New Home Co Inc. Form 8-K May 03, 2018

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

FORM 8-K

#### **CURRENT REPORT**

Pursuant to Section 13 or 15(d) of The Securities Exchange Act of 1934 Date of Report (Date of earliest event reported) May 3, 2018

The New Home Company Inc.

(Exact name of registrant as specified in its charter)

Delaware 001-36283 27-0560089

(State or other jurisdiction of incorporation) (Commission File Number) (IRS Employer Identification No.)

85 Enterprise, Suite 450, Aliso Viejo, California 92656 (Address of principal executive offices) (Zip Code)

(949) 382-7800

Registrant's telephone number, including area code

Not Applicable

(Former name or former address, if changed since last report.)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

oWritten communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

o Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

oPre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

o Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Indicate by check

mark whether the

registrant is an

emerging growth

company as defined

in Rule 405 of the

Securities Act of

1933 (§230.405 of

this chapter)

or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company  $\circ$ 

If an emerging growth company, indicate by check mark if the registrant has elected

not to use the extended

transition

period for

complying

with any new

or revised

financial

accounting

standards

provided

pursuant to

Section 13(a)

of the

Exchange

Act. ý

Item 2.02 Results of Operations and Financial Condition.

On May 3, 2018, The New Home Company Inc., a Delaware corporation (the "Company"), announced in a press release its financial results for the three month period ended March 31, 2018. A copy of the Company's press release announcing these financial results is attached as Exhibit 99.1 to this Current Report on Form 8-K.

The information furnished pursuant to this Item 2.02, including the exhibits attached hereto, shall not be deemed to be "filed" for purposes of Section 18 of the Securities Exchange Act of 1934, as amended ("Exchange Act"), or otherwise subject to the liabilities of that section, nor shall it be incorporated by reference into any filings under the Securities Act of 1933, as amended, or the Exchange Act. In addition, the press release furnished as an exhibit to this report includes "forward-looking statements" within the meaning of the Private Securities Litigation Reform Act of 1995. Item 7.01 Regulation FD Disclosure.

In the Company's press release attached hereto as Exhibit 99.1, the Company announced that it will discuss its results for the three month period ended March 31, 2018, recent events and the Company's updated full year and certain quarterly guidance for 2018 during a conference call on Thursday, May 3, 2018, at 11:00 a.m. Eastern Time. As detailed in the press release attached hereto as Exhibit 99.1, a replay of this call will be available to all those who cannot listen to the live broadcast. Item 2.02 of this Current Report on Form 8-K is incorporated herein by reference. The information in this Report (including Exhibit 99.1) is furnished pursuant to Item 7.01 and shall not be deemed "filed" for purposes of the Exchange Act or otherwise subject to the liabilities of the Exchange Act. The information in this Report will not be deemed an admission as to the materiality of any information required to be disclosed solely to satisfy the requirements of Regulation FD.

Item 9.01 Financial Statements and Exhibits.

(d) Exhibits

99.1 Press release dated May 3, 2018

#### **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, as amended, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Date: May 3, 2018

The New Home Company Inc.

By /s/ John M. Stephens John M. Stephens, Chief Financial Officer

### **INDEX OF EXHIBITS**

Exhibit No. Description of Document

99.1 Press Release dated May 3, 2018