Oxford Lane Capital Corp. Form N-PX August 27, 2018

**UNITED STATES** 

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM N-PX

#### ANNUAL REPORT OF PROXY VOTING RECORD OF

#### REGISTERED MANAGEMENT INVESTMENT COMPANY

Investment Company Act file number: 811-22432

#### Oxford Lane Capital Corp.

(Exact name of registrant as specified in charter)

8 Sound Shore Drive, Suite 255

Greenwich, CT 06830

(Address of principal executive offices)

Jonathan H. Cohen Chief Executive Officer Oxford Lane Capital Corp. 8 Sound Shore Drive, Suite 255 Greenwich, CT 06830

(Name and address of agent for service)

Registrant's telephone number, including area code: (203) 983-5275

Date of fiscal year end: March 31

Date of reporting period: July 1, 2017 – June 30, 2018

#### **Item 1. Proxy Voting Record.**

**Issuer Name**: Telos CLO 2013-3

Meeting Date: July 17, 2017 (Record Date)

Meeting Type: Written Consent

Ticker: N/A

**CUSIP**: 87974GAA1

Proposal No.	Proposal	Proposed	Vote Cast Sponsor	
	Ву	By	("OXLC") Rec	vote Cast Sponsor
1	This Supplemental Indenture request amended the indenture for several definitions to reflect the terms of the refinancing executed via Optional Redemption.	Manager	For	Consented Manager

**Issuer Name**: Telos CLO 2013-3

Meeting Date: July 17, 2017 (Record Date)

Meeting Type: Written Consent

Ticker: N/A

CUSIP: US87974GAB95

Droposal		Proposed	OJXO	LC		
Proposal No.	Proposal	By	Vote Rec	Vote Cast Sponsor		
2	This Supplemental Indenture request amended the indenture for several definitions to reflect the terms of the refinancing executed via Optional Redemption.	Manager	For	Consented Manager		

**Issuer Name**: Telos CLO 2013-3

Meeting Date: July 17, 2017 (Record Date)

Meeting Type: Written Consent

Ticker: N/A

CUSIP: USG87562AA56

Proposal		Proposed	IOXLO	ıC			
Proposal No.	Proposal	By	V Rec	<b>Vote Cast Sponsor</b>			
3	This Supplemental Indenture request amended the indenture for several definitions to reflect the terms of the refinancing executed via Optional Redemption.	ı Manager	For	Consented Manager			

**Issuer Name**: CIFC Funding 2014-III **Meeting Date**: July 19, 2017 (Record Date)

Meeting Type: Written Consent

Ticker: N/A

CUSIP: US12549TAA79

Proposal	Proposal	Propose	dOXLO	OXLC Vote Cast Sponsor			
No.		By Rec	, ore custs pointed				
4	This Supplemental Indenture request amended the indenture for several definitions to reflect the terms of the refinancing executed via Optional Redemption.	a Manager	For	Consented Manager			

**Issuer Name**: CIFC Funding 2014-III **Meeting Date**: July 19, 2017 (Record Date)

Meeting Type: Written Consent

Ticker: N/A

CUSIP: USG2206CAA20

Proposal	Proposal	Proposed	IOXLC	LC Vote Cast Sponsor		
No.	_	By	Rec	_		
	This Supplemental Indenture request amended the indenture for					
5	several definitions to reflect the terms of the refinancing executed via	Manager	For	Consented Manager		
	Optional Redemption.					

Issuer Name: Ares XLIII CLO

Meeting Date: July 27, 2017 (Record Date)

Meeting Type: Written Consent

Ticker: N/A

**CUSIP**: 04017AAC4

Proposal	Proposal	Proposed	IOXLC	C Vote Cast Sponsor	
No.		By	Rec	<del>-</del> !	
6	This Supplemental Indenture request amended the indenture for several definitions to relating to Section 1.1 of the Indenture.	Manager	For	Consented Manager	

Issuer Name: Venture XIV CLO

Meeting Date: August 15, 2017 (Record Date)

Meeting Type: Written Consent

Ticker: N/A

CUSIP: US92328HAC88

Droposal		Proposed	OXLO	XLC		
Proposal No.	Proposal			Vote Cast Sponsor		
110.		By	Rec			
	This Supplemental Indenture request amended the indenture for					
7	several definitions to reflect the terms of the refinancing executed via	Manager	For	Consented Manager		
	Optional Redemption.					

