#### **ROTH ALAN TODD**

Form 4

February 14, 2019

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** 

**OMB APPROVAL** 

3235-0287 Number:

January 31, Expires: 2005

0.5

Estimated average burden hours per

response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

Common

Stock

02/13/2019

(Print or Type Responses)

See Instruction

1. Name and A ROTH ALA	Address of Reporting F AN TODD	Symbol	er Name and Ticker or Trading NCY CENTERS CORP	Issuer	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)			
(Last) 1919 GALL 1000	(First) (M	(Month/I	of Earliest Transaction Day/Year) 2019	below) below	Officer (give titleX_ Other (specify			
			endment, Date Original onth/Day/Year)	Applicable Line) _X_ Form filed by One Repor Form filed by More than	_X_ Form filed by One Reporting Person Form filed by More than One Reporting			
(City)		i Cisoli						
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	(A) or Code V Amount (D) P		Beneficial t (I) Ownership			
Common Stock	02/13/2019		$M = \begin{array}{c} 8,180 \\ \underline{\text{(1)}} \end{array}  A = \underline{\text{(2)}}$	16,360.64 D				

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

F

3,856 D

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

12,504.64

D

### Edgar Filing: ROTH ALAN TODD - Form 4

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

De

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any Code (Month/Day/Year)  (Instr. 8)  Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock	<u>(2)</u>	02/13/2019		M	1,405	(2)	(2)	Common Stock	1,405
Restricted Stock	<u>(2)</u>	02/13/2019		M	538	(2)	(2)	Common Stock	538
Restricted Stock	<u>(2)</u>	02/13/2019		M	342	(2)	(2)	Common Stock	342
Restricted Stock	<u>(2)</u>	02/13/2019		M	973	(2)	(2)	Common Stock	973
Dividend Equivalents	<u>(1)</u>	02/09/2018		M	373	<u>(1)</u>	<u>(1)</u>	Common Stock	373

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

ROTH ALAN TODD

1919 GALLOWS ROAD SUITE 1000 Managing Director

VIENNA, VA 22182

# **Signatures**

/s/ Michael B. Kirwan, Attorney-in-Fact for Alan Todd
Roth
02/14/2019

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 704 shares accrued when and as dividends were paid on Regency Centers Corporation common stock and vested with the restricted stock and performance shares to which they relate.
- (2) Vesting of performance shares and restricted stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

## Edgar Filing: ROTH ALAN TODD - Form 4

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.