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Cellular Biomedicine Group, Inc.

Form 8-K April 23, 2018

**UNITED STATES** SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

FORM 8-K

## **CURRENT REPORT**

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): April 23, 2018

## CELLULAR BIOMEDICINE GROUP, INC.

(Exact name of registrant as specified in its charter)

001-36498 86-1032927 Delaware

(IRS Employer Identification No.) (State or other Jurisdiction of Incorporation) (Commission File Number)

19925 Stevens Creek Blvd., Suite 100

95014 Cupertino, California

(Address of Principal Executive Offices) (Zip Code)

Registrant's telephone number, including area code: (408) 973-7884

(Former name or former address, if changed since last report.)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

Item 8.01 Other Events.

On April 21, 2018, the China Food and Drug Administration (CFDA) Center for Drug Evaluation (CDE) posted on its website acceptance of the Investigative New Drug (IND) application for chimeric antigen receptor T cells (CAR-T) cancer therapies in treating patients with adult acute lymphoblastic leukemia (ALL) submitted by Cellular Biomedicine Group (Shanghai) Ltd. and Shanghai Cellular Biopharmaceutical Group Ltd., two of our wholly-owned subsidiaries of Cellular Biomedicine Group, Inc. (collectively, the Company). There can be no assurance that the application will ultimately be approved.

In December 2017, the Chinese government issued clinical trial guidelines concerning development and testing of cell therapy products, including immune cell therapies such as CAR-T cell therapeutics. The Company currently has ongoing CAR-T Phase I clinical trials in China; CARD-1 (CAR-T Against DLBCL) for NHL and Diffuse Large B-cell Lymphoma (DLBCL), and CALL-1 (CAR-T against Acute Lymphoblastic Leukemia) CD19 CAR-T therapy utilizing its optimized proprietary C-CAR011 construct for the treatment of patients with relapsed or refractory (r/r) CD19+ B-cell ALL.

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## **SIGNATURE**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Cellular Biomedicine Group, Inc.

Date: April 23, 2018 By: /s/ Bizuo (Tony) Liu

Bizuo (Tony) Liu Chief Executive Officer