-trustee of a trust dated 12/18/87 for the benefit of her mother to a limited partnership to which the undersigned, in her capacity as trustee of a trust dated 4/17/02 for her benefit, is the general partner.
- (20) Transferred by the undersigned as co-trustee of a trust dated 12/18/87 for the benefit of her father to a limited partnership to which the undersigned, in her capacity as trustee of a trust dated 4/17/02 for her benefit, is the general partner.
- (21) Transferred by the undersigned as co-trustee of trusts dated 12/18/87, one for the benefit of her mother and one for the benefit of her father, to a limited partnership to which the undersigned, in her capacity as trustee of a trust dated 4/17/02 for her benefit, is the general partner.
- (22) Exempt from reporting under Rule 16a-13 as these transactions effect only a change in form of beneficial ownership without changing the undersigned's pecuniary interest. Held by the undersigned as trustee of a trust dated 1/10/05 for her benefit, which trust is a Grantor Annuity Trust.
- (23) Exempt from reporting under Rule 16a-13 as these transactions effect only a change in form of beneficial ownership without changing the undersigned's pecuniary interest. Held by the undersigned as trustee of a trust dated 1/10/05 for her benefit, which trust is a Grantor Annuity Trust.

### Remarks:

\*\*\*The filing of this report shall not be deemed an admission by the undersigned that she is the beneficial owner of these securities.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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