

CONCIERGE TECHNOLOGIES INC
Form 4
December 12, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Nicholas & Melinda Gerber Living Trust

2. Issuer Name and Ticker or Trading Symbol
CONCIERGE TECHNOLOGIES INC [cneg]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
127 DEVIN DRIVE
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
12/08/2016

____ Director
____ Officer (give title below)
 10% Owner
____ Other (specify below)

MORAGA, CA 94556

(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Ownership (Instr. 4)
				(A) or (D) Price			
Common stock ⁽¹⁾	12/08/2016		J ⁽¹⁾	V Amount 286,882,373 A ⁽²⁾	313,549,040 ⁽³⁾	D ⁽⁴⁾	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Amount
				Code V (A) (D)		Date Exercisable Expiration Date	Title	Number Shares
Series B Voting, Convertible, Preferred Stock	\$ 0	12/08/2016 ⁽¹⁾		J ⁽¹⁾	9,354,119	⁽⁵⁾ ⁽⁵⁾	Common Stock	187,0

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Nicholas & Melinda Gerber Living Trust 127 DEVIN DRIVE MORAGA, CA 94556			X	

Signatures

Nicholas Gerber 12/12/2016
 **Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) On September 19, 2016, Concierge Technologies, Inc., a Nevada corporation (the "Company"), entered into a conditional Stock Purchase Agreement (the "Agreement"), dated September 19, 2016, with Wainwright Holdings, Inc., a Delaware corporation ("Wainwright") and certain shareholders of Wainwright (the "Sellers"). The Agreement closed on December 9, 2016, resulting in the acquisition of shares as reported on this Form 4. A copy of the Agreement can be found on the Company's Form 8-K filed with the Commission on September 20, 2016.
- (2) The shares were issued at a cost basis of \$0.085 per share.
- (3) The Holder previously reported beneficial ownership on Form 3, filed with the Commission on February 5, 2015. The Company completed a 1:10 reverse stock split on December 15, 2015 (the "Reverse Split"). The amount of securities beneficially owned reflects the Reverse Split.
- (4) Nicholas Gerber and Melinda Gerber have voting dispositive control over the shares beneficially owned by the Nicholas Melinda Gerber Living Trust.
- (5) Each share of the Series B Voting, Convertible, Preferred Stock is convertible into 20 shares of common stock at any time (except as restricted below), at the holder's election, and has no expiration date. A holder of shares of Series B Voting, Convertible, Preferred Stock may not exercise its conversion rights until after 270 days after the date of issuance of the shares and, if exercised, must be exercised with regard to all shares of the series held by such holder and, provided further, no conversion shall take place until the Company has amended its Articles of Incorporation to ensure there are authorized shares of common stock at least sufficient to allow all shares of this Series to be converted into common stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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