

WSFS FINANCIAL CORP
Form 4
November 22, 2016

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
LEVENSON RODGER

2. Issuer Name and Ticker or Trading Symbol
WSFS FINANCIAL CORP [WSFS]

5. Relationship of Reporting Person(s) to Issuer
(Check all applicable)

(Last) (First) (Middle)
C/O WSFS FINANCIAL CORPORATION, 500 DELAWARE AVENUE

3. Date of Earliest Transaction (Month/Day/Year)
11/21/2016

____ Director
____ Officer (give title below)
____ 10% Owner
____ Other (specify below)
EVP

(Street)
WILMINGTON, DE 19801

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---------------------|---|--|-----------------------------------|
| | | | | Code | V Amount Price | | | |
| Common Stock | 11/21/2016 | | M | | 10,008 A \$ 15.8334 | 55,297 | D | |
| Common Stock | 11/21/2016 | | M | | 39,024 A \$ 16.5067 | 94,321 | D | |
| Common Stock | 11/21/2016 | | F | | 3,861 D \$ 41.05 | 90,460 | D | |
| Common Stock | 11/21/2016 | | S | | 39,024 D \$ 40.8602 | 51,436 | D | |
| Common Stock | 11/21/2016 | | S | | 6,000 D \$ 41.06 | 45,436 | D | |

Common Stock 1,923 I 401k

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|----------------------------|
| Employee Stock Option (right to buy) | \$ 16.5067 | 11/21/2016 | | M | 39,024 | <u>(1)</u> 02/28/2020 | Common Stock | 39,024 |
| Employee Stock Option (right to buy) | \$ 15.8334 | 11/21/2016 | | M | 10,008 | <u>(2)</u> 02/28/2018 | Common Stock | 10,008 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| LEVENSON RODGER C/O WSFS FINANCIAL CORPORATION 500 DELAWARE AVENUE WILMINGTON, DE 19801 | | | EVP | |

Signatures

/s/ Rodger Levenson by Charles Mosher, Power of Attorney 11/22/2016

_____*Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 4 year vest (40% vest 2 years from grant date then 20% thereafter)
 - (2) 4 year vest (25% increments) beginning February 28, 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.