

GOODMAN BRUCE G

Form 4

January 16, 2019

FORM 4
UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
GOODMAN BRUCE G

2. Issuer Name **and** Ticker or Trading
Symbol
VOLT INFORMATION
SCIENCES, INC. [VISI]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)
SHEPHERD KAPLAN LLC, 125
SUMMER STREET

3. Date of Earliest Transaction
(Month/Day/Year)
01/14/2019

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

(Street)
BOSTON, MA 02110

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	01/14/2019		P	V 2,500 A \$ 3.4255	76,997	D	
Common Stock	01/15/2019		P	V 2,500 A \$ 3.4933	79,497	D	
Common Stock	01/15/2019		P	V 1,000 A \$ 3.5	80,497	D	
Common Stock	01/15/2019		P	V 1,000 A \$ 3.491	5,000	I	As Co-Trustee (1) (2)
	01/14/2019		G	V 24,000 \$ 0	1,238,990	I	

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Common Stock					D (3)					By Spouse (2)
Common Stock	01/14/2019		G	V	24,000	A (3)	\$ 0	146,356	I	As Co-Trustee (2) (4)
Common Stock								557,054	I	As Co-Trustee (2) (5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction (Instr. 6)
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
GOODMAN BRUCE G SHEPHERD KAPLAN LLC 125 SUMMER STREET BOSTON, MA 02110	X

Signatures

/s/ Bruce G.
Goodman 01/16/2019

Signature of Reporting Person Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Held by the reporting person as trustee of an irrevocable trust for the benefit of a child of the reporting person.

The reporting person disclaims beneficial ownership of these securities and the filing of this statement shall not be construed as an

(2) admission that the reporting person is, for purposes of Section 16 of the Securities Exchange Act of 1934 or otherwise, the beneficial owner of these securities.

(3) This transaction involved a gift of securities by the spouse of the reporting person to trusts for the benefit of children of the spouse of the reporting person.

(4) Held by the reporting person, the spouse of the reporting person and the sister of the spouse of reporting person, as co-trustees of trusts for the benefit of children of the spouse of the reporting person.

(5) Held by the reporting person and the sister of the spouse of the reporting person, as co-trustees of a trust for the benefit of children of the spouse of the reporting person.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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