

VAGELOS P ROY  
Form 4  
August 23, 2017

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
VAGELOS P ROY

(Last) (First) (Middle)

777 OLD SAW MILL RIVER ROAD

(Street)

TARRYTOWN, NY 10591

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
REGENERON  
PHARMACEUTICALS INC  
[REGN]

3. Date of Earliest Transaction (Month/Day/Year)  
08/21/2017

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
Chairman of the Board

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock	08/21/2017		S		22,118	D	\$ 470.23 (1)
Common Stock	08/21/2017		S		1,951	D	\$ 471.09 (2)
Common Stock	08/21/2017		S		200	D	\$ 472.16 (3)

Edgar Filing: VAGELOS P ROY - Form 4

Common Stock	08/22/2017	S	400	D	\$ <u>470.64</u>	361,087	D	
Common Stock	08/22/2017	S	600	D	\$ <u>471.63</u>	360,487	D	
Common Stock	08/22/2017	S	900	D	\$ <u>472.67</u>	359,587	D	
Common Stock	08/22/2017	S	200	D	\$ <u>473.65</u>	359,387	D	
Common Stock	08/22/2017	S	2,400	D	\$ <u>474.64</u>	356,987	D	
Common Stock	08/22/2017	S	5,610	D	\$ <u>475.49</u>	351,377	D	
Common Stock	08/22/2017	S	5,744	D	\$ <u>476.46</u>	345,633	D	
Common Stock	08/22/2017	S	3,473	D	\$ <u>477.52</u>	342,160	D	
Common Stock	08/22/2017	S	1,600	D	\$ <u>478.51</u>	340,560	D	
Common Stock	08/22/2017	S	600	D	\$ <u>479.63</u>	339,960	D	
Common Stock	08/22/2017	S	5,250	D	\$ <u>480.62</u>	334,710	D	
Common Stock	08/22/2017	S	4,661	D	\$ <u>481.37</u>	330,049	D	
Common Stock	08/22/2017	S	400	D	\$ 482.72	329,649	D	
Common Stock	08/22/2017	S	106	D	\$ 483	329,543	D	
Common Stock						2,300	I	By 401(k) Plan
						150,285	I	by CLAT

Common Stock							
Common Stock				83,652	I		by Spouse as Trustee
Common Stock				1,203	I		by trust for grandch <u>(16)</u>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr
						Date Exercisable	Expiration Date	Title	Amount or Number of Shares
						Code	V (A) (D)		

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
VAGELOS P ROY 777 OLD SAW MILL RIVER ROAD TARRYTOWN, NY 10591	X		Chairman of the Board	

## Signatures

/s/\*\*P. Roy  
Vagelos 08/22/2017  
\*\*Signature of Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents volume-weighted average price of sales of 22,118 shares of Company stock on August 21, 2017 at prices ranging from \$470.00 to \$470.99. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on August 21, 2017 at each separate price.
- (2) Represents volume-weighted average price of sales of 1,951 shares of Company stock on August 21, 2017 at prices ranging from \$471.00 to \$471.86. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on August 21, 2017 at each separate price.
- (3) Represents volume-weighted average price of sales of 200 shares of Company stock on August 21, 2017 at prices ranging from \$472.08 to \$472.24. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on August 21, 2017 at each separate price.
- (4) Represents volume-weighted average price of sales of 400 shares of Company stock on August 22, 2017 at prices ranging from \$470.50 to \$470.84. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on August 22, 2017 at each separate price.
- (5) Represents volume-weighted average price of sales of 600 shares of Company stock on August 22, 2017 at prices ranging from \$471.16 to \$471.99. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on August 22, 2017 at each separate price.
- (6) Represents volume-weighted average price of sales of 900 shares of Company stock on August 22, 2017 at prices ranging from \$472.38 to \$472.97. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on August 22, 2017 at each separate price.
- (7) Represents volume-weighted average price of sales of 200 shares of Company stock on August 22, 2017 at prices ranging from \$473.55 to \$473.75. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on August 22, 2017 at each separate price.
- (8) Represents volume-weighted average price of sales of 2,400 shares of Company stock on August 22, 2017 at prices ranging from \$474.00 to \$474.91. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on August 22, 2017 at each separate price.
- (9) Represents volume-weighted average price of sales of 5,610 shares of Company stock on August 22, 2017 at prices ranging from \$475.00 to \$475.94. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on August 22, 2017 at each separate price.
- (10) Represents volume-weighted average price of sales of 5,744 shares of Company stock on August 22, 2017 at prices ranging from \$476.01 to \$476.99. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on August 22, 2017 at each separate price.
- (11) Represents volume-weighted average price of sales of 3,473 shares of Company stock on August 22, 2017 at prices ranging from \$477.00 to \$477.99. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on August 22, 2017 at each separate price.
- (12) Represents volume-weighted average price of sales of 1,600 shares of Company stock on August 22, 2017 at prices ranging from \$478.10 to \$478.93. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on August 22, 2017 at each separate price.
- (13) Represents volume-weighted average price of sales of 600 shares of Company stock on August 22, 2017 at prices ranging from \$479.00 to \$479.99. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on August 22, 2017 at each separate price.
- (14) Represents volume-weighted average price of sales of 5,250 shares of Company stock on August 22, 2017 at prices ranging from \$480.06 to \$480.97. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on August 22, 2017 at each separate price.
- (15) Represents volume-weighted average price of sales of 4,661 shares of Company stock on August 22, 2017 at prices ranging from \$481.00 to \$481.95. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on August 22, 2017 at each separate price.
- (16) By a trust for the benefit of certain grandchildren of the reporting person, of which the reporting person and/or the spouse of the reporting person is trustee.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

## Edgar Filing: VAGELOS P ROY - Form 4

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.