**Issuer Name**: OFSI Fund VII

Meeting Date: August 22, 2017 (Record Date)

Meeting Type: Written Consent

Ticker: N/A

**CUSIP**: 67107GAC9

Proposal	Proposal	Proposed	IOXLC	.C Vote Cast Sponsor		
No.	Тороза	By	Rec	vote Cast Sponsor		
8	OXLC elected in favor of a refinancing of this deal via an Optional Redemption pursuant to the deal indenture.	Manager	For	Consented Manager		

Issuer Name: AMMC CLO XII

Meeting Date: August 30, 2017 (Record Date)

Meeting Type: Written Consent

Ticker: N/A

CUSIP: US00176EAE77

Duonocal		Proposed	posed OXLC		
Proposal No.	Proposal			<b>Vote Cast Sponsor</b>	
110.		By	Rec		
9	OXLC elected in favor of a refinancing of this deal via an Optional Redemption pursuant to the deal indenture.	Manager	For	Consented Manager	

Issuer Name: Atrium XII

Meeting Date: September 5, 2017 (Record Date)

Meeting Type: Written Consent

Ticker: N/A

**CUSIP**: 04964YAE1

Proposal No.	Proposal	Proposed	IOXLC	C Vote Cast Sponsor	
NO.		By	Rec	lec	
10	OXLC elected in favor of a refinancing of this deal via an Optional Redemption pursuant to the deal indenture.	Manager	For	Consented Manager	

Issuer Name: Carlyle Global Market Strategies CLO 2013-2

Meeting Date: September 8, 2017 (Record Date)

Meeting Type: Written Consent

Ticker: N/A

**CUSIP: 14310EAG0** 

Droposal		Proposed	OXLC		
Proposal No.	Proposal			<b>Vote Cast Sponsor</b>	
110.		By	Rec	•	
11	OXLC elected in favor of a refinancing of this deal via an Optional Redemption pursuant to the deal indenture.	Manager	For	Consented Manager	

**Issuer Name**: Carlyle Global Market Strategies CLO 2013-2

Meeting Date: September 8, 2017 (Record Date)

Meeting Type: Written Consent

Ticker: N/A

CUSIP: USG19129AD03

Droposal		Proposed	OJIXOE	·C		
Proposal No.	Proposal			<b>Vote Cast Sponsor</b>		
NO.		By	Rec	_		
12	OXLC elected in favor of a refinancing of this deal via an Optional Redemption pursuant to the deal indenture.	Manager	For	Consented Manager		

**Issuer Name**: Telos CLO 2013-4

Meeting Date: September 14, 2017 (Record Date)

Meeting Type: Written Consent

Ticker: N/A

**CUSIP**: 87974JAA5

Proposal		Proposed	IOXLO			
No.	Proposal			<b>Vote Cast Sponsor</b>		
110.		By Rec				
13	OXLC elected in favor of an Optional Redemption pursuant to the deal indenture.	Manager	For	Consented Manager		

Issuer Name: Venture XXI CLO

Meeting Date: September 18, 2017 (Record Date)

Meeting Type: Written Consent

Ticker: N/A

CUSIP: US92330LAE11

Proposal	Proposal	Proposed	IOXLC	LC Vote Cast Sponsor	
No.		By Rec			
14	OXLC elected in favor of a refinancing of this deal via an Optional Redemption pursuant to the deal indenture.	Manager	For	Consented Manager	

Issuer Name: Venture XXI CLO

Meeting Date: September 18, 2017 (Record Date)

Meeting Type: Written Consent

Ticker: N/A

CUSIP: USG93505AC29

Duanagal		Proposed OXLC		
Proposal	Proposal			<b>Vote Cast Sponsor</b>
No.		By	Rec	
15	OXLC elected in favor of a refinancing of this deal via an Optional Redemption pursuant to the deal indenture.	Manager	For	Consented Manager

**Issuer Name**: Race Point IX CLO

Meeting Date: September 21, 2017 (Record Date)

Meeting Type: Written Consent

Ticker: N/A

**CUSIP**: 74981G300

Proposal	Proposal	Proposed	OXLO		
No.	Proposal	By Rec	Rec	<b>Vote Cast Sponsor</b>	
	This Supplemental Indenture request amended the indenture for	•			
16	several definitions to reflect the terms of the refinancing executed via	Manager	For	Consented Manager	
	Optional Redemption.				

