

INC Research Holdings, Inc.
Form 4
June 07, 2016

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Gibertini Michael

2. Issuer Name and Ticker or Trading Symbol

INC Research Holdings, Inc. [INCR]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

___ Director ___ 10% Owner
X Officer (give title below) ___ Other (specify below)
President Clinical Development

(Last) (First) (Middle)
C/O INC RESEARCH HOLDINGS, INC., 3201 BEECHLEAF COURT, SUITE 600

3. Date of Earliest Transaction (Month/Day/Year)
06/06/2016

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
X Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

(Street)
RALEIGH, NC 27604

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Class A Common Stock	06/06/2016		M ⁽¹⁾		153,716	A	\$ 8.45
							200,998
Class A Common Stock	06/06/2016		S ⁽¹⁾		144,682	D	\$ 43.0528
							56,316
Class A Common Stock	06/06/2016		S ⁽¹⁾		9,034	D	\$ 43.8318
							47,282
Class A Common Stock	06/06/2016		M ⁽¹⁾		14,628	A	\$ 10.57
							61,910

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Common Stock							
Class A Common Stock	06/06/2016	S ⁽¹⁾	13,751	D	\$ 43.1851 (4)	48,159	D
Class A Common Stock	06/06/2016	S ⁽¹⁾	877	D	\$ 43.816 (5)	47,282	D
Class A Common Stock	06/06/2016	M ⁽¹⁾	17,752	A	\$ 16.06	65,034	D
Class A Common Stock	06/06/2016	S ⁽¹⁾	16,652	D	\$ 43.0494 (6)	48,382	D
Class A Common Stock	06/06/2016	S ⁽¹⁾	1,100	D	\$ 43.7566 (7)	47,282	D
Class A Common Stock	06/06/2016	S ⁽¹⁾	26,394	D	\$ 43.05 (2)	20,888	D
Class A Common Stock	06/06/2016	S ⁽¹⁾	1,476	D	\$ 43.843 (8)	19,412	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount of Number of Shares
Stock Option (Right to Buy)	\$ 8.45	06/06/2016		M ⁽¹⁾	153,716	(9)	09/28/2020	Class A Common Stock	153,716

Class A
Common
Stock)

Stock
Option
(Right to
Buy \$ 10.57 06/06/2016 M⁽¹⁾ 14,628 ⁽¹⁰⁾ 08/17/2022 Class A
Common
Stock) 14,628

Stock
Option
(Right to
Buy \$ 16.06 06/06/2016 M⁽¹⁾ 17,752 ⁽¹¹⁾ 06/30/2024 Class A
Common
Stock) 17,752

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Gibertini Michael C/O INC RESEARCH HOLDINGS, INC. 3201 BEECHLEAF COURT, SUITE 600 RALEIGH, NC 27604			President Clinical Development	

Signatures

/s/ Christopher L. Gaenzle, 06/07/2016
Attorney-in-Fact

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The transactions reported on this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on May 4, 2016.
- (2) The price reported in column 4 is a weighted-average price. These shares were sold in multiple transactions at prices ranging from \$42.69 to \$43.68, inclusive. The reporting person will provide to the Issuer, any security holder of the Issuer, or the SEC staff, upon request, information regarding the number of shares sold at each price within the range.
- (3) The price reported in column 4 is a weighted-average price. These shares were sold in multiple transactions at prices ranging from \$43.69 to \$44.18, inclusive. The reporting person will provide to the Issuer, any security holder of the Issuer, or the SEC staff, upon request, information regarding the number of shares sold at each price within the range.
- (4) The price reported in column 4 is a weighted-average price. These shares were sold in multiple transactions at prices ranging from \$42.70 to \$43.68, inclusive. The reporting person will provide to the Issuer, any security holder of the Issuer, or the SEC staff, upon request, information regarding the number of shares sold at each price within the range.
- (5)

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The price reported in column 4 is a weighted-average price. These shares were sold in multiple transactions at prices ranging from \$43.71 to \$43.95, inclusive. The reporting person will provide to the Issuer, any security holder of the Issuer, or the SEC staff, upon request, information regarding the number of shares sold at each price within the range.

- (6) The price reported in column 4 is a weighted-average price. These shares were sold in multiple transactions at prices ranging from \$43.68 to \$43.67, inclusive. The reporting person will provide to the Issuer, any security holder of the Issuer, or the SEC staff, upon request, information regarding the number of shares sold at each price within the range.

- (7) The price reported in column 4 is a weighted-average price. These shares were sold in multiple transactions at prices ranging from \$43.68 to \$43.96, inclusive. The reporting person will provide to the Issuer, any security holder of the Issuer, or the SEC staff, upon request, information regarding the number of shares sold at each price within the range.

- (8) The price reported in column 4 is a weighted-average price. These shares were sold in multiple transactions at prices ranging from \$43.69 to \$44.23, inclusive. The reporting person will provide to the Issuer, any security holder of the Issuer, or the SEC staff, upon request, information regarding the number of shares sold at each price within the range.

- (9) One half of the shares subject to this option vest yearly in five equal installments beginning on September 28, 2011, subject to continued employment. The other half of the shares subject to this option vest yearly in five equal installments beginning on December 31, 2013, subject to continued employment.

- (10) One half of the shares subject to this option vest yearly in five equal installments beginning on August 17, 2013, subject to continued employment. The other half of the shares subject to this option vest yearly in five equal installments beginning on December 31, 2013, subject to continued employment.

- (11) One half of the shares subject to this option vest yearly in five equal installments beginning on June 30, 2015, subject to continued employment. The other half of the shares subject to this option vest yearly in five equal installments beginning on December 31, 2014, subject to continued employment.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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