LAM RESEARCH CORP

Form 4 March 03, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Number: January 31, 2005

0.5

OMB APPROVAL

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

burden hours per response...

Estimated average

1(b).

Common

Stock

03/01/2016

(Print or Type Responses)

| 1. Name and A Anstice Mar | Symbol | 2. Issuer Name and Ticker or Trading Symbol LAM RESEARCH CORP [LRCX] | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | |
|--------------------------------------|---|---|--------------|---|--|--|---|--|
| (Last) | (First) (M | (iddle) 3. Date of | Earliest Tra | ansaction | | | • | |
| 4650 CUSH | ` | (Month/Day/Year) 03/01/2016 | | | we title Oth below) esident and CEO | er (specify | | |
| | 4. If Ame | 4. If Amendment, Date Original | | | 6. Individual or Joint/Group Filing(Check | | | |
| FREMONT, | Filed(Mor | Filed(Month/Day/Year) | | | Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person | | | |
| (City) | (State) | Zip) Tabl | e I - Non-D | erivative Securities Acq | quired, Disposed | of, or Beneficial | lly Owned | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | Code | 4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |

Code V

Α

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Reported

Transaction(s) (Instr. 3 and 4)

 $165,867 \frac{(2)}{}$

D

(A)

(D)

A

Price

\$0

Amount

32,552

(1)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. Number of onDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | Expiration Date Underl | | 7. Title and A Underlying S (Instr. 3 and | Securitie |
|---|---|---|---|--|---|------------------------|--------------------|---|------------------------------|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amou or Numb of Sha |
| Employee Stock Option (Right to Buy) | \$ 75.57 | 03/01/2016 | | A | 65,103 | (3) | 03/01/2023 | Common Stock | 65,10 |
| Market-based Performance Restricted Stock Unit | \$ 0 | 03/01/2016 | | A | 54,253 | <u>(4)</u> | <u>(4)</u> | Common Stock | 54,2 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | |
|--------------------------------|---------------|-----------|-------------------|-------|--|--|
| 1 | Director | 10% Owner | Officer | Other | | |
| Anstice Martin B | | | | | | |
| 4650 CUSHING PARKWAY | | | President and CEO | | | |
| FREMONT, CA 94538 | | | | | | |

Signatures

Brenna Hodgson by Power of Attorney 03/03/2016

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 33.3% of the RSUs will vest on 3/1/17, 3/1/18, and 3/1/19.
- (2) Amount reported includes shares subject to unvested Restricted Stock Units.
- (3) 33.3% of the stock options will vest on 3/1/17, 3/1/18, and 3/1/19.

These Market-based Performance Restricted Stock Units vest between 0% and 150% on 3/1/19. The performance of these awards is (4) based on the Lam Research Total Shareholder Return performance relative to the Philadelphia Semiconductor Sector Index Total Shareholder Return during the performance period of (2/1/16 to 2/1/19).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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