**Issuer Name**: Telos CLO 2013-4

Meeting Date: September 26, 2017 (Record Date)

Meeting Type: Written Consent

Ticker: N/A

**CUSIP**: 87974JAA5

			oseaOXLC			
Proposal No. Proposal				<b>Vote Cast Sponsor</b>		
		By	Rec			
17	OXLC elected in favor of amending the Notice of Refinancing	Manager	For	Consented Manager		

**Issuer Name**: Ivy Hill Middle Market Credit Fund VII **Meeting Date**: September 27, 2017 (Record Date)

Meeting Type: Written Consent

Ticker: N/A

**CUSIP**: 46602YAC4

Droposal		Proposed OXLC		
Proposal No.	Proposal			<b>Vote Cast Sponsor</b>
No.		By Rec		
18	OXLC elected in favor of a refinancing of this deal via an Optional Redemption pursuant to the deal indenture.	Manager	For	Consented Manager

Issuer Name: OFSI Fund VII

Meeting Date: October 3, 2017 (Record Date)

Meeting Type: Written Consent

Ticker: N/A

**CUSIP**: 67107GAC9

Proposal No.	Proposal	Proposed	IOXLO	Vote Cast Sponsor
No.	•	By	Rec	•
19	This Supplemental Indenture request amended the indenture for several definitions to reflect the terms of the refinancing executed via Optional Redemption.	Manager	For	Consented Manager

Issuer Name: Venture XXI CLO

Meeting Date: October 16, 2017 (Record Date)

Meeting Type: Written Consent

Ticker: N/A

CUSIP: US92330LAE11

Proposal No.	Proposal	Proposed	IOXLC	C Vote Cast Sponsor		
No.		By Rec				
	This Supplemental Indenture request amended the indenture for					
20	several definitions to reflect the terms of the refinancing executed via	Manager	For	Consented Manager		
	Optional Redemption.					

Issuer Name: Venture XXI CLO

Meeting Date: October 16, 2017 (Record Date)

Meeting Type: Written Consent

Ticker: N/A

CUSIP: USG93505AC29

Proposal	Proposal	Proposed	dOXLC	C Vote Cast Sponsor
No.		By Rec	<b>I</b>	
21	This Supplemental Indenture request amended the indenture for several definitions to reflect the terms of the refinancing executed via Optional Redemption.	a Manager	For	Consented Manager

**Issuer Name**: Ivy Hill Middle Market Credit Fund VII **Meeting Date**: October 20, 2017 (Record Date)

Meeting Type: Written Consent

Ticker: N/A

**CUSIP**: 46602YAC4

Proposal	Proposal	Proposed OXLC Vote Cast Spon		
No.	Toposar	By Rec	Rec	vote Cast Sponsor
	This Supplemental Indenture request amended the indenture for			
22	several definitions to reflect the terms of the refinancing executed via	Manager	For	Consented Manager
	Optional Redemption.			

Issuer Name: Atrium XII

Meeting Date: November 6, 2017 (Record Date)

Meeting Type: Written Consent

Ticker: N/A

**CUSIP**: 04964YAE1

Proposal No.	Proposal	Proposed	IOXLC	Vote Cast Sponsor		
No.		By Rec				
	This Supplemental Indenture request amended the indenture for					
23	several definitions to reflect the terms of the refinancing executed via	Manager	For	Consented Manager		
	Optional Redemption.					

