Edgar Filing: Bergman Judson - Form 4

Bergman Jud Form 4	dson										
April 01, 20	19										
FORM	14								OMB AF	PROVAL	
	UNIII	ED STATES		RITIES A shington,			NGE C	OMMISSION	OMB Number:	3235-0287	
Check th if no long		~~~~					Expires:	January 31, 2005			
subject to	F CHAN			ICIA		NERSHIP OF	Estimated a	verage			
	Section 16. Form 4 or				SECURITIES					rs per 0.5	
Form 5	Filed	pursuant to S	Section 1	6(a) of the	e Securit	ies E	xchange	e Act of 1934,	response	0.0	
obligatio may cont <i>See</i> Instru 1(b).	tinue. Section			tility Hold vestment	•	· ·		² 1935 or Section 0	n		
(Print or Type I	Responses)										
1. Name and Address of Reporting Person <u>*</u> Bergman Judson			2. Issuer Name and Ticker or Trading Symbol ENVESTNET, INC. [ENV]				ıg	5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First)	(Middle)		f Earliest Tra	-	. 1		(Checl	k all applicable)	
35 EAST WACKER DRIVE, SUITE			(Month/Day/Year)					_X_ Director _X_ Officer (give			
2400								below) Chief E	below) Executive Offic	er	
				Amendment, Date Original (Month/Day/Year)				 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person 			
CHICAGO,	, IL 60601							Form filed by M Person			
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date any (Month/Day/Year)			n Date, if	Date, if Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) y/Year) (Instr. 8)			Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)			
				Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)			
Common Stock	03/28/2019			F <u>(1)</u>	859	D	\$ 64.65	770,294	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3,			7. Titl Amou Under Secur (Instr.	int of lying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
				Code V	4, and 5)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	ss Relationships							
	Director	10% Owner	Officer	Other				
Bergman Judson 35 EAST WACKER DRIVE SUITE 2400 CHICAGO, IL 60601	Х		Chief Executive Officer					
Signatures								
/s/ Shelly O'Brien, by Power-o Bergman	04/01/2019							
<u>**</u> Signature of Repo	Date							

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reporting person is reporting the withholding by Envestnet, Inc. of shares of common stock to satisfy the reporting person's tax withholding obligations in connection with the vesting of restricted stock units.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.