Edgar Filing: Dreyer Michael L - Form 4

Dreyer Mid Form 4	chael L										
November	15, 2017										
	ЛЛ									B APPROVAL	
	FORM 4 UNITED STATES SECURITIES AND EXCHANGE CO Washington, D.C. 20549						COMMISSIO	N OMB Number			
if no lo subject Section Form 4 Form 5 obligat	116. Filed pu	rsuant to S (a) of the F	OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES o Section 16(a) of the Securities Exchange Act of 1934, he Public Utility Holding Company Act of 1935 or Section							Expires: January 31 2009 Estimated average burden hours per response 0.5	
<i>See</i> Ins 1(b).	struction	30(h)	of the I	Investme	nt Comp	any A	Act of 194	40			
(Print or Type	e Responses)										
1. Name and Address of Reporting Person <u>*</u> Dreyer Michael L			2. Issuer Name and Ticker or Trading Symbol SVB FINANCIAL GROUP [SIVB]					5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction				(Ch	(Check all applicable)			
3005 TASMAN DRIVE			(Month/Day/Year) 11/13/2017					Director 10% Owner Officer (give title Other (specify below) below) Chief Operations Officer			
	(Street)			nendment, lonth/Day/Y	-	nal		6. Individual or Applicable Line) _X_ Form filed by	y One Reportir	ng Person	
SANTA C	CLARA, CA 9505	4						Form filed by Person	More than Or	e Reporting	
(City)	(State)	(Zip)	Ta	ble I - Nor	-Derivati	ve Sec	curities Acc	quired, Disposed	of, or Benef	icially Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution I any (Month/Day	Date, if	Code (Instr. 8)	Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common	11/12/2017	11/13/201	17	M	2,016	(D) A	Price (<u>1)</u>	3,747	D		
Stock	11/12/2017	11/15/201	. /	141	2,010	11	<u></u>	5,717	D		
Common Stock	11/12/2017	11/13/201	17	F	787 <u>(2)</u>	D	\$ 212.26	2,960	D		
Common Stock								19	Ι	By 401(k)/ESOP	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control

Edgar Filing: Dreyer Michael L - Form 4

number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Unit	\$ 0	11/12/2017	11/13/2017	М	2,016	(3)	11/12/2022	Common Stock	2,016

Reporting Owners

Reporting Owner Name / Address	Relationships							
I B B B B B B B B B B B B B B B B B B B	Director	10% Owner	Officer	Other				
Dreyer Michael L 3005 TASMAN DRIVE SANTA CLARA, CA 95054			Chief Operations Officer					
Signatures								
Denise West, Attorney-in-Fact Dreyer	for Mich	ael	11/15/2017					
** Signature of Reporting Per	son		Date					

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Each restricted stock unit represents a contingent right to receive one share of the Issuer's common Stock.

- (2) Shares withheld by Issuer for payment of tax liability incurred upon vesting of restricted stock units.
- (3) Vests 2,016 share(s) on 12-Nov-2016, 2,016 share(s) on 12-Nov-2017, 2,016 share(s) on 12-Nov-2018, 2,016 share(s) on 12-Nov-2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.