**Issuer Name: AMMC CLO XII** 

Meeting Date: November 10, 2017 (Record Date)

Meeting Type: Written Consent

Ticker: N/A

CUSIP: US00176EAE77

Proposal	Proposal	Proposed	IOXLC	Vote Cast Sponsor
No.		By Rec	,	
24	This Supplemental Indenture request amended the indenture for several definitions to reflect the terms of the refinancing executed via Optional Redemption.	a Manager	For	Consented Manager

Issuer Name: Carlyle Global Market Strategies CLO 2013-2

Meeting Date: November 30, 2017 (Record Date)

Meeting Type: Written Consent

Ticker: N/A

**CUSIP: 14310EAG0** 

Proposal	Proposal	Proposed OXLC		
No.	Proposal	By Rec	Rec	<b>Vote Cast Sponsor</b>
	This Supplemental Indenture request amended the indenture for	•		
25	several definitions to reflect the terms of the refinancing executed via	Manager	For	Consented Manager
	Optional Redemption.			

Issuer Name: Carlyle Global Market Strategies CLO 2013-2

Meeting Date: November 30, 2017 (Record Date)

Meeting Type: Written Consent

Ticker: N/A

CUSIP: USG19129AD03

Proposal	Proposal	Proposed	ed OXLC Vote Cast Sponsor		
No.	•	By Rec	•		
	This Supplemental Indenture request amended the indenture for				
26	several definitions to reflect the terms of the refinancing executed via	Manager	For	Consented Manager	
	Optional Redemption.				

Issuer Name: Ares XXVI CLO

Meeting Date: December 8, 2017 (Record Date)

Meeting Type: Written Consent

Ticker: N/A

CUSIP: US04014MAC10

Dwamagal		Proposed OXLC		
Proposal No.	Proposal			<b>Vote Cast Sponsor</b>
No.		By	Rec	Rec
27	OXLC elected in favor of an Optional Redemption pursuant to the deal indenture.	Manager	For	Consented Manager

Issuer Name: Ares XXVI CLO

Meeting Date: December 8, 2017 (Record Date)

Meeting Type: Written Consent

Ticker: N/A

CUSIP: USG3292RAB09

Proposal	Proposal	Proposed	d OXLC Vote Cast Sponsor		
No.	•	By	v Rec	•	
28	OXLC elected in favor of an Optional Redemption pursuant to the deal indenture.	Manager	For	Consented Manager	

Issuer Name: CIFC Funding 2015-I

Meeting Date: December 18, 2017 (Record Date)

Meeting Type: Written Consent

Ticker: N/A

**CUSIP: 12548NAG8** 

Proposal No.	Proposal	Proposed	IOXLO	C Vote Cast Sponsor
No.	•	By Rec	Rec	1
29	This Supplemental Indenture request amended the indenture for several definitions to reflect the terms of the refinancing executed via Optional Redemption.	Manager	For	Consented Manager

Issuer Name: CIFC Funding 2015-I

Meeting Date: December 18, 2017 (Record Date)

Meeting Type: Written Consent

Ticker: N/A

CUSIP: USG2201KAA90

Proposal		Proposed	ed OXLC		
Proposal No.	Proposal	By	Rec	Vote Cast Sponsor	
30	This Supplemental Indenture request amended the indenture for several definitions to reflect the terms of the refinancing executed via Optional Redemption.	a Manager	For	Consented Manager	

**Issuer Name**: Telos CLO 2013-4

Meeting Date: January 15, 2018 (Record Date)

Meeting Type: Written Consent

Ticker: N/A

**CUSIP**: 87974JAA5

Proposal		Proposed	IOXLO	
Proposal No.	Proposal	Ву	Rec	Vote Cast Sponsor
	This Supplemental Indenture request amended the indenture for			
31	several definitions to reflect the terms of the refinancing executed via	Manager	For	Consented Manager
	Optional Redemption.			

Issuer Name: Battalion CLO VII

Meeting Date: March 8, 2018 (Record Date)

Meeting Type: Written Consent

Ticker: N/A

**CUSIP**: 07131YAC6

<b>Proposal</b>	Proposal	Proposec	IOXLC	Vote Cast Sponsor	
No.		By	Rec		
32	OXLC elected in favor of a refinancing of this deal via an Optional Redemption pursuant to the deal indenture.	Manager	For	Consented Manager	

