

DIEBOLD NIXDORF, Inc
Form SD
May 30, 2017

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549

FORM SD
Specialized Disclosure Report

Diebold Nixdorf, Incorporated
(Exact name of registrant as specified in its charter)

Ohio	1-4879	34-0183970
(State or other jurisdiction of incorporation or organization)	(Commission File Number)	(I.R.S. Employer Identification No.)

5995 Mayfair Road, P.O. Box 3077, North Canton, Ohio	44720-8077
(Address of principal executive offices)	(Zip Code)

Elizabeth C. Radigan, Vice President and Chief Ethics and Compliance
Officer
(330) 490-6046

(Name and telephone number, including area code, of the person to contact in connection with this report)
Check the appropriate box to indicate the rule pursuant to which this form is being filed, and provide the period to
which the information in this form applies:
ý Rule 13p-1 under the Securities Exchange Act (17 CFR 240.13p-1) for the reporting period from January 1 to
December 31, 2016.

Section 1 - Conflict Minerals Disclosure

Item 1.01 Conflict Minerals Disclosure and Report

Conflict Minerals Disclosure

Diebold Nixdorf, Incorporated (including its consolidated subsidiaries, the “Company”) is filing this Form SD pursuant to Rule 13p-1 under the Securities Exchange Act of 1934, as amended, for the reporting period from January 1, 2016 to December 31, 2016.

The brief description of the Company’s reasonable country of origin inquiry (“RCOI”), the results of its RCOI, and the determination the Company reached as a result of its RCOI are included in its Conflict Minerals Report, attached as an exhibit to this Form SD.

A copy of the Company’s Conflict Minerals Report is filed as Exhibit 1.01 hereto and is publicly available at <http://www.dieboldnixdorf.com/en-us/company/about-diebold-nixdorf/sustainability/diebold-nixdorf-corporate-responsibility>. The content on, or accessible through, any website referred to in this Form SD is not incorporated by reference into this Form SD unless expressly noted.

Item 1.02 Exhibit

A copy of the Company’s Conflict Minerals Report as required by Item 1.01 is filed as Exhibit 1.01 hereto.

Section 2 - Exhibits

Item 2.01 Exhibits

The following exhibit is filed as part of this report:

Exhibit 1.01 - Conflict Minerals Report as required by Items 1.01 and 1.02 of this Form SD.

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the duly authorized undersigned.

Diebold Nixdorf, Incorporated

May 30, 2017 By: /s/ Jonathan B. Leiken

Name: Jonathan B. Leiken

Title: Senior Vice President, Chief Legal Officer and Secretary

EXHIBIT INDEX

Exhibit Number	Description
1.01	Conflict Minerals Report as required by Items 1.01 and 1.02 of this Form SD

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Director	August 4, 2009
T. Alex McPherson, M.D., Ph.D.	

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Director	August 4, 2009
Robert R. Tufts	

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Director	August 4, 2009
Tony D.S. Wicks	

* /s/ Ramin Najafi
By:
Ramin Najafi
Attorney-in-Fact

Table of Contents

EXHIBIT INDEX

EXHIBIT NUMBER	DESCRIPTION OF DOCUMENT
3.1(1)	Amended and Restated Articles of Incorporation
3.2(1)	Amended and Restated Bylaws
4.1(2)	Specimen Common Stock Certificate
4.2(3)	Specimen Preferred Stock Certificate and Form of Certificate of Designations
4.3(5)	Form of Indenture
4.4(3)	Form of Debt Securities
4.5(5)	Form of Common Stock Warrant Agreement, including Form of Warrant Certificate
4.6(5)	Form of Preferred Stock Warrant Agreement, including Form of Warrant Certificate
4.7(5)	Form of Debt Securities Warrant Agreement, including Form of Warrant Certificate
4.8(3)	Form of Unit Agreement
5.1(5)	Opinion of Cooley Godward Kronish llp
12.1(5)	Statement Regarding Computation of Ratio of Earnings to Fixed Charges and Ratio of Combined Fixed Charges and Preferred Stock Dividends
<u>23.1</u>	Consent of Davidson & Company LLP
23.2	Consent of Cooley Godward Kronish llp (included in Exhibit 5.1)
24.1	Power of Attorney (included in Part II of this Registration Statement as originally filed)
25.1(4)	Statement of Eligibility of trustee on Form T-1

- (1) Incorporated by reference to the exhibit of the same number from NovaBay's quarterly report on Form 10-Q for the quarter ended September 30, 2007 as filed with the SEC on November 15, 2007.
- (2) Incorporated by reference to the exhibit of the same number from NovaBay's registration statement on Form S-1, registration number 333-140714.
- (3) If applicable, to be filed by amendment or by a report filed under the Securities Exchange Act of 1934, as amended, and incorporated herein by reference.
- (4) To be filed pursuant to Rule 305(b)(2) of the Trust Indenture Act.

- (5) Previously filed with the original filing of this registration statement.
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