**Issuer Name**: Mountain Hawk II CLO **Meeting Date**: March 12, 2018 (Record Date)

Meeting Type: Written Consent

Ticker: N/A

**CUSIP**: 62405UAA2

Dranagal		Proposed OXLC		
Proposal No.	Proposal			<b>Vote Cast Sponsor</b>
110.		By	Rec	
33	OXLC elected in favor of a refinancing of this deal via an Optional Redemption pursuant to the deal indenture.	Manager	For	Consented Manager

Issuer Name: Blue Hill CLO

Meeting Date: March 12, 2018 (Record Date)

Meeting Type: Written Consent

Ticker: N/A

**CUSIP**: US095559AC43

Proposal		Proposed	IOXLC	$\mathbf{C}$		
No.	Proposal			<b>Vote Cast Sponsor</b>		
		By	Rec			
34	OXLC elected in favor of an Optional Redemption pursuant to the deal indenture.	Manager	For	Consented Manager		

**Issuer Name**: Mountain Hawk II CLO **Meeting Date**: March 28, 2018 (Record Date)

Meeting Type: Written Consent

Ticker: N/A

**CUSIP**: 62405UAA2

			dOXL	LC			
Proposal No. Proposal				<b>Vote Cast Sponsor</b>			
		By	Rec				
35	OXLC elected in favor of withdrawing the Notice of Refinancing	Manager	For	Consented Manager			

Issuer Name: Battalion CLO VII

Meeting Date: April 2, 2018 (Record Date)

Meeting Type: Written Consent

Ticker: N/A

**CUSIP**: 07131YAC6

		Proposed OXLC			
Proposal No. Proposal		•		<b>Vote Cast Sponsor</b>	
		By	Rec		
36	OXLC elected in favor of withdrawing the Notice of Refinancing	Manager	For	Consented Manager	

Issuer Name: Venture XVII CLO

Meeting Date: April 3, 2018 (Record Date)

Meeting Type: Written Consent

Ticker: N/A

CUSIP: USG93472AB78

Proposal	Proposal	Proposed OXLC  Vote Cast Spor		
No.	Toposur	By Rec	Rec	vote Cust Sponsor
	This Supplemental Indenture request amended the indenture for			
37	several definitions to reflect the terms of the refinancing executed via	Manager	For	Consented Manager
	Optional Redemption.			

**Issuer Name**: Venture XVII CLO

Meeting Date: April 3, 2018 (Record Date)

Meeting Type: Written Consent

Ticker: N/A

**CUSIP**: US92330CAB72

Proposal No.	Proposal	Proposed	IOXLC	Vote Cast Sponsor		
No.		By Rec				
	This Supplemental Indenture request amended the indenture for					
38	several definitions to reflect the terms of the refinancing executed via	Manager	For	Consented Manager		
	Optional Redemption.					

**Issuer Name**: Apex Credit CLO 2015-II **Meeting Date**: May 17, 2018 (Record Date)

Meeting Type: Written Consent

Ticker: N/A

CUSIP: US46618PAC59

Proposal	Proposal	Proposed	łOXLC	Vote Cast Sponsor
No.		By Rec		•
39	This Supplemental Indenture request amended the indenture for several definitions to reflect the terms of the refinancing executed via Optional Redemption.	a Manager	For	Consented Manager

Issuer Name: OZLM XII

Meeting Date: May 17, 2018 (Record Date)

Meeting Type: Written Consent

Ticker: N/A

CUSIP: US67109XAE67

Proposal No.	Proposal	Proposed	IOXLC	XLC Vote Cast Sponsor	
NO.		By Rec			
40	OXLC elected in favor of a refinancing of this deal via an Optional Redemption pursuant to the deal indenture.	Manager	For	Consented Manager	

Issuer Name: Battalion CLO VII

Meeting Date: May 31, 2018 (Record Date)

Meeting Type: Written Consent

Ticker: N/A

**CUSIP**: 07131YAC6

			dOXL	LC		
Proposal No. Proposal				Vote Cast Sponsor		
		By	Rec			
41	OXLC elected in favor of amending the Notice of Refinancing	Manager	For	Consented Manager		

Issuer Name: Apidos CLO XXI

Meeting Date: June 4, 2018 (Record Date)

Meeting Type: Written Consent

Ticker: N/A

CUSIP: US03765RAE99

Proposal	Proposal	Proposed	ed OXLC Vote Cast Sponsor		
No.	-	By	Rec	c	
42	OXLC elected in favor of a refinancing of this deal via an Optional Redemption pursuant to the deal indenture.	Manager	For	Consented Manager	

**Issuer Name:** Mountain Hawk II CLO **Meeting Date:** June 13, 2018 (Record Date)

Meeting Type: Written Consent

Ticker: N/A

**CUSIP**: 62405UAA2

Proposal No. Proposal

Proposal No. Proposal

Vote Cast Sponsor

By Rec

43 OXLC elected in favor of amending the Notice of Refinancing Manager For Consented Manager

Issuer Name: Jamestown CLO III

Meeting Date: June 14, 2018 (Record Date)

Meeting Type: Written Consent

Ticker: N/A

CUSIP: USG82292AC03

Droposol		Proposed OXLC		
Proposal No.	Proposal			<b>Vote Cast Sponsor</b>
No.		By	Rec	
44	OXLC elected in favor of an Optional Redemption pursuant to the deal indenture.	Manager	For	Consented Manager

Issuer Name: Jamestown CLO III

Meeting Date: June 14, 2018 (Record Date)

Meeting Type: Written Consent

Ticker: N/A

CUSIP: US47047AAE38

Droposal		Proposed OXLC		
Proposal No.	Proposal			<b>Vote Cast Sponsor</b>
110.		By	Rec	lec
45	OXLC elected in favor of an Optional Redemption pursuant to the deal indenture.	Manager	For	Consented Manager

**Issuer Name**: Shackleton 2015-VII CLO **Meeting Date**: June 15, 2018 (Record Date)

Meeting Type: Written Consent

Ticker: N/A

## **CUSIP**: 81882CAC0

Proposal		Proposed	IOXLO	ıC		
No.	Proposal			<b>Vote Cast Sponsor</b>		
NO.		By Rec				
46	OXLC elected in favor of a refinancing of this deal via an Optional Redemption pursuant to the deal indenture.	Manager	For	Consented Manager		

**Issuer Name:** CIFC Funding 2014-III **Meeting Date:** June 19, 2018 (Record Date)

Meeting Type: Written Consent

Ticker: N/A

CUSIP: US12549TAA79

Proposal No.	Proposal	Proposec	IOXLC	Vote Cast Sponsor	
NO.		By	By Rec		
47	OXLC elected in favor of a refinancing of this deal via an Optional Redemption pursuant to the deal indenture.	Manager	For	Consented Manager	

**Issuer Name**: CIFC Funding 2014-III **Meeting Date**: June 19, 2018 (Record Date)

Meeting Type: Written Consent

Ticker: N/A

CUSIP: USG2206CAA20

Proposal	Proposal	Proposed	IOXLC	C Vote Cast Sponsor	
No.		By Rec			
48	OXLC elected in favor of a refinancing of this deal via an Optional Redemption pursuant to the deal indenture.	Manager	For	Consented Manager	

**Issuer Name:** Shackleton 2015-VII CLO **Meeting Date:** June 21, 2018 (Record Date)

Meeting Type: Written Consent

Ticker: N/A

**CUSIP**: 81882CAC0

Duonocal		Propose	Proposed OXLC		
Proposal No.	Proposal			<b>Vote Cast Sponsor</b>	
No.		By	Rec	-	
49	OXLC elected in favor of partially withdrawing the Notice of Refinancing	Manager	For	Consented Manager	

Issuer Name: Apidos CLO XXI

Meeting Date: June 25, 2018 (Record Date)

Meeting Type: Written Consent

Ticker: N/A

CUSIP: US03765RAE99

Proposal No.	Proposal	Proposed OXLC		
		By	Rec	Vote Cast Sponsor
50	This Supplemental Indenture request amended the indenture for several definitions to reflect the terms of the refinancing executed via Optional Redemption.	a Manager	For	Consented Manager

#### **SIGNATURES**

Pursuant to the requirements of the Investment Company Act of 1940, the Registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

#### OXFORD LANE CAPITAL CORP.

By: /s/ Jonathan H. Cohen

Jonathan H. Cohen

Chief Executive Officer

Date: August 27, 2018